



# Sterile Neutrino Search at Daya Bay

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On behalf of the Daya Bay Collaboration

Particle Physics Seminar, September 30, 2016



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- Introduction to (sterile) neutrinos
- Daya Bay experiment
- Sterile neutrino search at Daya Bay
- Combination of Daya Bay, Bugey-3 and MINOS  
sterile neutrino results
- Conclusion

# Neutrino and Oscillation



W. Pauli



F. Reines



C. Cowan



B. Pontecorvo



T. Kajita



A. McDonald

**1930**

Neutrino was proposed

**1956**

First neutrino detection

**1957 – 1967**

Neutrino oscillation theory was developed.

**1998 – 2001**

Discovery of neutrino oscillations

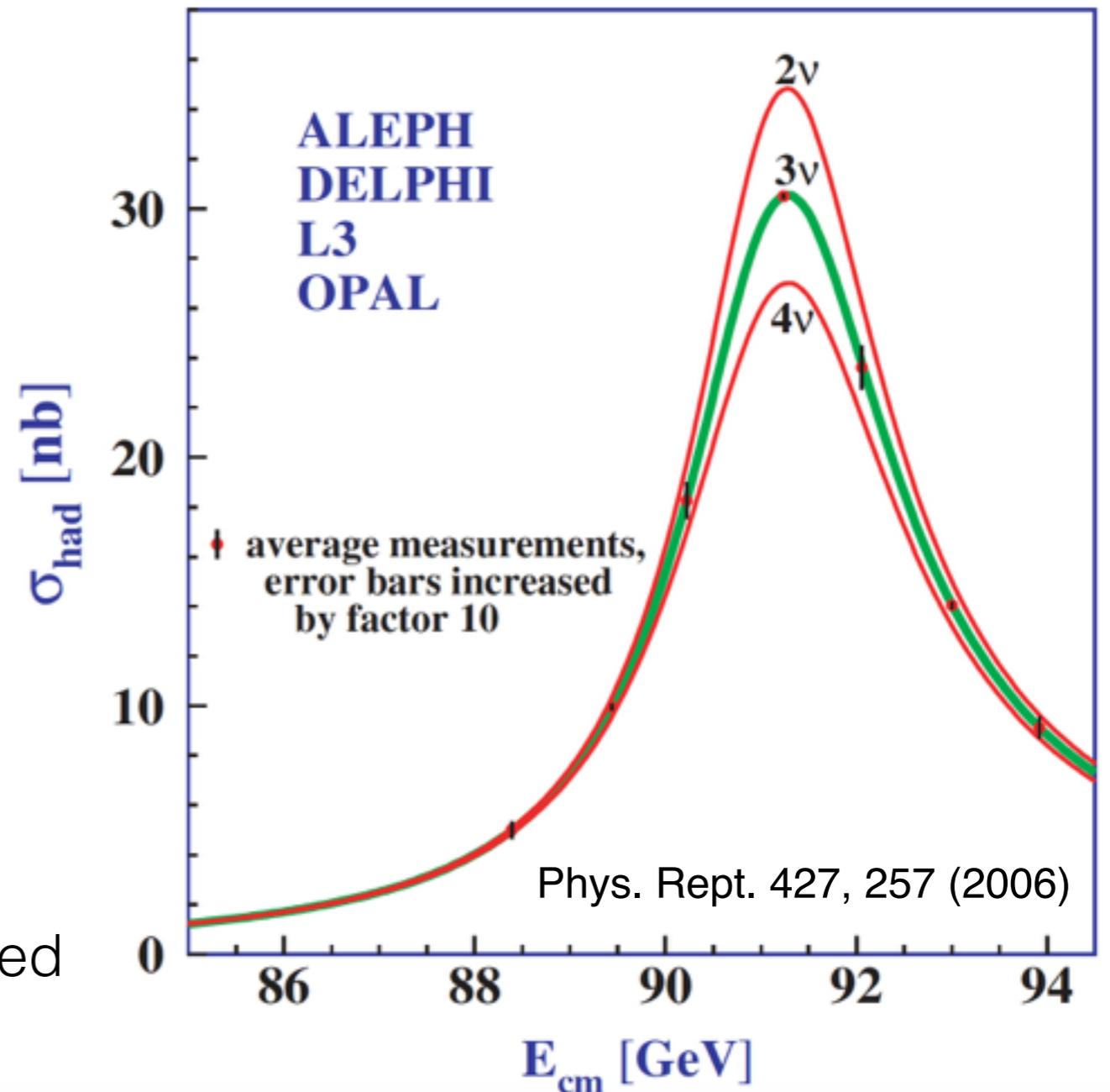


# Three Active Light Neutrinos

- Fit of Z-boson resonance cross section shows three different types of neutrinos (with mass  $< 1/2 M_Z$ )
  - They are called active neutrinos

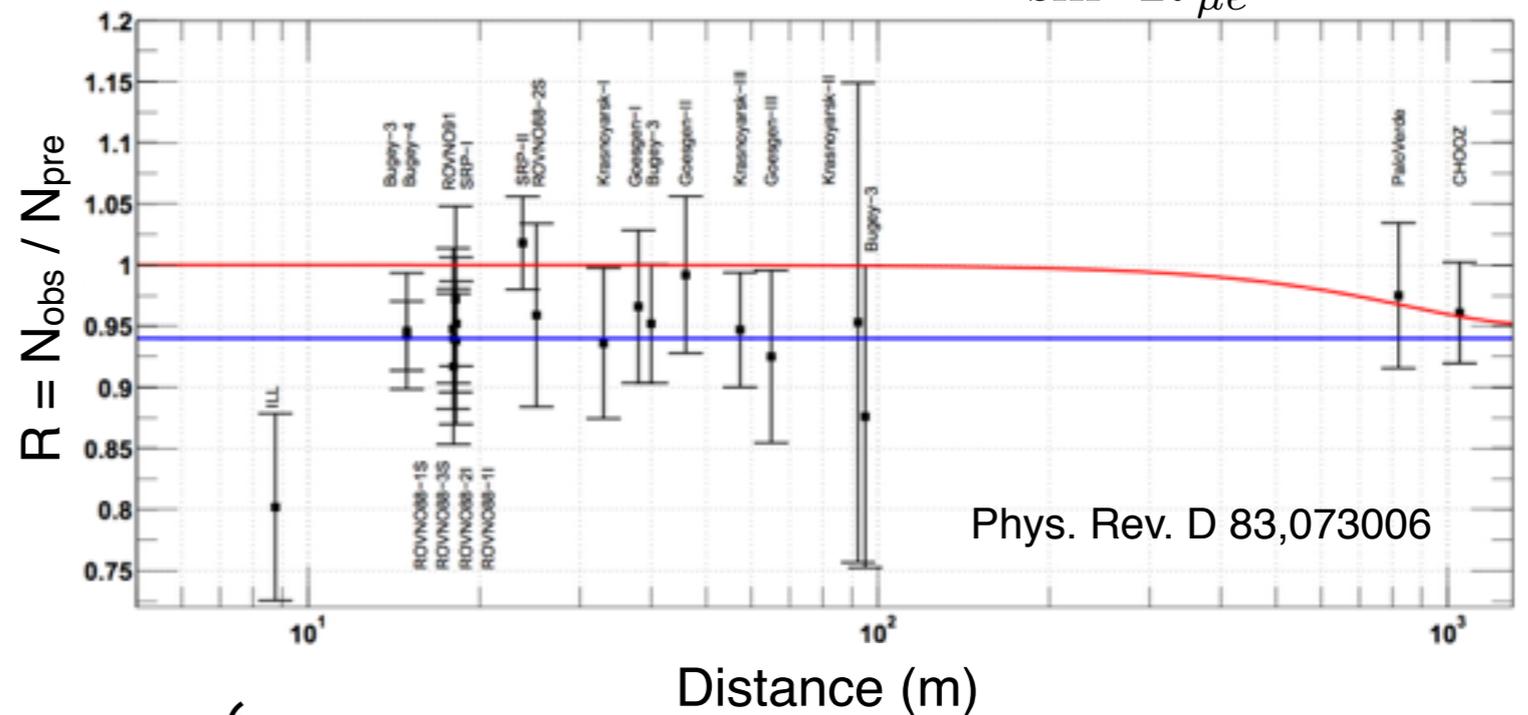
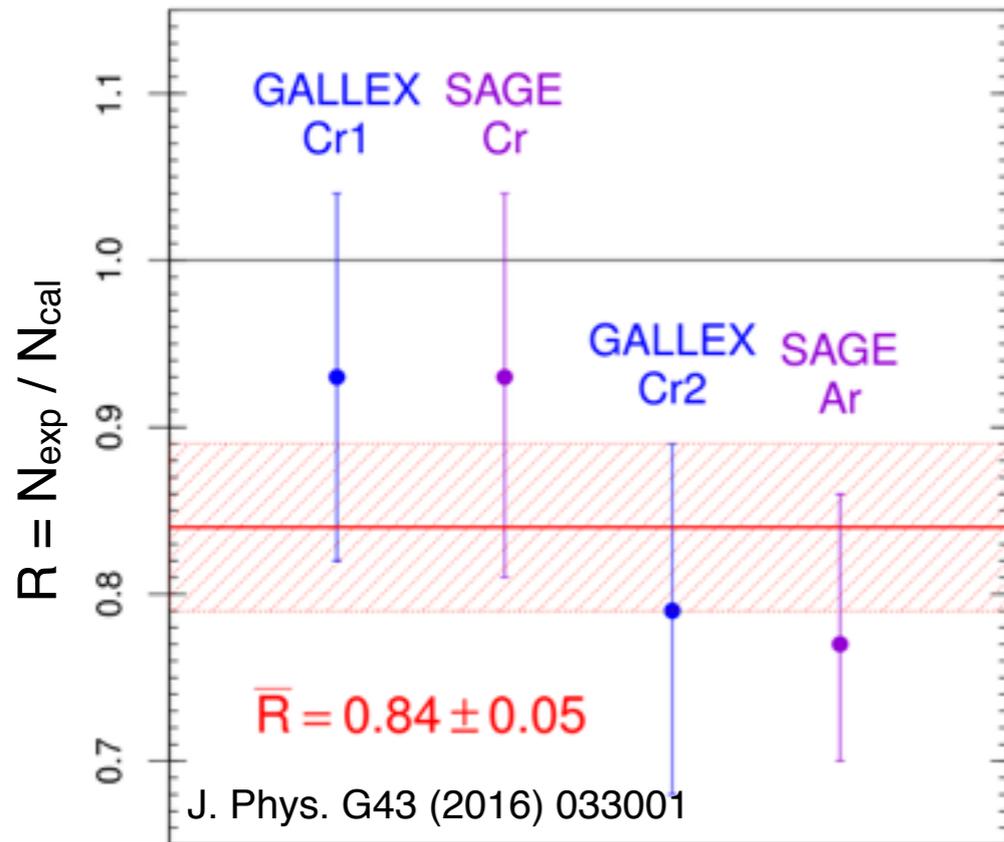
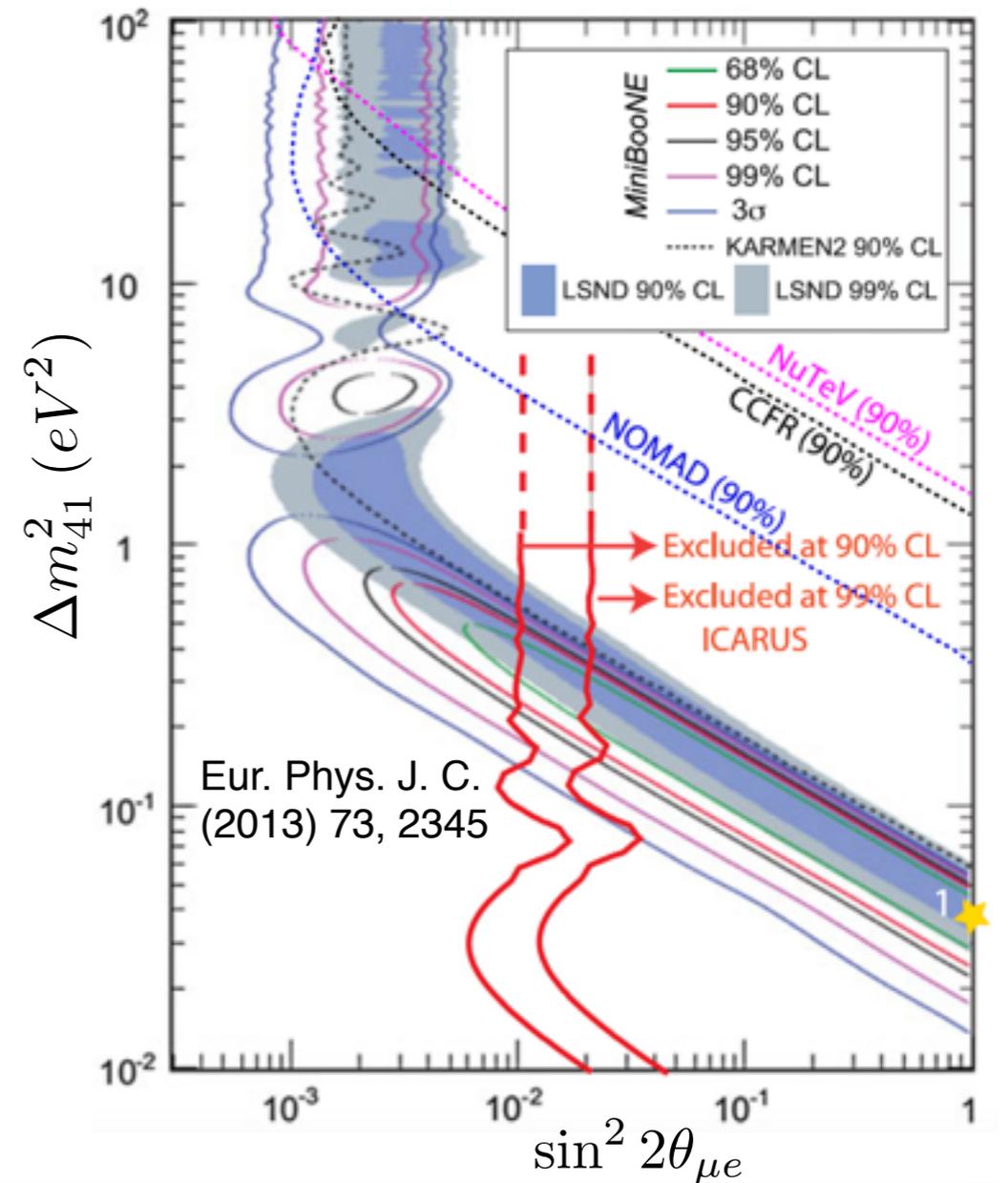
$$N_\nu = 2.984 \pm 0.008$$

- Other types of neutrinos, if they do exist, are called sterile neutrinos
  - Not interact through weak force.
  - May mix with light active neutrinos, and could thus be indirectly measured through neutrino oscillation.



# Experimental Anomalies (I)

- **Accelerator Anomaly**
  - LSND, MiniBooNE ( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )
- **Reactor Anomaly**
  - Reactor experiments ( $\bar{\nu}_e \rightarrow \bar{\nu}_e$ )
- **Gallium Anomaly**
  - GALLEX, SAGE ( $\nu_e \rightarrow \nu_e$ )



# Experimental Anomalies (2)

- These experimental anomalies can not be explained by the standard  $3\nu$  oscillations.
- Oscillations due to sterile neutrino(s) could be an explanation.
  - An additional oscillation with mass-square splitting  $\sim 1 \text{ eV}^2$  could explain the data.
  - The evidences of the existence of sterile neutrino(s) are not strong ( $2 - 3.8 \sigma$ ).
  - The reactor anomaly is related to reactor neutrino flux models which are in question.

### 3 (Active) + 1 (Sterile) Formalism

- If sterile neutrinos exist
  - There could be many flavors
- Introduce one flavor of sterile neutrino into the three active neutrino framework (the simplest extension)

Introduce a 4<sup>th</sup> neutrino

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = U_{3+1} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

flavor states                      mass states

$$U_{3+1} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

**U<sub>PMNS</sub>**

Measure these in experiments

# Experiment sensitivities

- Daya Bay and Bugey-3 experiments

$$|U_{e4}|^2 = \sin^2 \theta_{14}$$

- $\bar{\nu}_e \rightarrow \bar{\nu}_e$  disappearance

- MINOS experiment

$$|U_{\mu 4}|^2 = \sin^2 \theta_{24} \cos^2 \theta_{14}$$

- $\nu_\mu \rightarrow \nu_\mu$  disappearance

- LSND/MiniBooNE experiments

$$\begin{aligned} 4|U_{e4}|^2|U_{\mu 4}|^2 &= \sin^2 2\theta_{14} \sin^2 \theta_{24} \\ &= \sin^2 2\theta_{\mu e} \end{aligned}$$

- $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  appearance

If a neutrino appearance exists, then there must be two corresponding neutrino disappearance exist.

- $\sin^2 2\theta_{\alpha\beta}^{(k)} \approx \frac{1}{4} \sin^2 2\theta_{\alpha\alpha}^{(k)} \sin^2 2\theta_{\beta\beta}^{(k)}$  \*

$$\sin^2 2\theta_{\alpha\alpha}^{(k)} \approx 4|U_{\alpha k}|^2$$

$$\sin^2 2\theta_{\alpha\beta}^{(k)} = 4|U_{\alpha k}|^2|U_{\beta k}|^2$$

- This is general situation and not limited to 3+1 framework

\*C. Giunti and E. M. Zavanin, Mod. Phys. Lett. A 31, 1650003

# The Daya Bay Experiment



## **EH3**

### **Far Hall**

1615 m from Ling Ao I  
1985 m from Daya Bay  
350 m overburden

## **EH2**

### **Ling Ao Near Hall**

481 m from Ling Ao I  
526 m from Ling Ao II  
112 m overburden

## **EH1**

### **Daya Bay Near Hall**

363 m from Daya Bay  
98 m overburden

Shenzhen 45 km

Hongkong 55 km

3 Underground  
Experimental Halls

Entrance

Tunnels

Ling Ao II Cores

Ling Ao I Cores

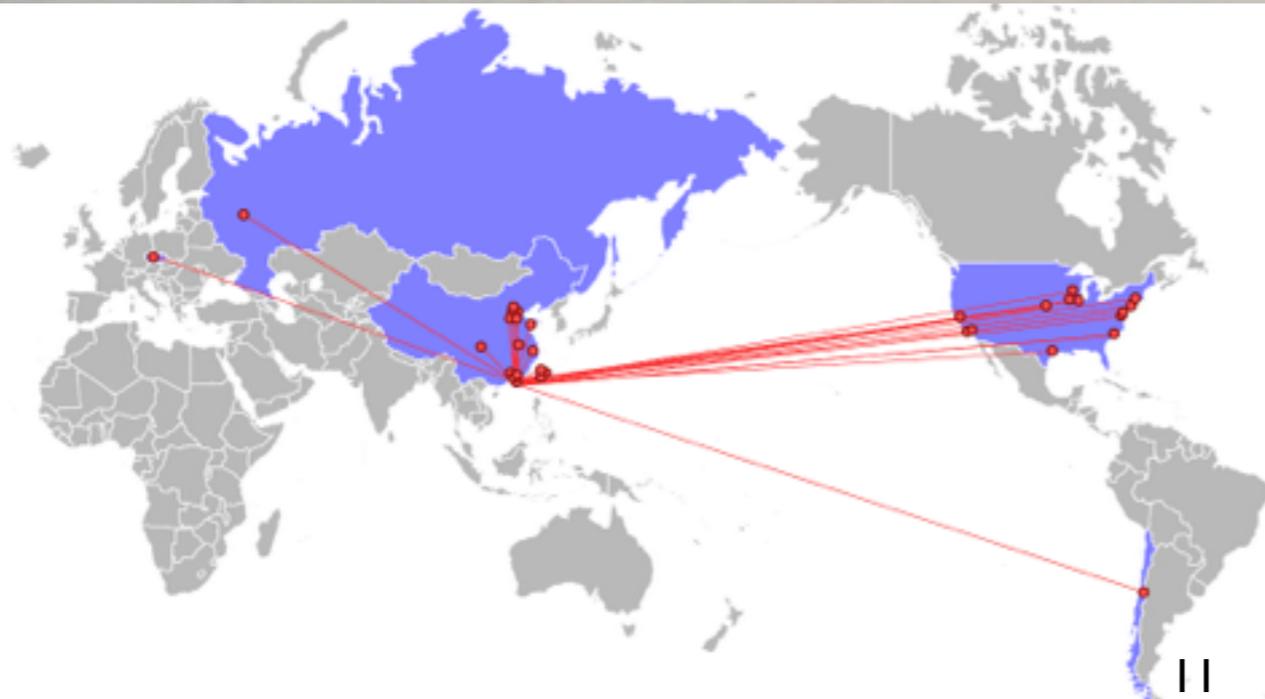
Daya Bay Cores

- 17.4 GW<sub>th</sub> power
- 8 operating detectors
- 160 t total target mass

# The Daya Bay Collaboration

Daya Bay Neutrino Experiment International Collaboration Meeting

May 28-31, 2015, XJTU, Xi'an



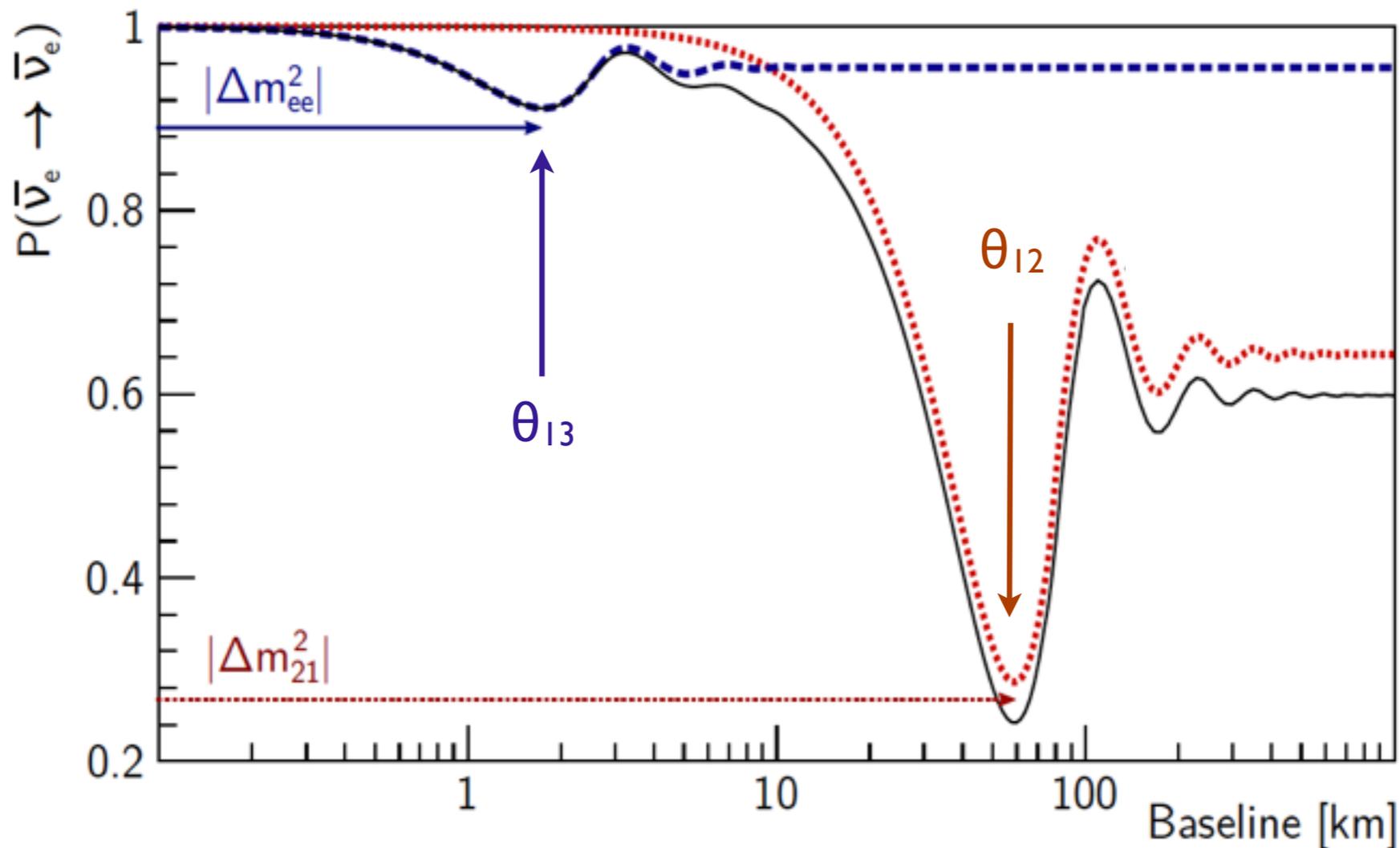
Asia: 23 institutions  
North America: 16 institutions  
Europe: 2 institutions  
South America: 1 institution

42 institutions  
203 collaborators

# Daya Bay's Main Goal: Measure $\theta_{13}$

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \approx 1 - \underbrace{\sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{ee}^2 L}{4E}\right)}_{\text{blue dashed box}} - \underbrace{\cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2\left(\frac{\Delta m_{21}^2 L}{4E}\right)}_{\text{red dashed box}}$$

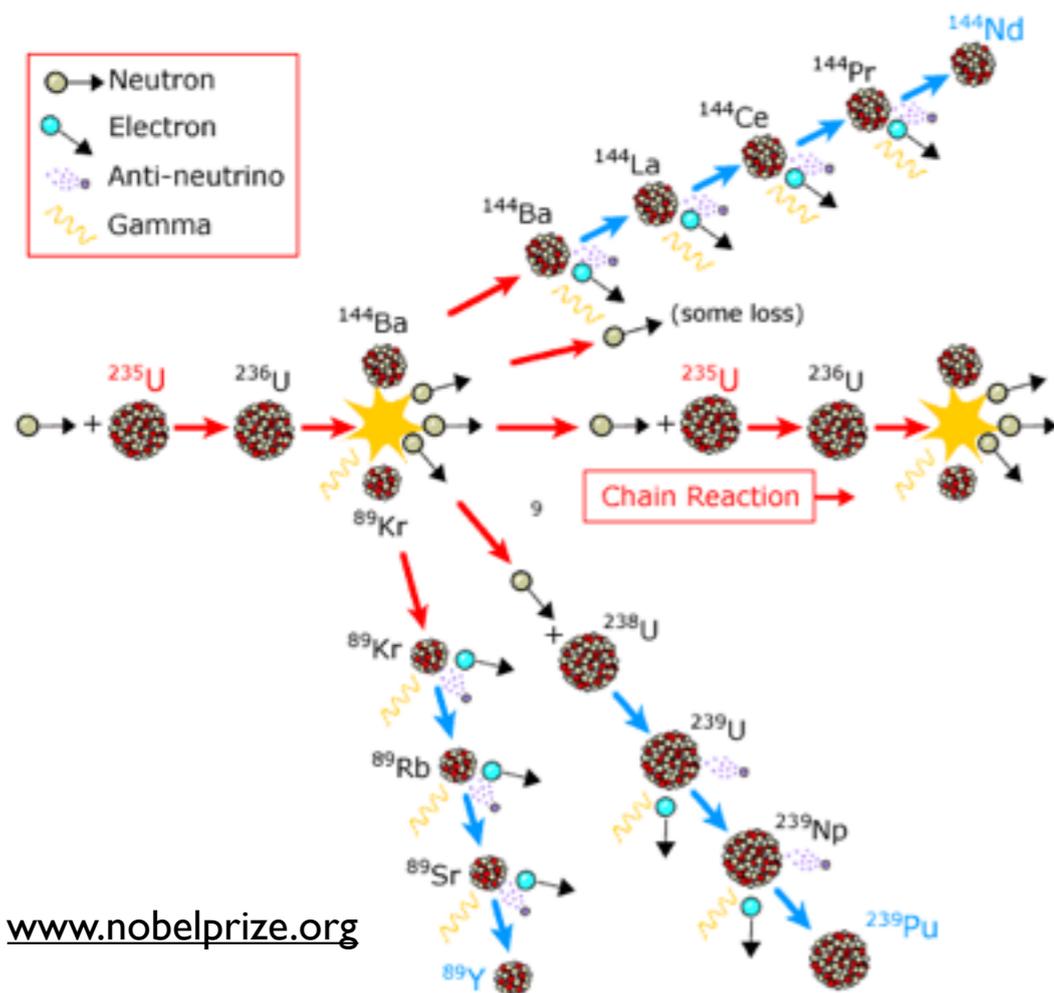
$$\sin^2\left(\frac{\Delta m_{ee}^2 L}{4E}\right) \approx \cos^2 \theta_{12} \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right) + \sin^2 \theta_{12} \sin^2\left(\frac{\Delta m_{32}^2 L}{4E}\right)$$



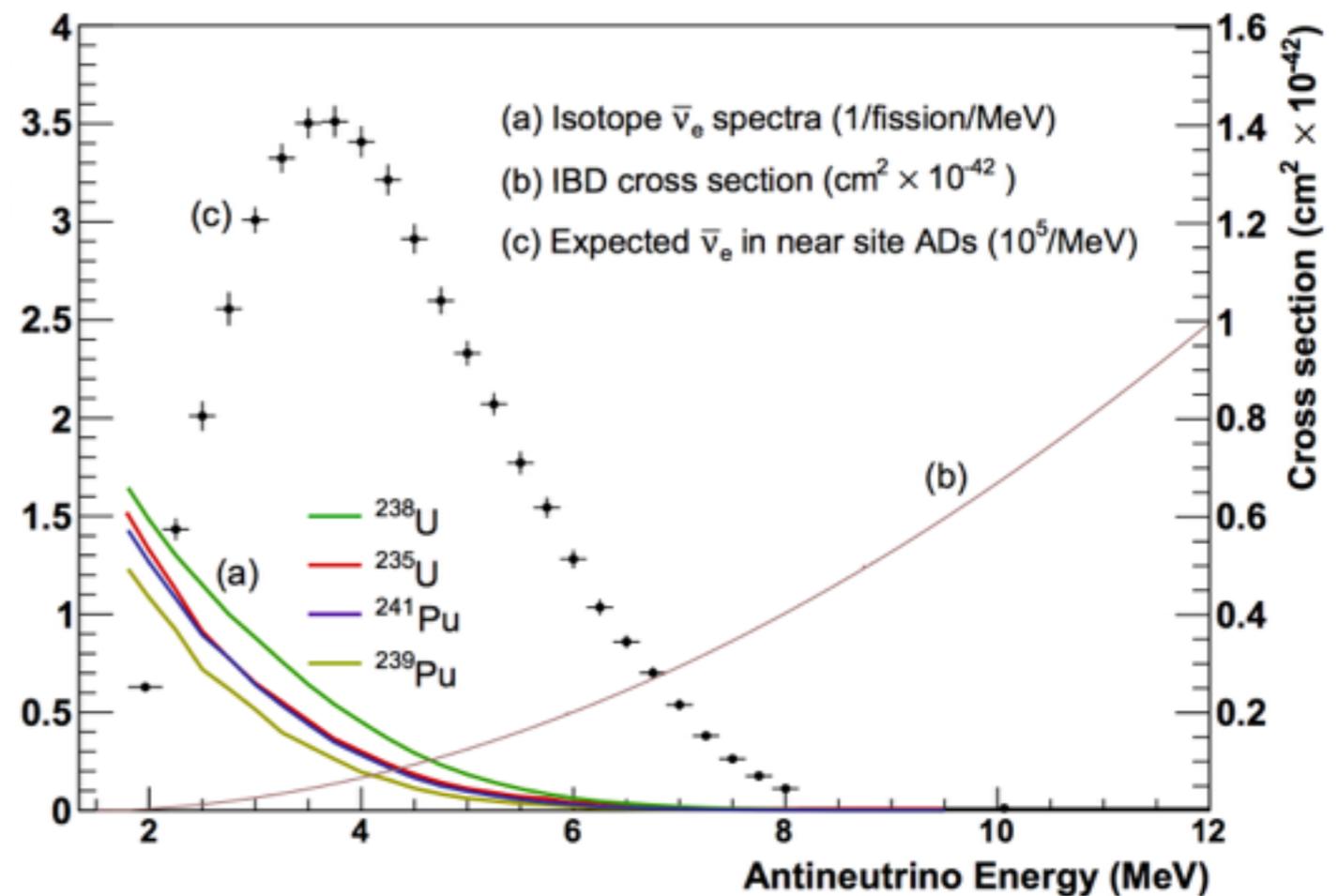
# Reactor Anti-Neutrinos

Reactor produces electron anti-neutrinos ( $\bar{\nu}_e$ ).

- 99.9% are produced by fissions of  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$ .
- 1 GW reactor produces  $\sim 2 \times 10^{20}$   $\bar{\nu}_e$  per second
- 99.5% of them with energy below 8 MeV.



[www.nobelprize.org](http://www.nobelprize.org)



# Reactor Neutrino Flux Models

Two Approaches to predict reactor neutrino flux

- ‘ab initio’ summation

- Extract reactor neutrino flux by summing all  $\beta$  branches of all fission products of a specific isotope based on the nuclear databases.
- Incomplete databases  $\rightarrow$  10-20% uncertainties.
- $^{238}\text{U}$ : P. Vogel (1980), T. Mueller(2011)

- Convert from ILL  $\beta$ -spectra

- Converted from the measured  $\beta$  spectra of each fission isotope at Institut Laue-Langevin (ILL)
- A few percent uncertainties.
- $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ : ILL (1985-1989), P. Huber (2011)

$^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$

$^{238}\text{U}$

ILL (1985-1989) + P. Vogel (1980)  $\rightarrow$

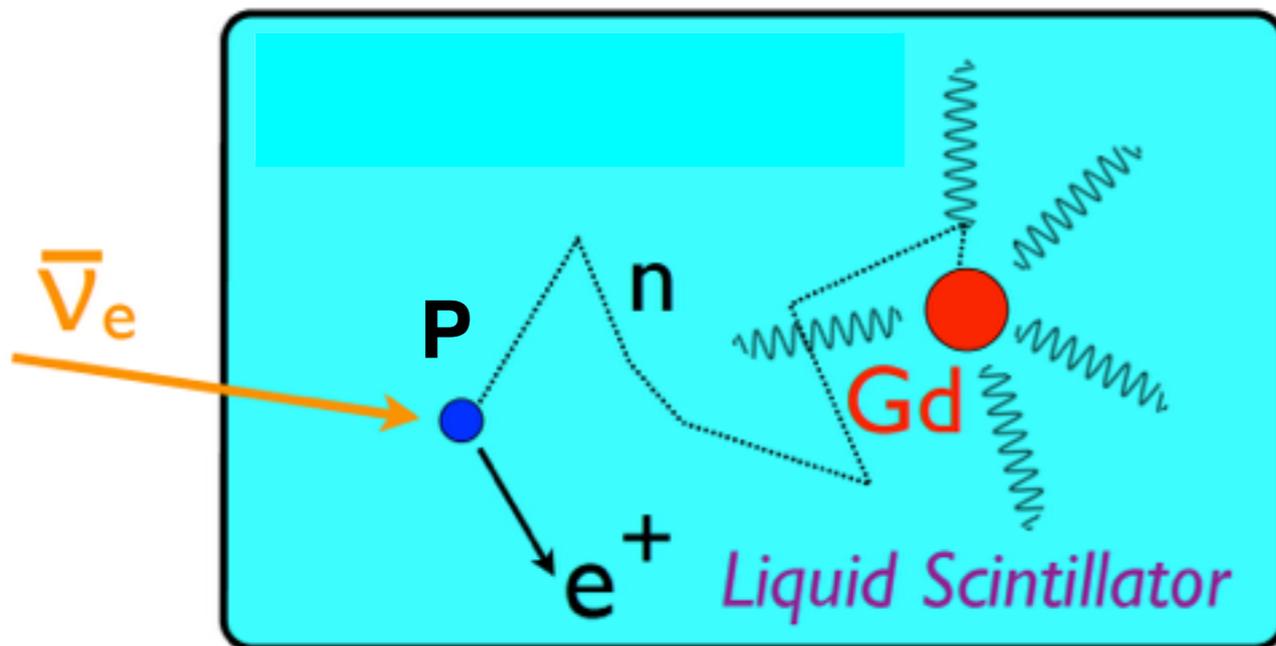
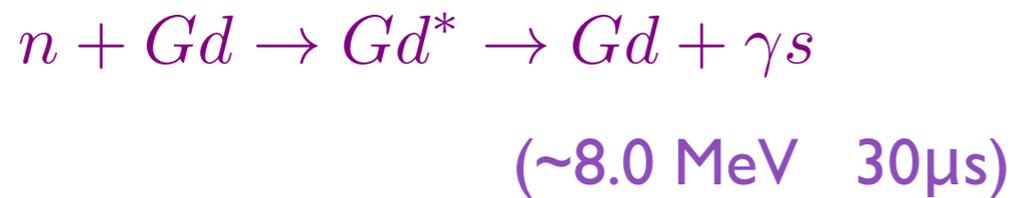
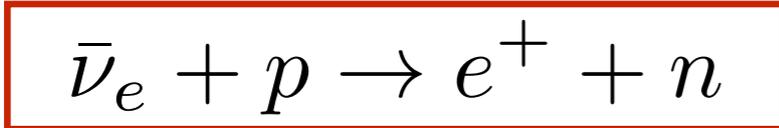
**ILL + Vogel Models**

P. Huber (2011) + T. Mueller (2011)  $\rightarrow$

**Huber + Mueller Models**

# Reactor Anti-Neutrino Detection

## Inverse Beta Decay (IBD)



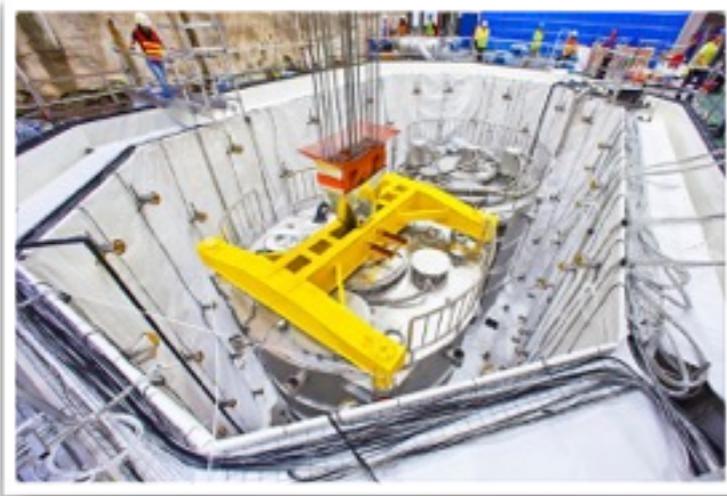
## Signature of IBD signal

- IBD threshold is  $\sim 1.8$  MeV
- **Positron prompt signal**
  - Positron ionization and annihilation
  - $$E_p \approx E_{\bar{\nu}_e} - 0.8 \text{ MeV}$$
- **Delayed neutron capture signal**
  - Energy released from n capture by Gadolinium ( $\sim 8$  MeV)

**Coincidence of the prompt and delayed signals provides distinctive signature for IBD**

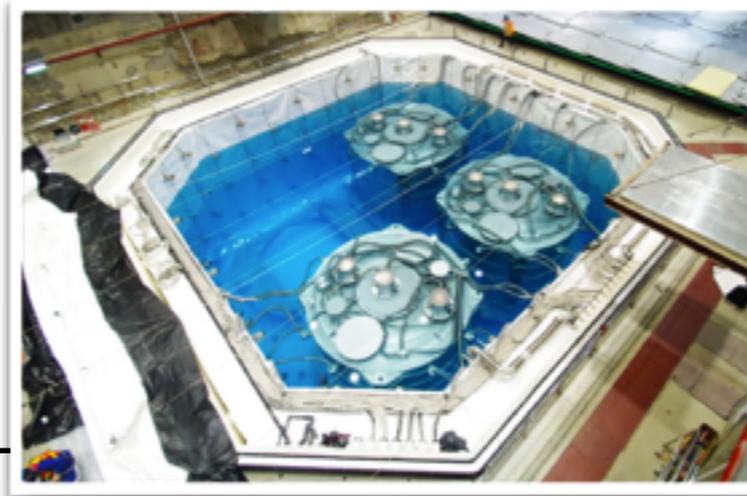
# The Timeline of Daya Bay Experiment

EHI

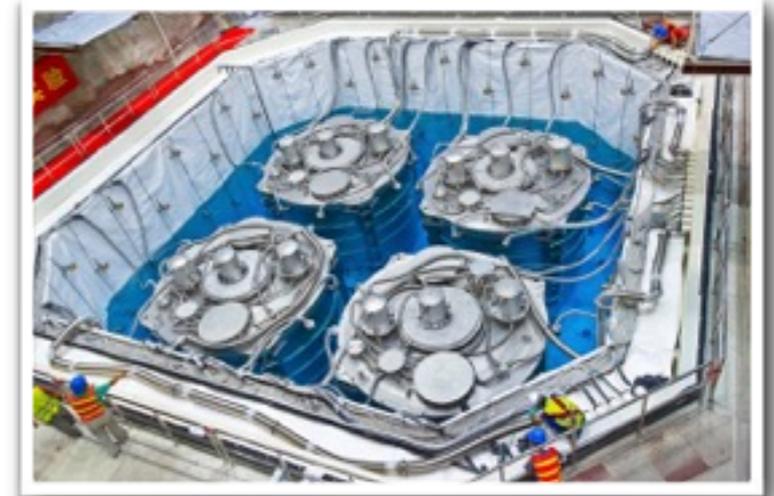


Aug. 2011

EHI3



Dec. 2011



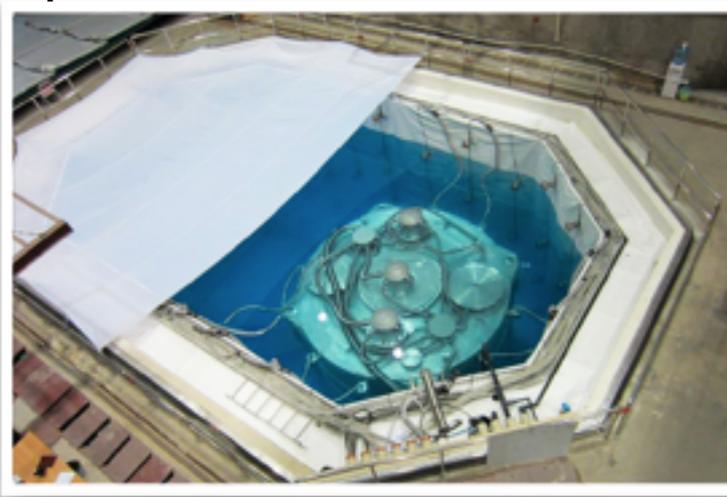
Aug. 2012

6-AD Running

2011/12 - 2012/07

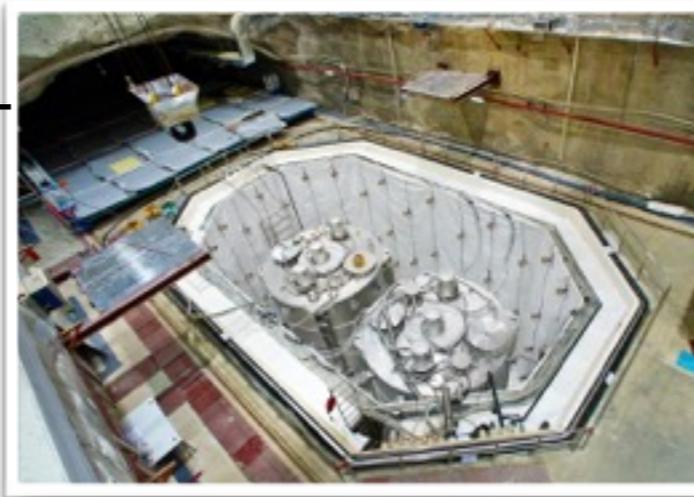
8-AD Running

2012/10 -



Nov. 2011

EH2



Aug. 2012

621 days data

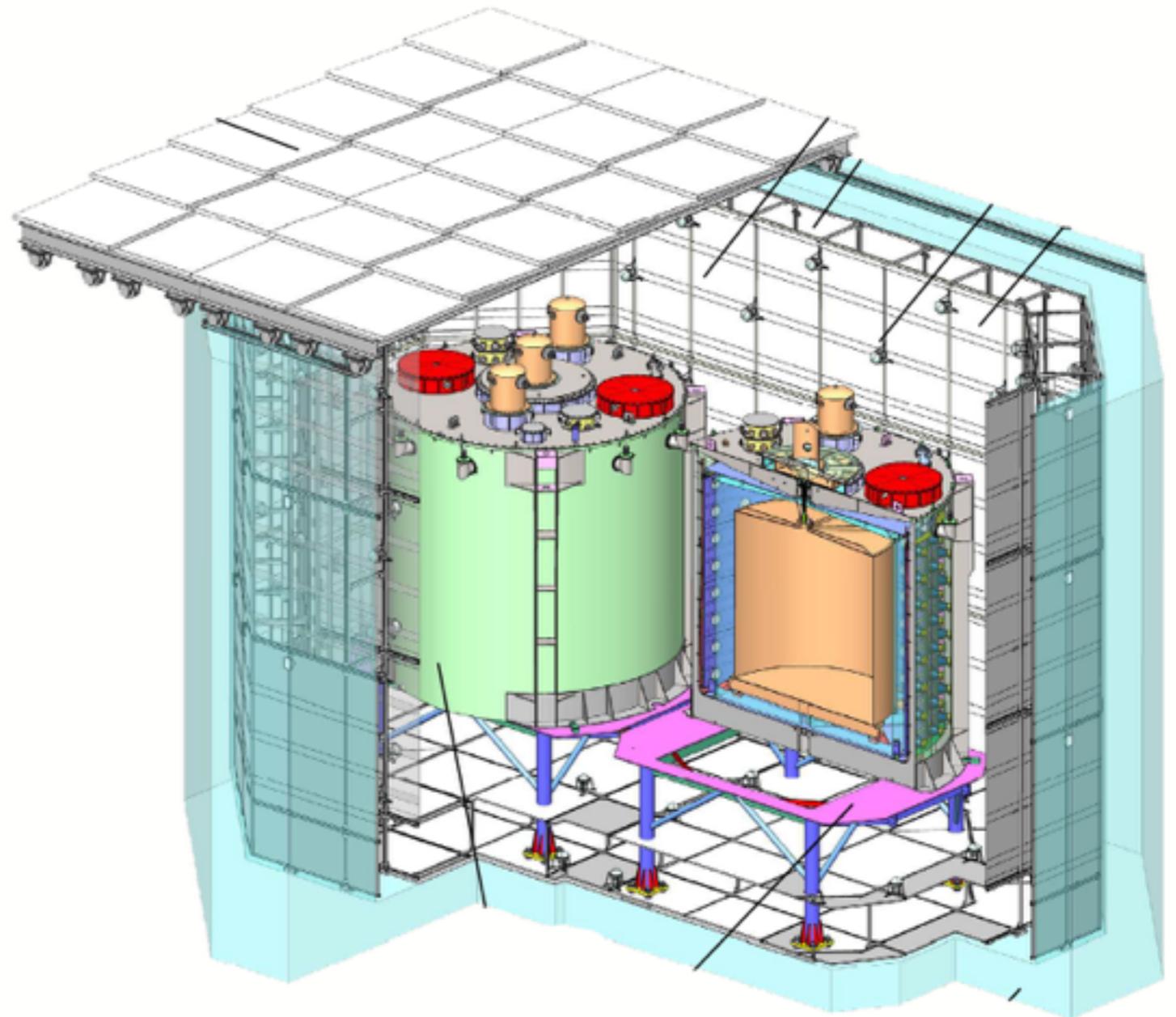
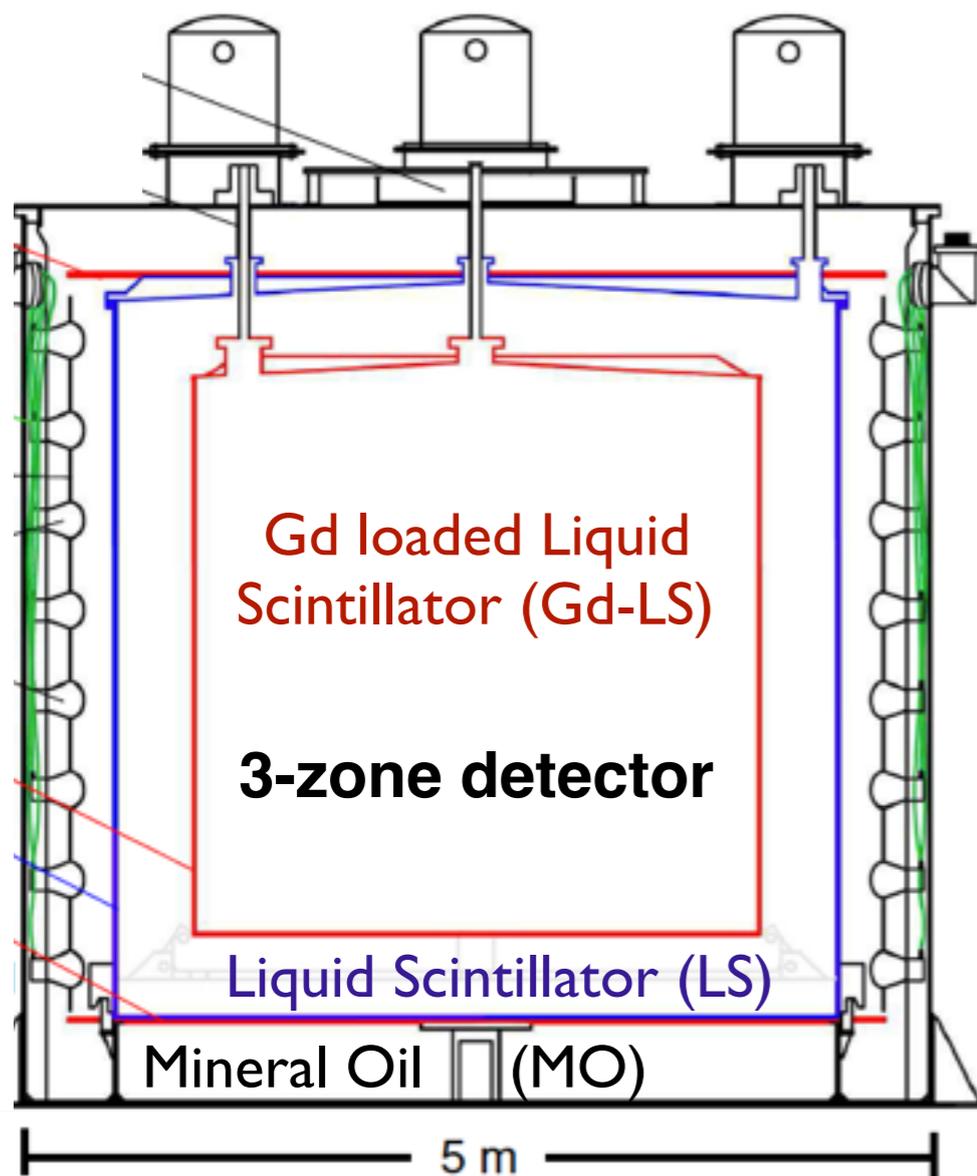
December, 2013

1230 days data

August, 2015

# Daya Bay Detector System

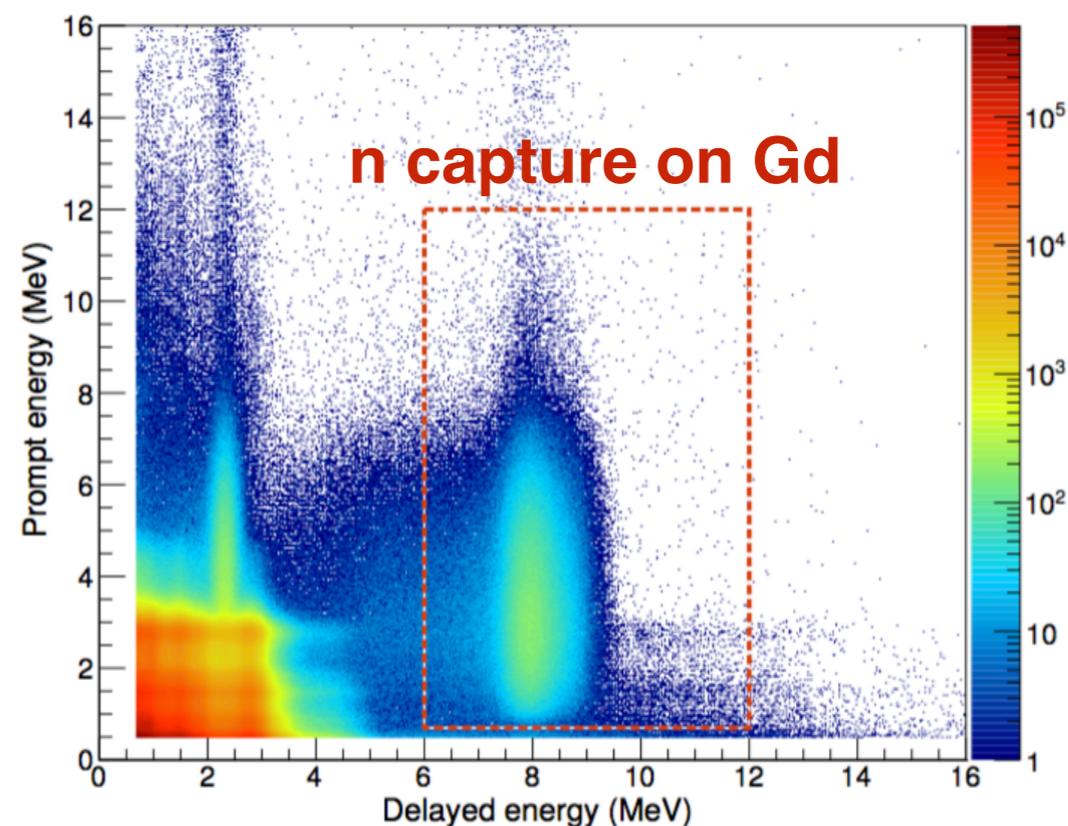
3-zone detectors immersed in highly purified water pools



# Anti-Neutrino Candidate Selection

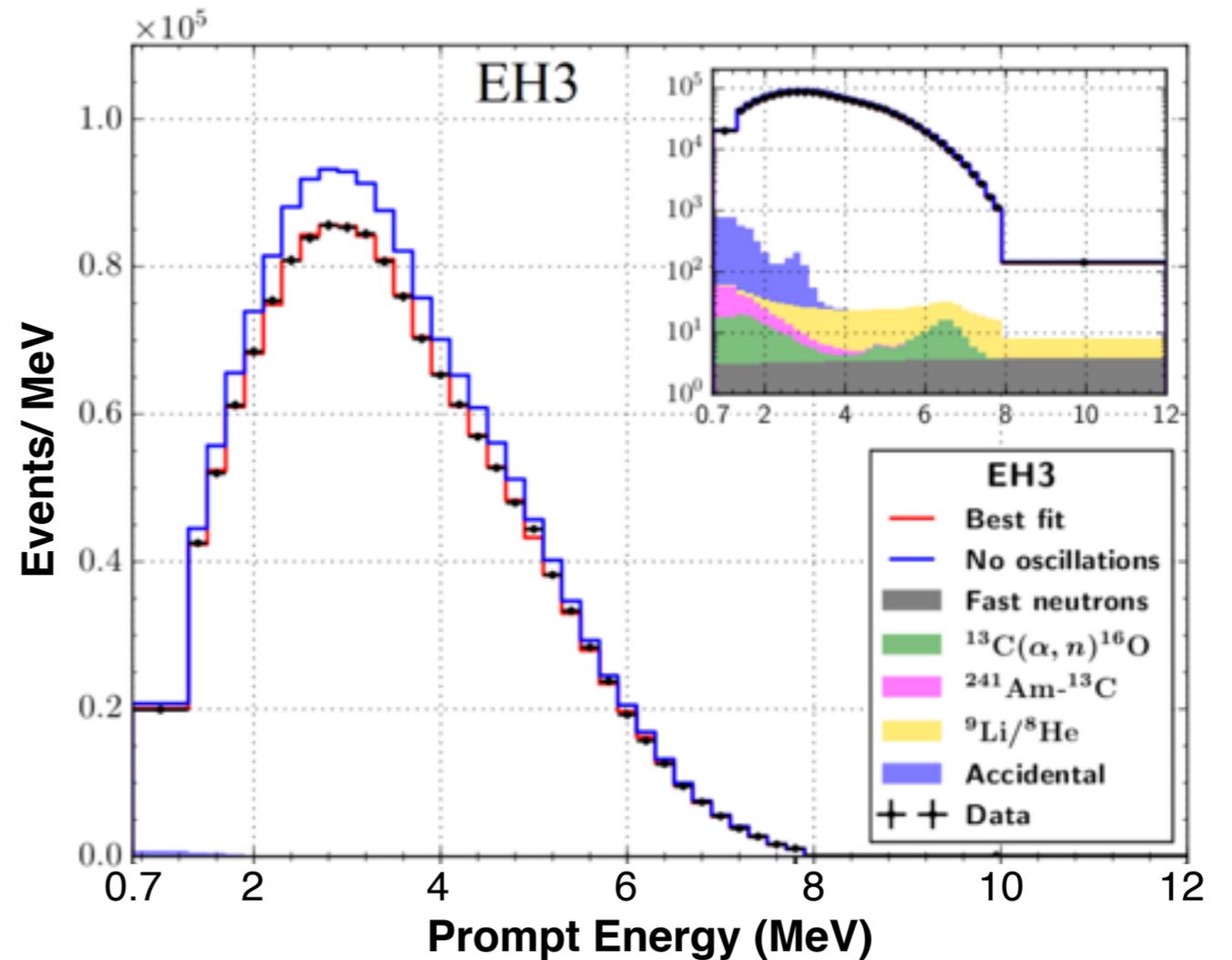
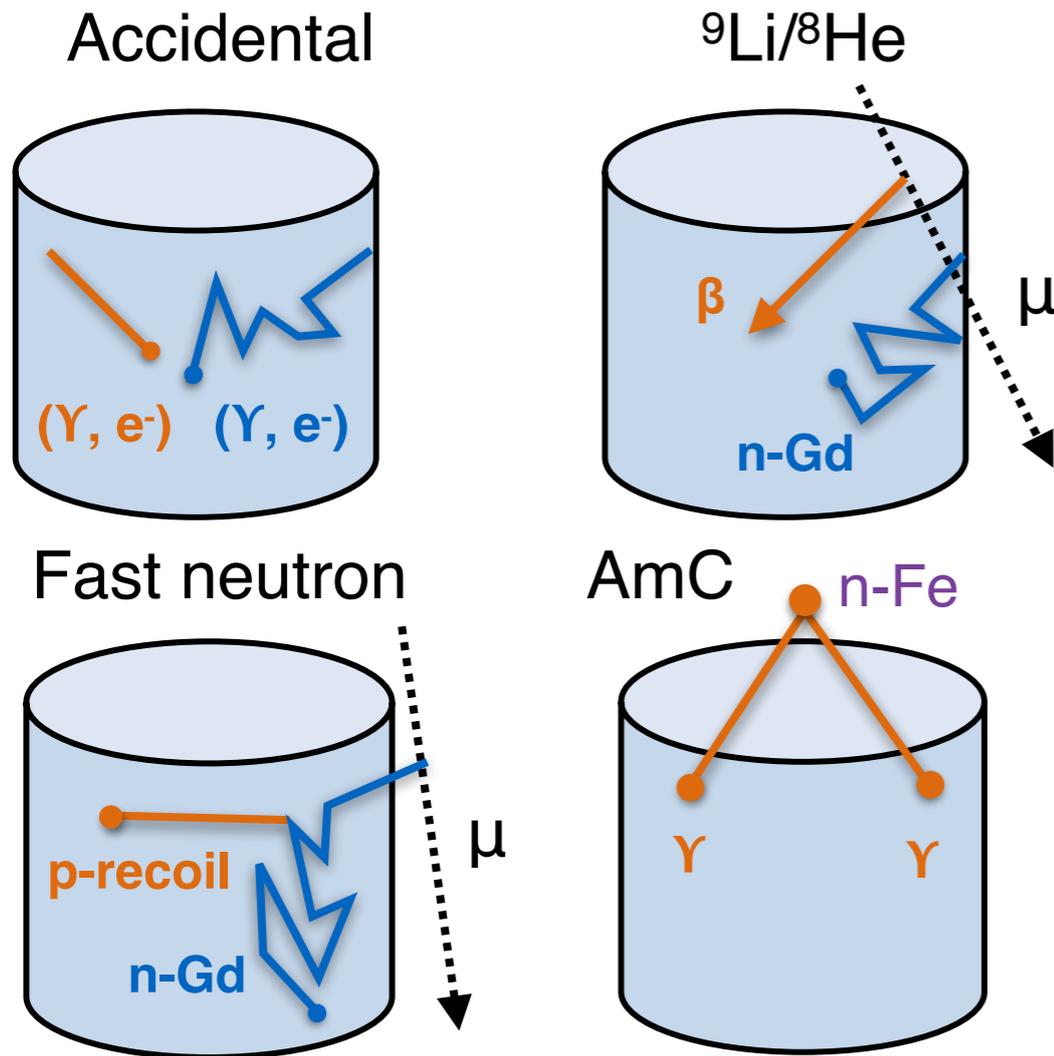
## IBD selections

- Reject PMT flashers
- Muon veto cut
  - Water pool Muon: reject 0.6us
  - AD Muon ( $> 20$  MeV): reject 1 ms
  - AD Shower Muon ( $> 1.8$  GeV): reject 0.4 s
- Prompt positron Energy
  - $0.7 \text{ MeV} < E_p < 12 \text{ MeV}$
- Delayed neutron Energy
  - $6 \text{ MeV} < E_d < 12 \text{ MeV}$
- Neutron Capture time
  - $1 \text{ us} < \Delta t < 200 \text{ us}$
- Multiplicity cut
  - only select isolated candidate pairs



	Efficiency	Correlated	Uncorrelated
Target protons	-	0.92%	0.03%
Flasher cut	99.98%	0.01%	0.01%
Delayed energy cut	92.7%	0.97%	0.08%
Prompt energy cut	99.8%	0.10%	0.01%
Multiplicity cut		0.02%	0.01%
Capture time cut	98.7%	0.12%	0.01%
Gd capture fraction	84.2%	0.95%	0.10%
Spill-in	104.9%	1.00%	0.02%
Livetime	-	0.002%	0.01%
Combined	80.6%	1.93%	0.13%

# IBD Candidates and Background

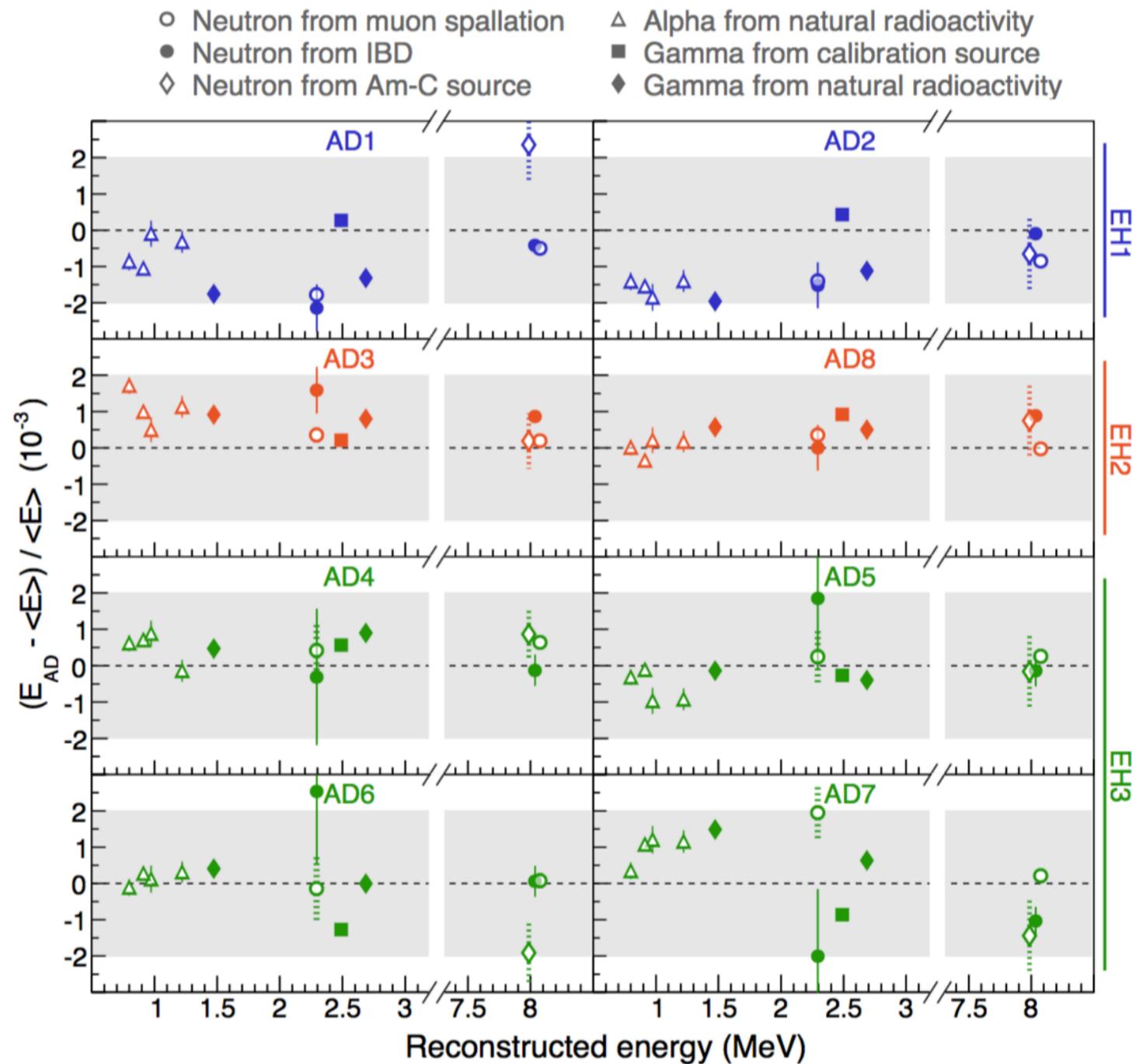
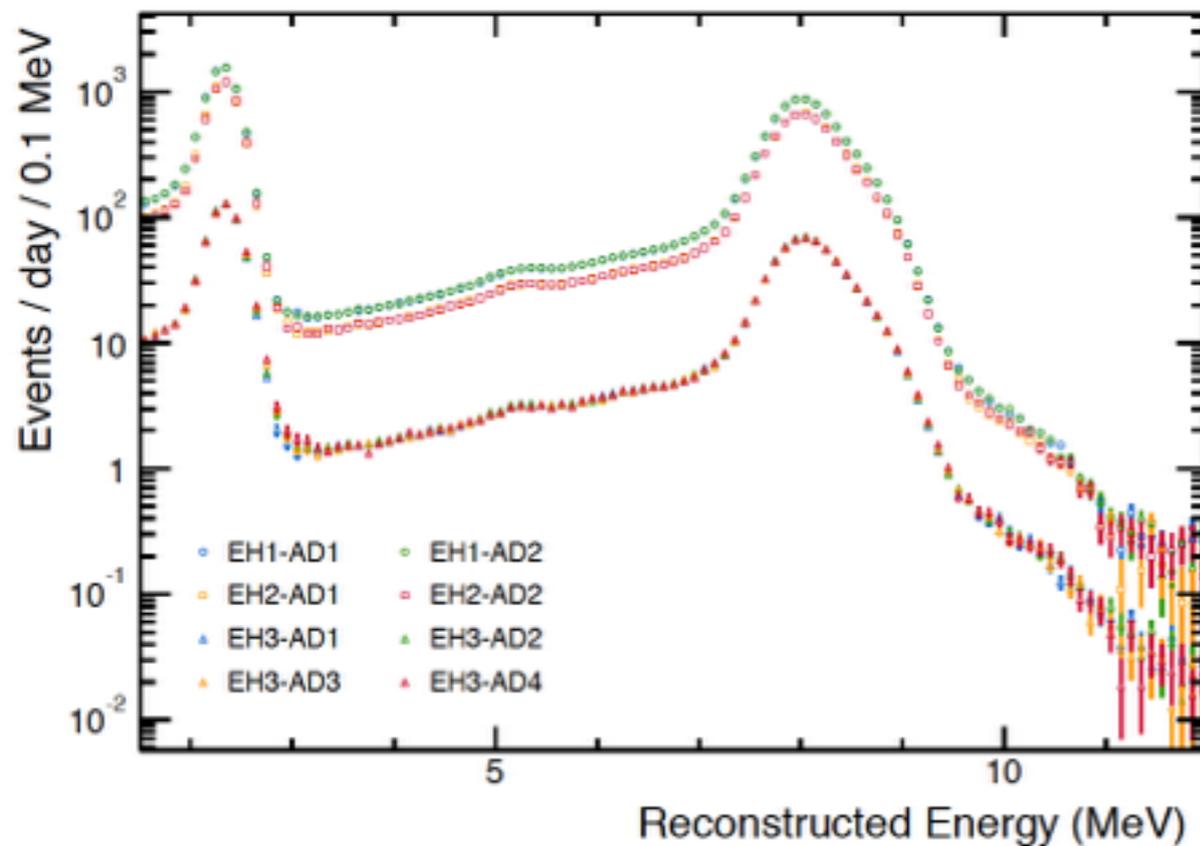


1230 days data			
	EH1	EH2	EH3
IBD candidates	1,203,969	1,033,209	<b>308,150</b>
B/S ratio	$1.8 \pm 0.2\%$	$1.5 \pm 0.2\%$	$2.0 \pm 0.2\%$

# Relative Energy Scale

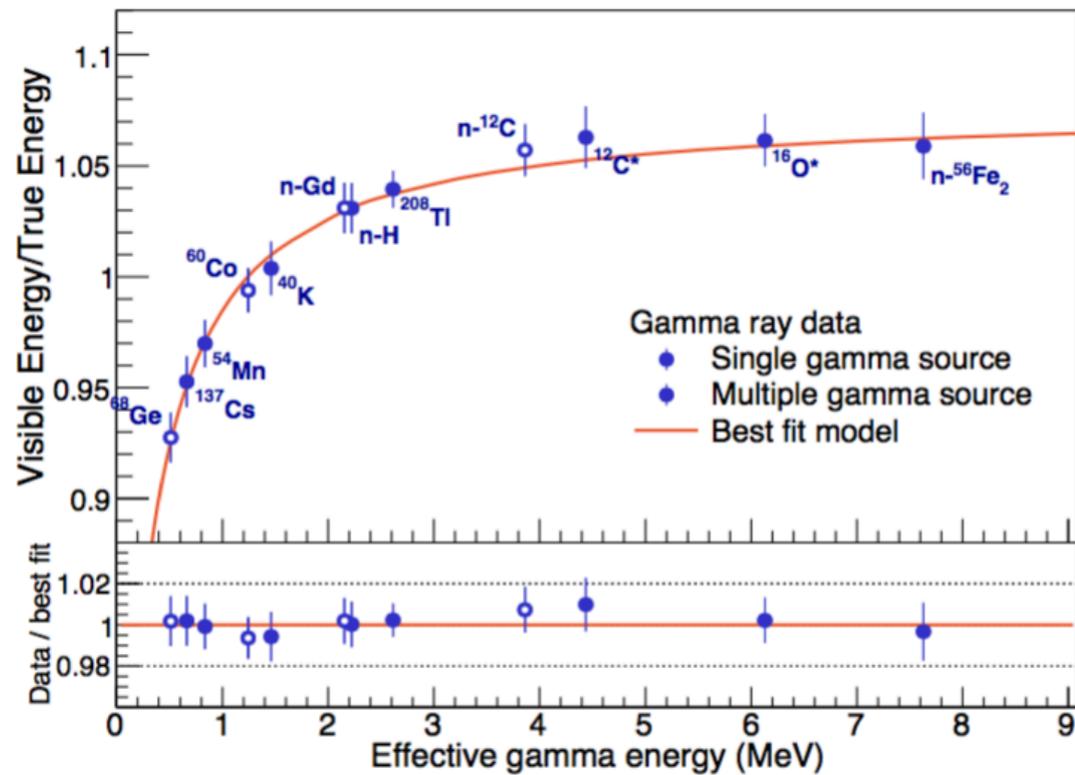
- ACU:  $^{60}\text{Co}$ ,  $^{68}\text{Ge}$ ,  $^{241}\text{Am}^{13}\text{C}$
- Spallation:  $n\text{Gd}$ ,  $n\text{H}$
- Gamma:  $^{40}\text{K}$ ,  $^{208}\text{Ti}$
- Alpha:  $^{212}\text{Po}$ ,  $^{214}\text{Po}$ ,  $^{215}\text{Po}$

Spallation neutron capture spectrum

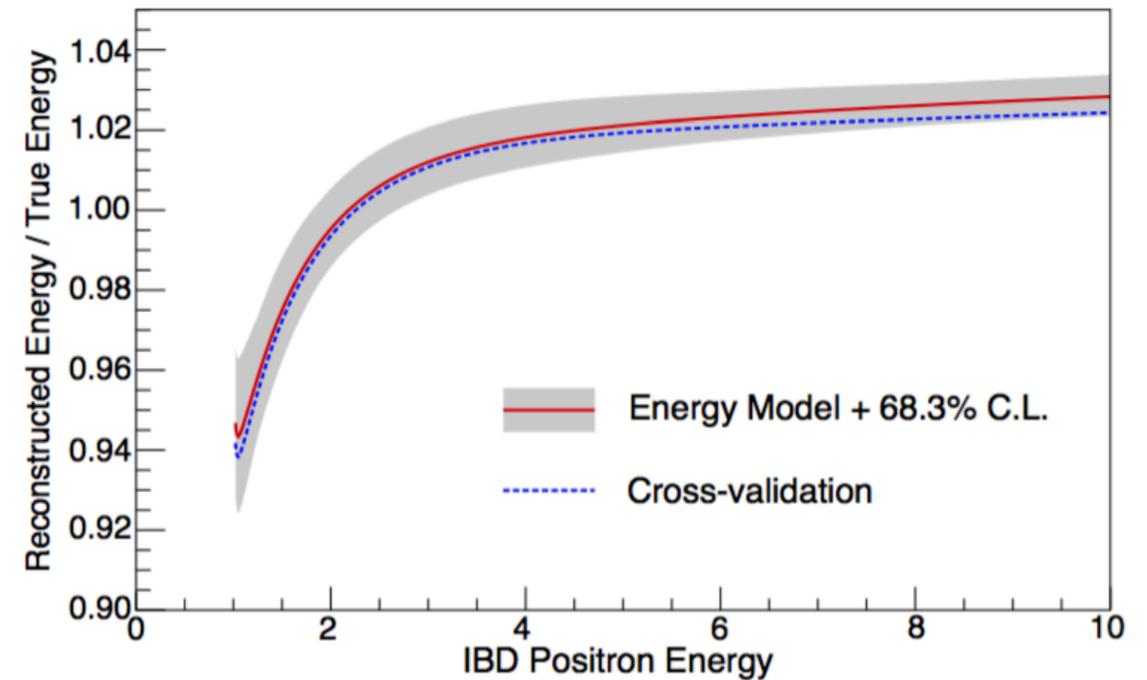
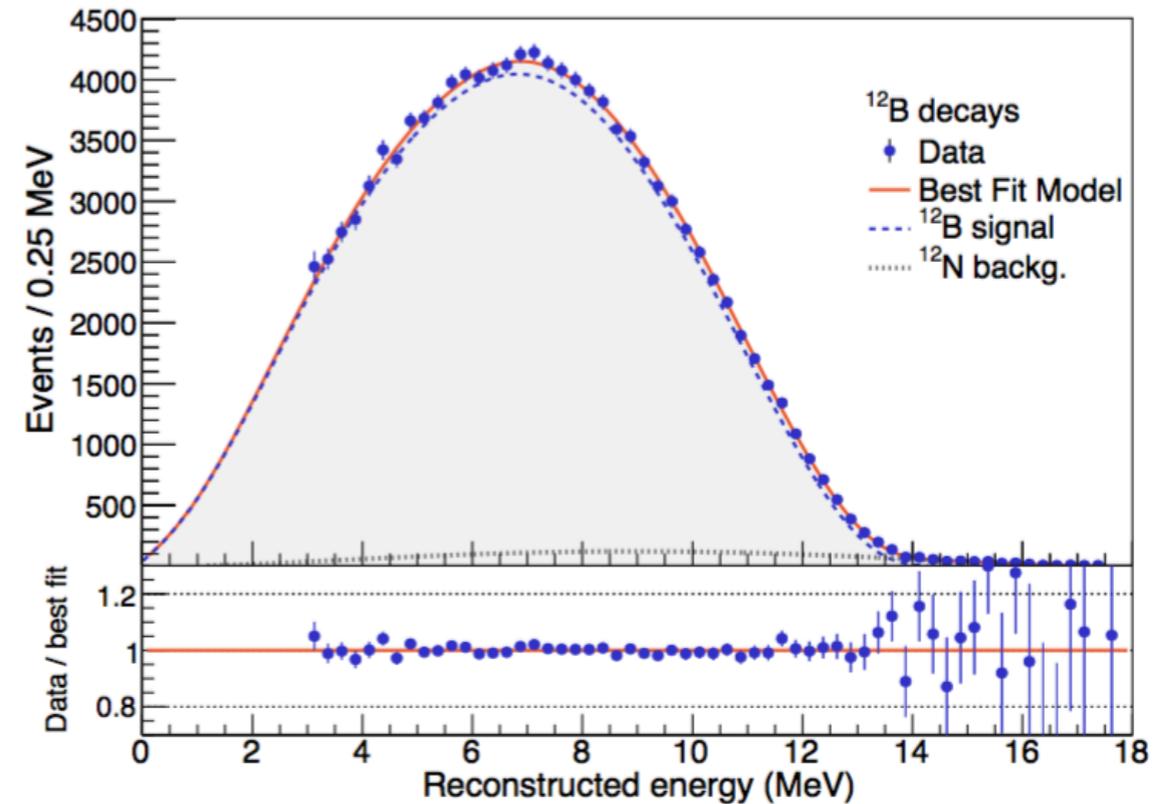


Less than 0.2% variation in reconstructed energy among ADs

# Energy Nonlinearity Calibration

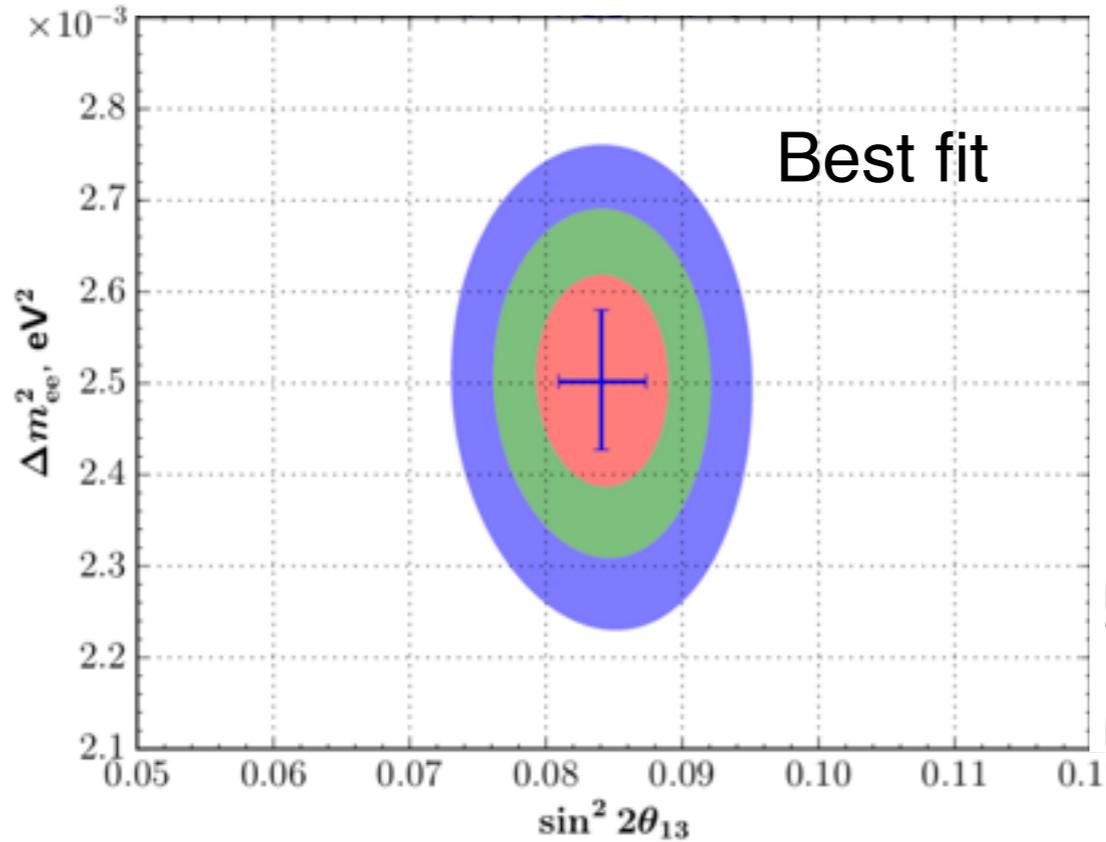


- Sources of energy nonlinearity
  - Scintillator response
  - Readout electronics
- Energy model is constrained with gamma and electron sources.



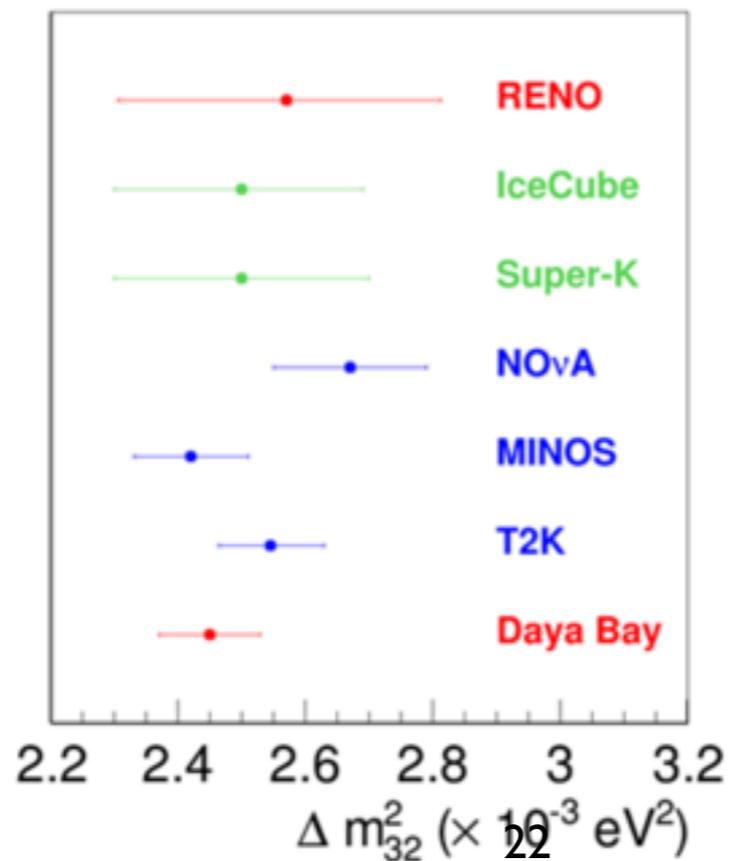
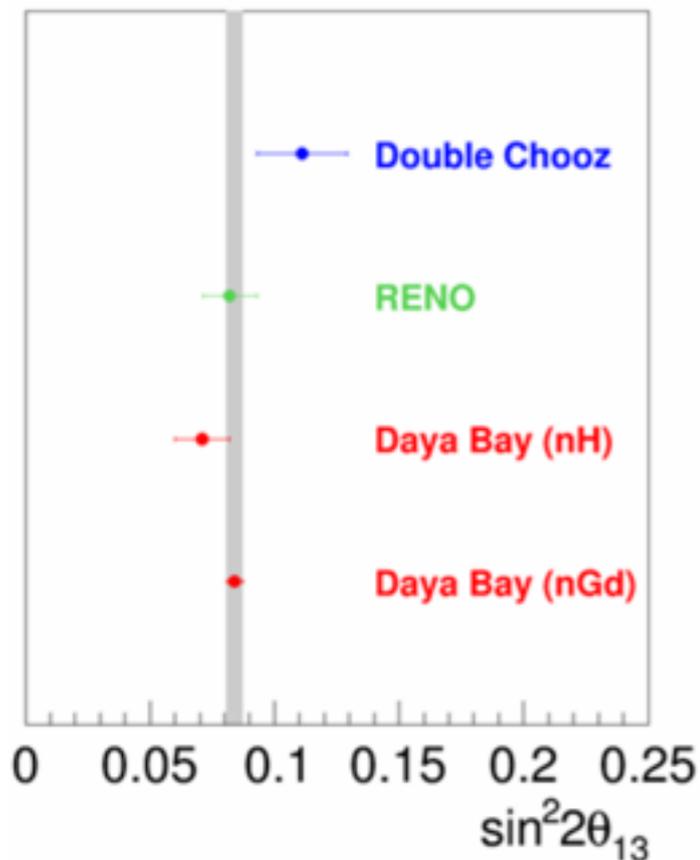
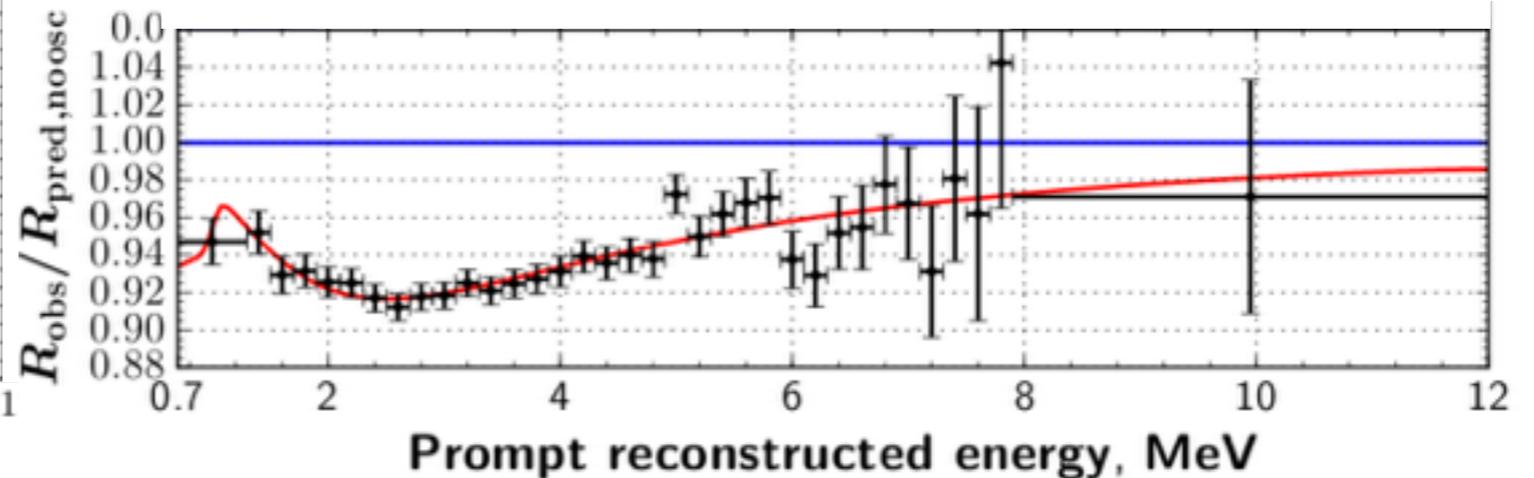
**~1% uncertainty (correlated among detectors)**

# Main Oscillation Results (1230 Days data)



$$\sin^2 2\theta_{13} = (8.41 \pm 0.27 \pm 0.19) \times 10^{-2}$$

$$|\Delta m_{ee}^2| = (2.50 \pm 0.06 \pm 0.06) \times 10^{-3} (eV^2)$$



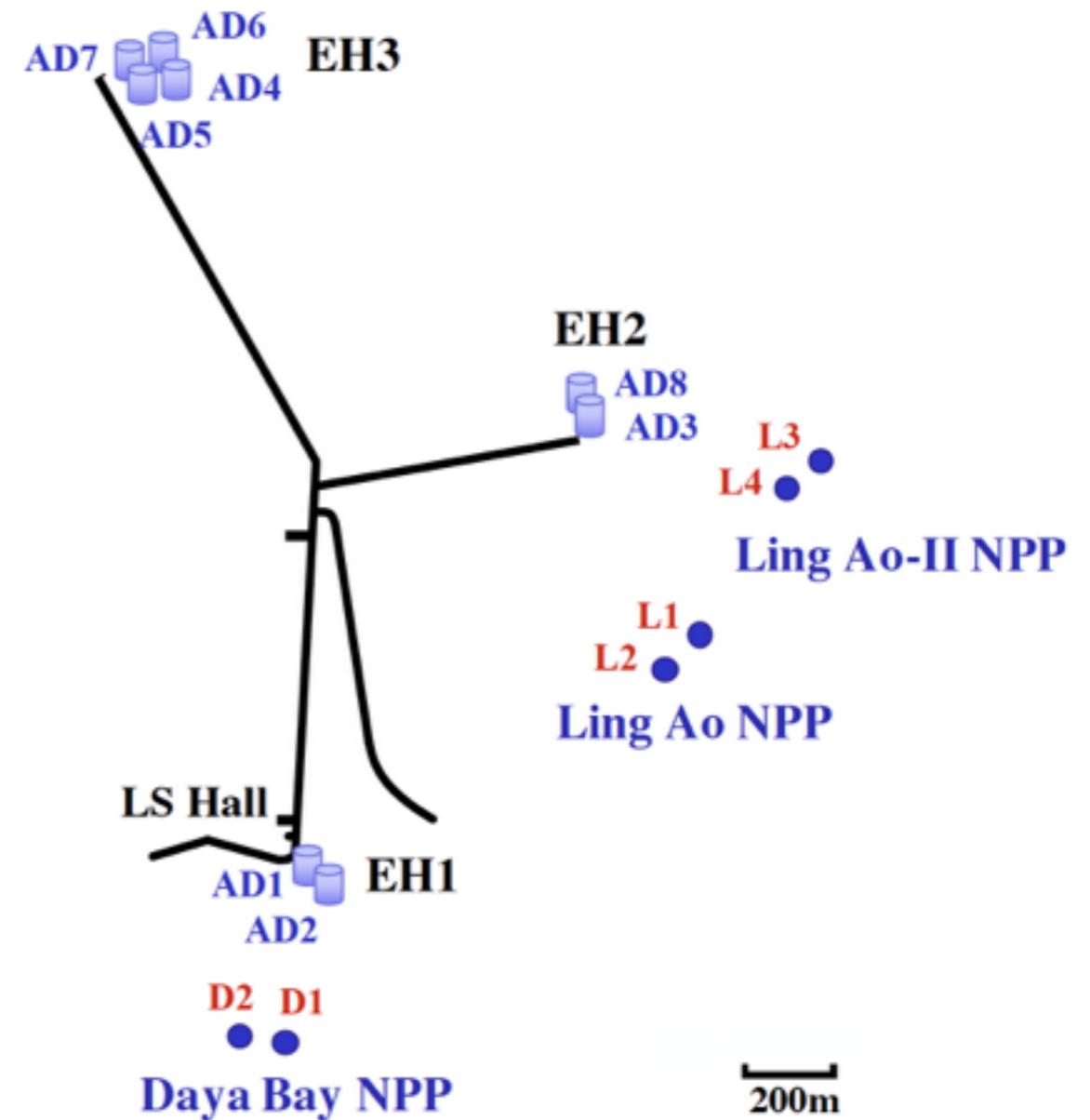
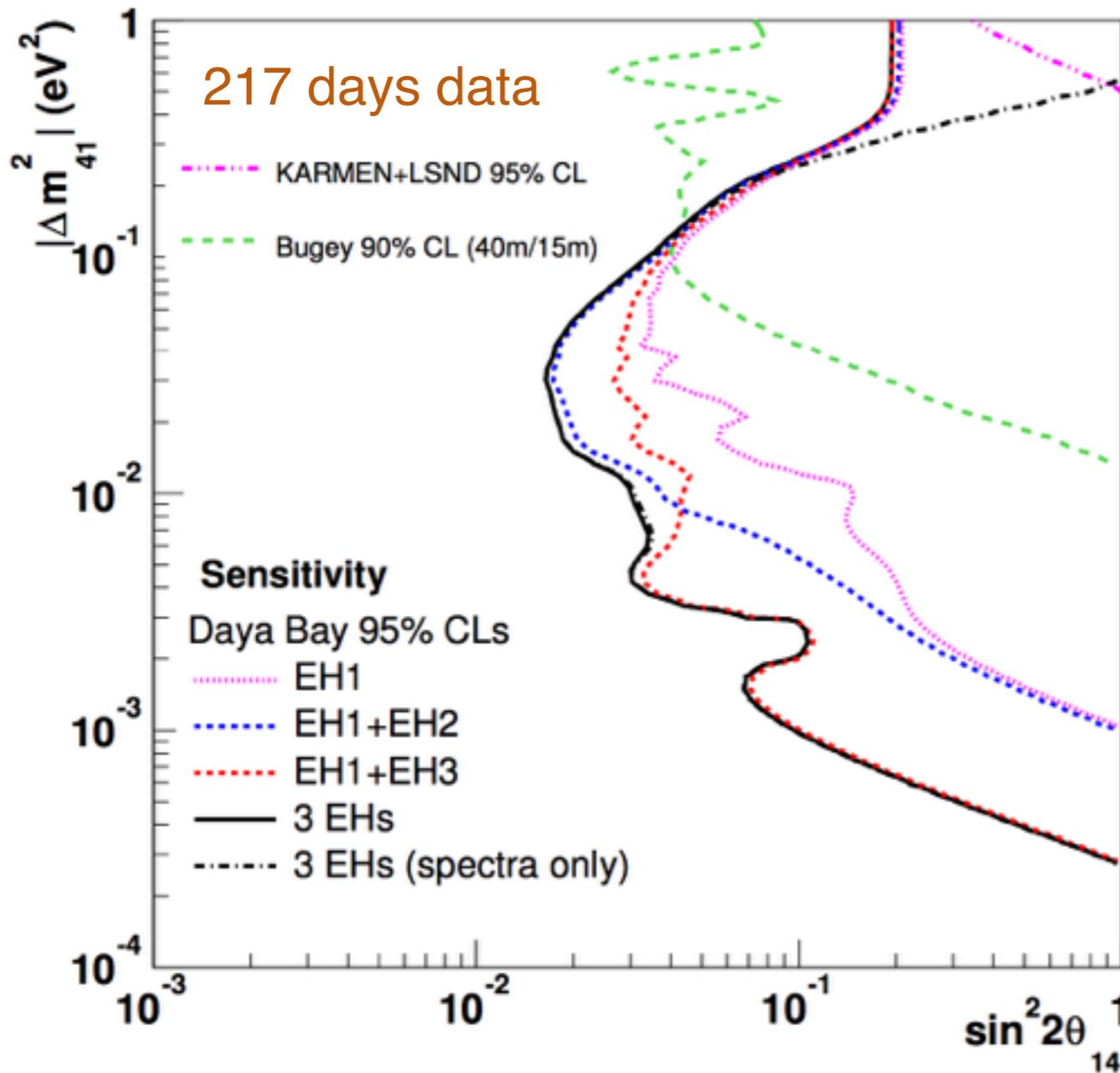
- $\sin^2 2\theta_{13}$  reaches a precision of 4%.
- $\Delta m_{32}^2$  precision reaches 3.4%, which surpasses that of T2K and MINOS experiments.

# Daya Bay Recent Results in 2016

- **1230 days data**
  - Main nGd oscillation analysis (paper is in preparation)
- **621 days data**
  - nH oscillation analysis (PRD 93, 072011)
  - [Light sterile neutrino search \(arXiv:1607.01174\)](https://arxiv.org/abs/1607.01174)
  - [Daya Bay, Bugey-3 and MINOS sterile neutrino results combination \(arXiv:1607.01177\)](https://arxiv.org/abs/1607.01177)
  - Reactor neutrino flux and spectrum measurement (arXiv:1607.05378)
  - Wave packet neutrino oscillation (arXiv:1608.01661)
- **217 days data**
  - Reactor neutrino flux and spectrum measurement (PRL 116, 061801)
- **Others**
  - Daya Bay detector system (NIM A 811, 133-161)

# Daya Bay's Sensitivity to Sterile Neutrino

- Unique configuration of multiple baselines detectors is an asset for sterile neutrino search.

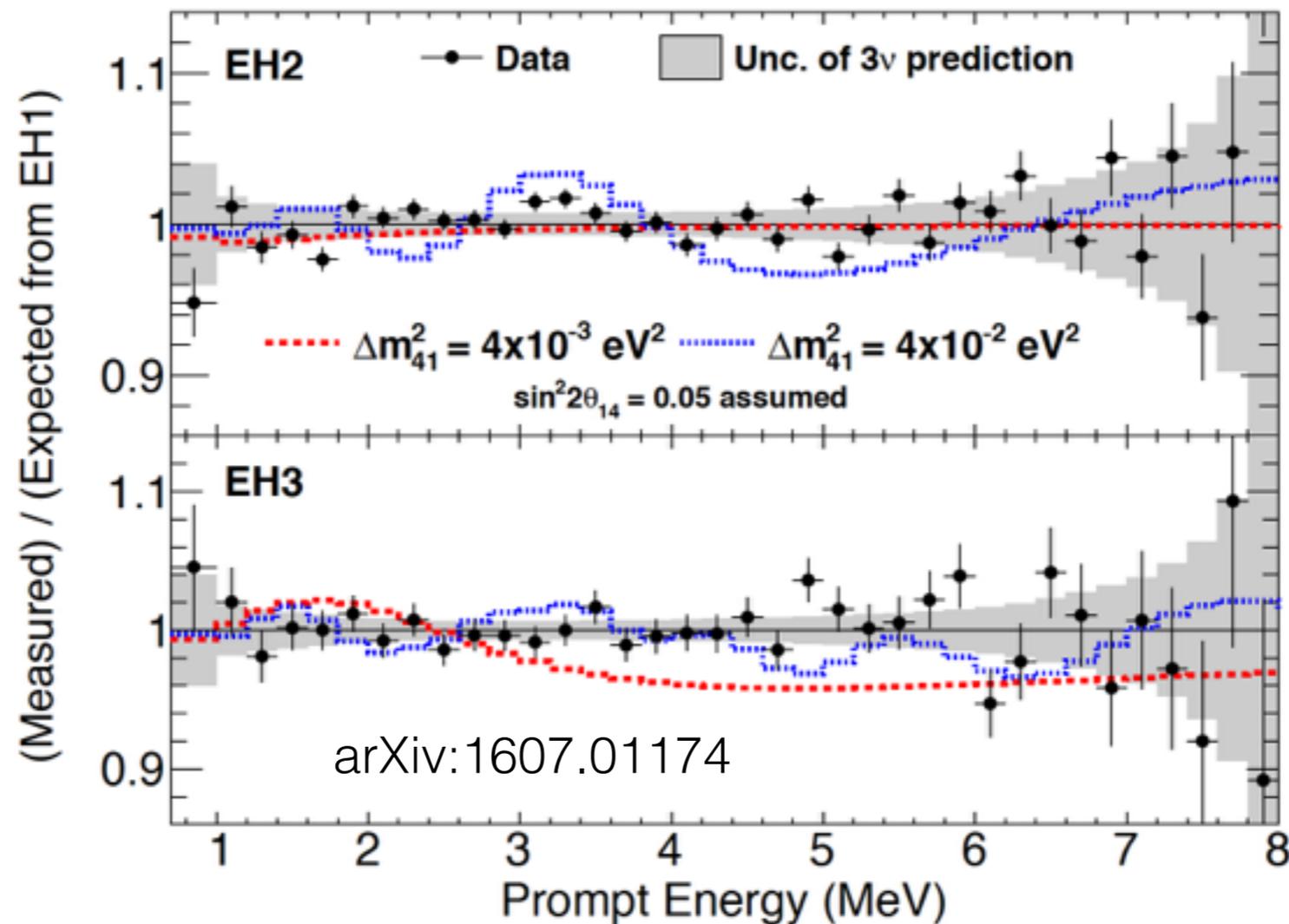


Phys.Rev. Lett.113,141802 (2014)

# Light Sterile Neutrino Search

- 3.6 times more statistics compare to previous publication<sup>[1]</sup>.
  - More than 1 M IBD candidates collected.

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \approx 1 - \sin^2 2\theta_{14} \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right) - \cos^4 \theta_{14} \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{ee}^2 L}{4E}\right)$$



[1] Phys. Rev. Lett. 113, 141802 (2014)

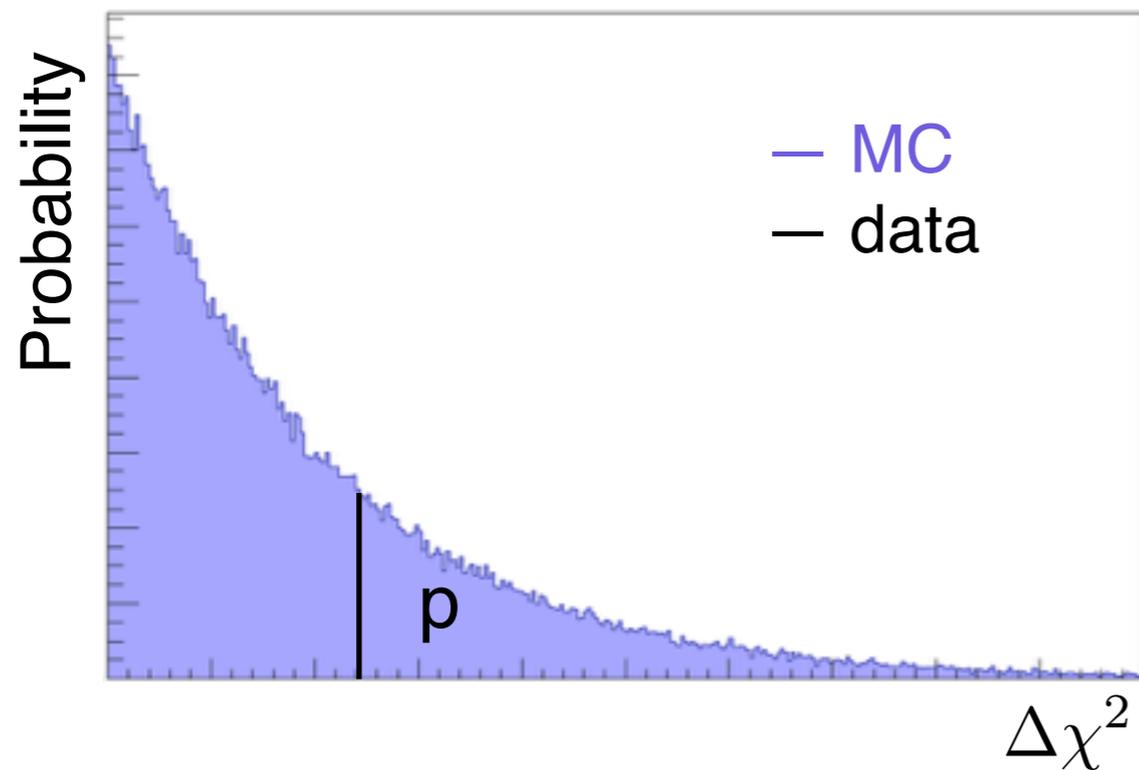
# Fieldman-Cousins (FC) Method

For each  $(\theta_{14}, \Delta m_{41}^2)$  calculate  $\chi^2$  and find the global minimum value

Then define

$$\Delta\chi^2 = \chi^2(\theta_{14}, \Delta m_{41}^2) - \chi_{min}^2(\theta_{14}(min), \Delta m_{41}^2(min))$$

For each  $(\theta_{14}, \Delta m_{41}^2)$  calculate  $\Delta\chi^2$  distribution using MC, from which a p-value can be extracted for that point.



Confidence interval of  $\alpha$  is set at

$$p = 1 - \alpha$$

FC method is very computation demanding and time consuming

Gary J. Fieldman and Robert D. Cousins, PRD 57, 3873 (1998)

# CL<sub>s</sub> Method\*

For each  $(\theta_{14}, \Delta m_{41}^2)$  compare two hypotheses: 3ν and 4ν.

Define  $\Delta\chi^2 = \chi_{4\nu}^2 - \chi_{3\nu}^2$

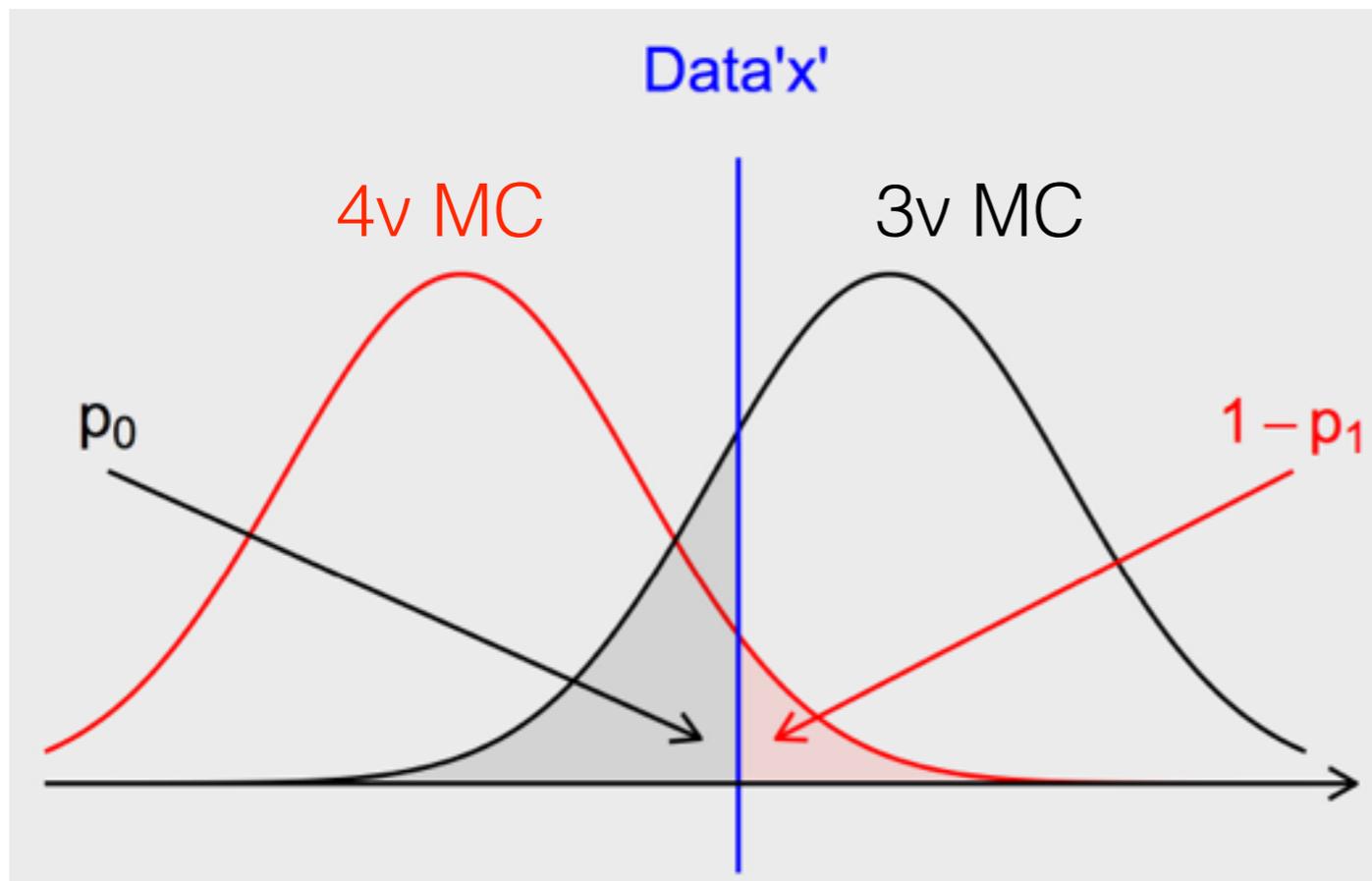
then  $CL_s = \frac{1 - p_1}{1 - p_0}$

For Gaussian CL<sub>s</sub><sup>†</sup>, calculate

$\Delta\chi_{data}^2$  — data

$\Delta\chi_{3\nu}^2$  — 3ν Asimov data

$\Delta\chi_{4\nu}^2$  — 4ν Asimov data



$$CL_s = \frac{1 + \text{Erf}\left(\frac{\Delta\chi_{4\nu}^2 - \Delta\chi_{data}^2}{\sqrt{8|\Delta\chi_{4\nu}^2|}}\right)}{1 + \text{Erf}\left(\frac{\Delta\chi_{3\nu}^2 - \Delta\chi_{data}^2}{\sqrt{8|\Delta\chi_{3\nu}^2|}}\right)}$$

\* A.L. Read J. Phys. G28, 2693

\* T. Junk NIMA 434, 435

† X. Qian et al. NIMA 827, 63 (2016)

# Combination using $CL_s$ method

## Why $CL_s$ method?

- FC method is too complicated to combine results from different experiments.
  - Finding the global  $\chi^2$  minimum for the combined experiments is a big challenge.
- $CL_s$  is easy for combining results from different experiments.
- Compare two hypothesis directly and no need to find the global minimum  $\chi^2$ .

## Combining steps

- Combine Daya Bay and Bugey-3 results.
- Combine Daya Bay/Bugey-3 and MINOS results.

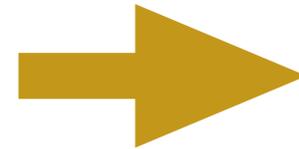
# Combination using CL<sub>s</sub> method

Daya Bay and Bugey-3 combination

$$\Delta\chi_{data}^2 = \Delta\chi_{data}^2|_{DayaBay} + \Delta\chi_{data}^2|_{Bugey}$$

$$\Delta\chi_{3\nu}^2 = \Delta\chi_{3\nu}^2|_{DayaBay} + \Delta\chi_{3\nu}^2|_{Bugey}$$

$$\Delta\chi_{4\nu}^2 = \Delta\chi_{4\nu}^2|_{DayaBay} + \Delta\chi_{4\nu}^2|_{Bugey}$$



CL<sub>s</sub> values

Daya Bay/Bugey-3 and MINOS combination

- Daya Bay/Bugey-3 and MINOS

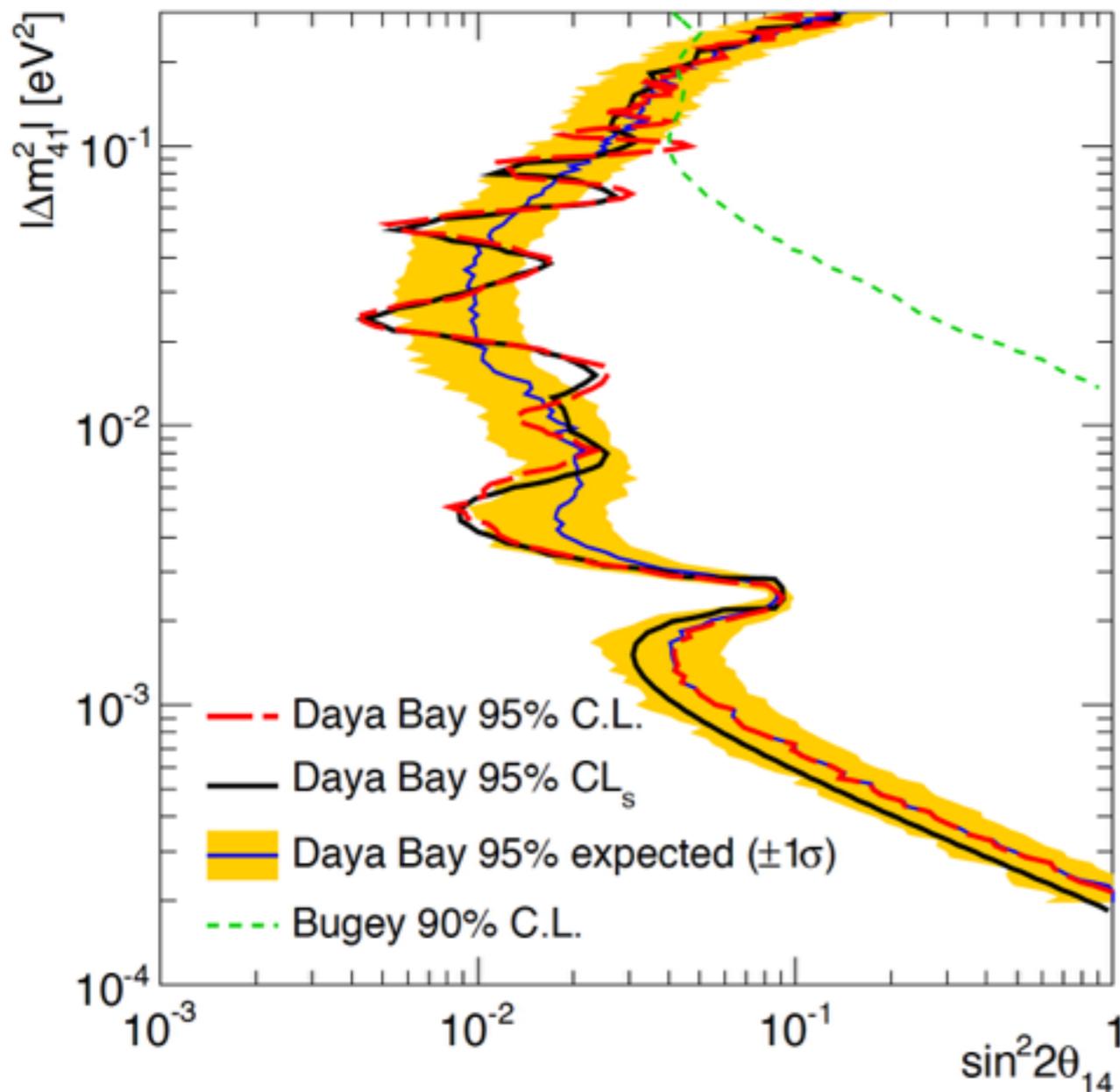
$$\Delta\chi_{com}^2 = \Delta\chi_{DB}^2 + \Delta\chi_M^2$$

$$\sin^2 2\theta_{\mu e} = \sin^2 2\theta_{14} \sin^2 \theta_{24}$$

- Then calculate the CL<sub>s</sub> value for each ( $\Delta m_{41}^2$ ,  $\sin^2 2\theta_{14}$ ,  $\sin^2 \theta_{24}$ )
- The largest CL<sub>s</sub> value is picked for the  $\sin^2 2\theta_{\mu e}$  to be conservative.

# Light Sterile Neutrino Search Results

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \approx 1 - \sin^2 2\theta_{14} \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right) - \cos^4 \theta_{14} \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{ee}^2 L}{4E}\right)$$



arXiv:1607.01174

- FC and CLs results are consistent

- No evidence of sterile neutrino in

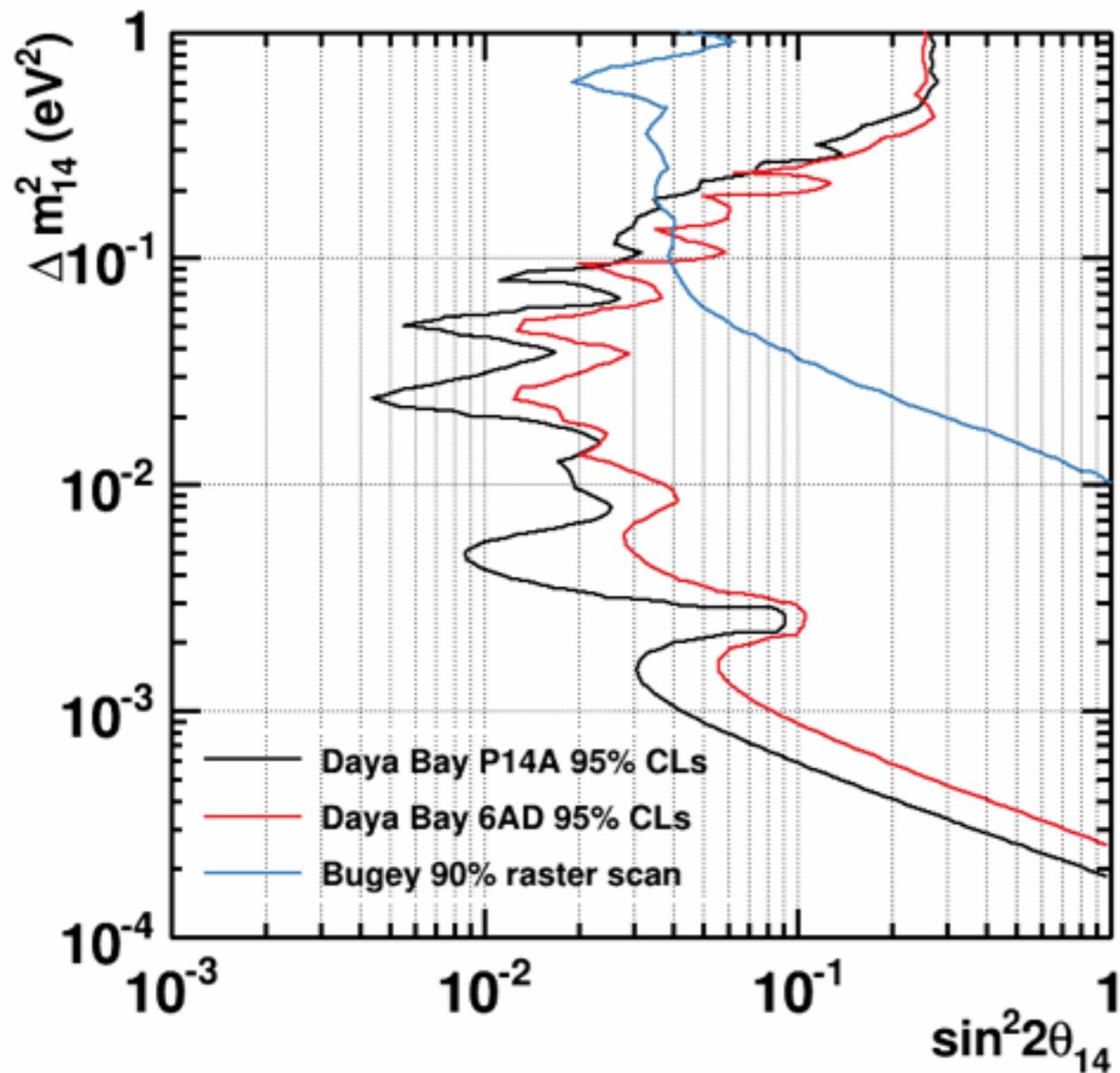
$$2 \times 10^{-4} \text{ eV}^2 \lesssim |\Delta m_{41}^2| \lesssim 0.3 \text{ eV}^2$$

- Most stringent constraints to date

$$|\Delta m_{41}^2| \lesssim 0.2 \text{ eV}^2$$

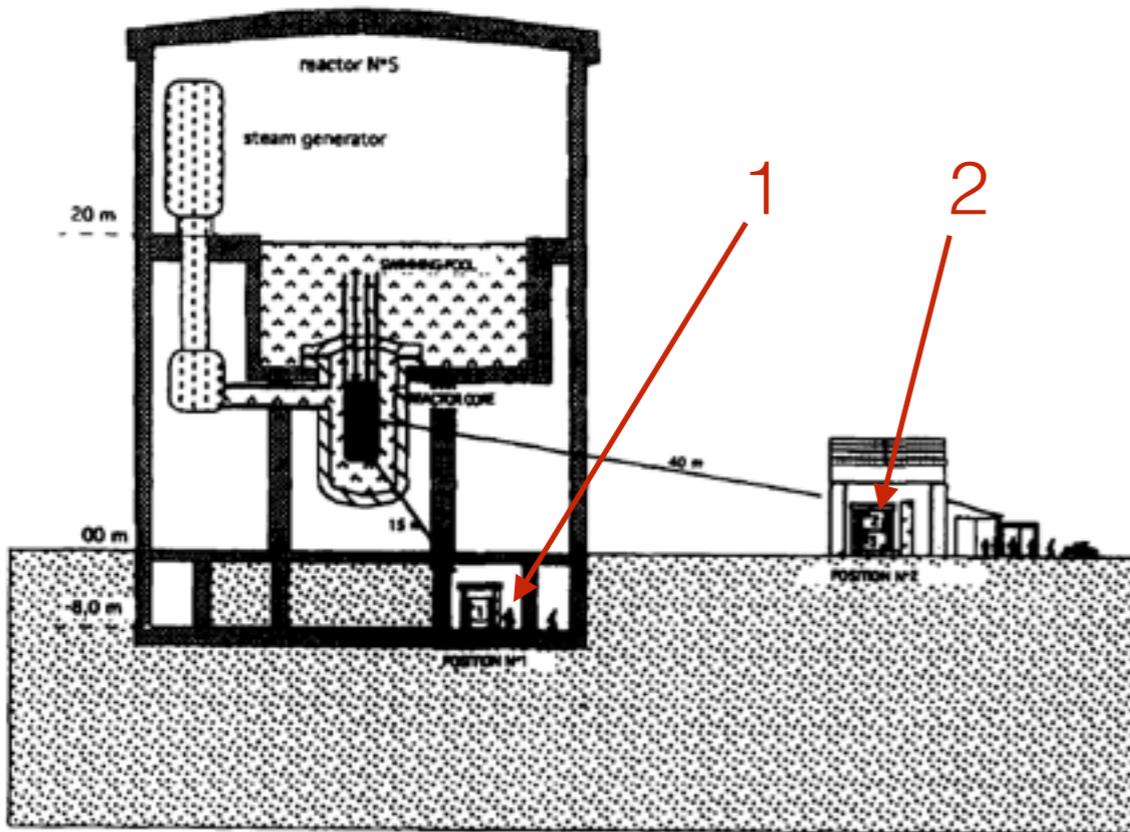
# Light Sterile Neutrino Search Results

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \approx 1 - \sin^2 2\theta_{14} \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right) - \cos^4 \theta_{14} \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{ee}^2 L}{4E}\right)$$



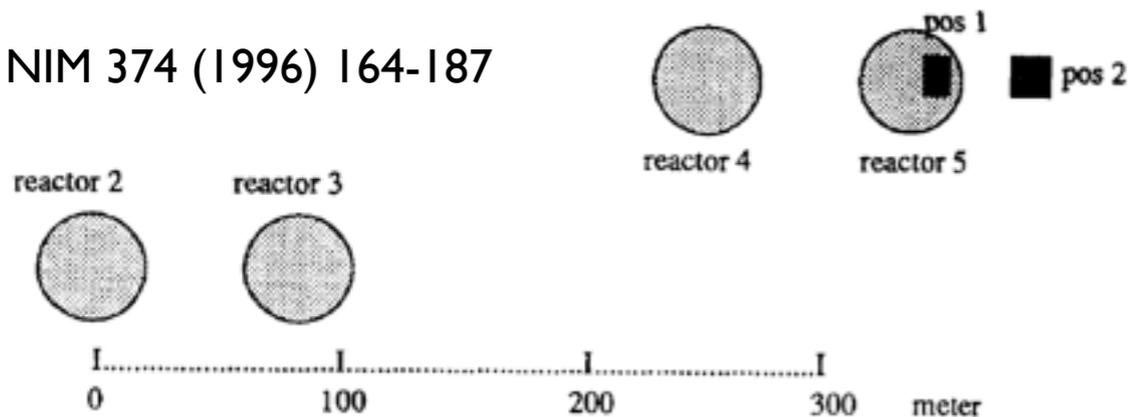
- FC and CLs results are consistent
- No evidence of sterile neutrino in  $2 \times 10^{-4} eV^2 \lesssim |\Delta m_{41}^2| \lesssim 0.3 eV^2$
- Most stringent constraints to date in  $|\Delta m_{41}^2| \lesssim 0.2 eV^2$
- The result limits on  $\sin^2 2\theta_{14}$  are improved by a factor of  $\sim 2$  over previous results.

# Bugey-3 experiment overview



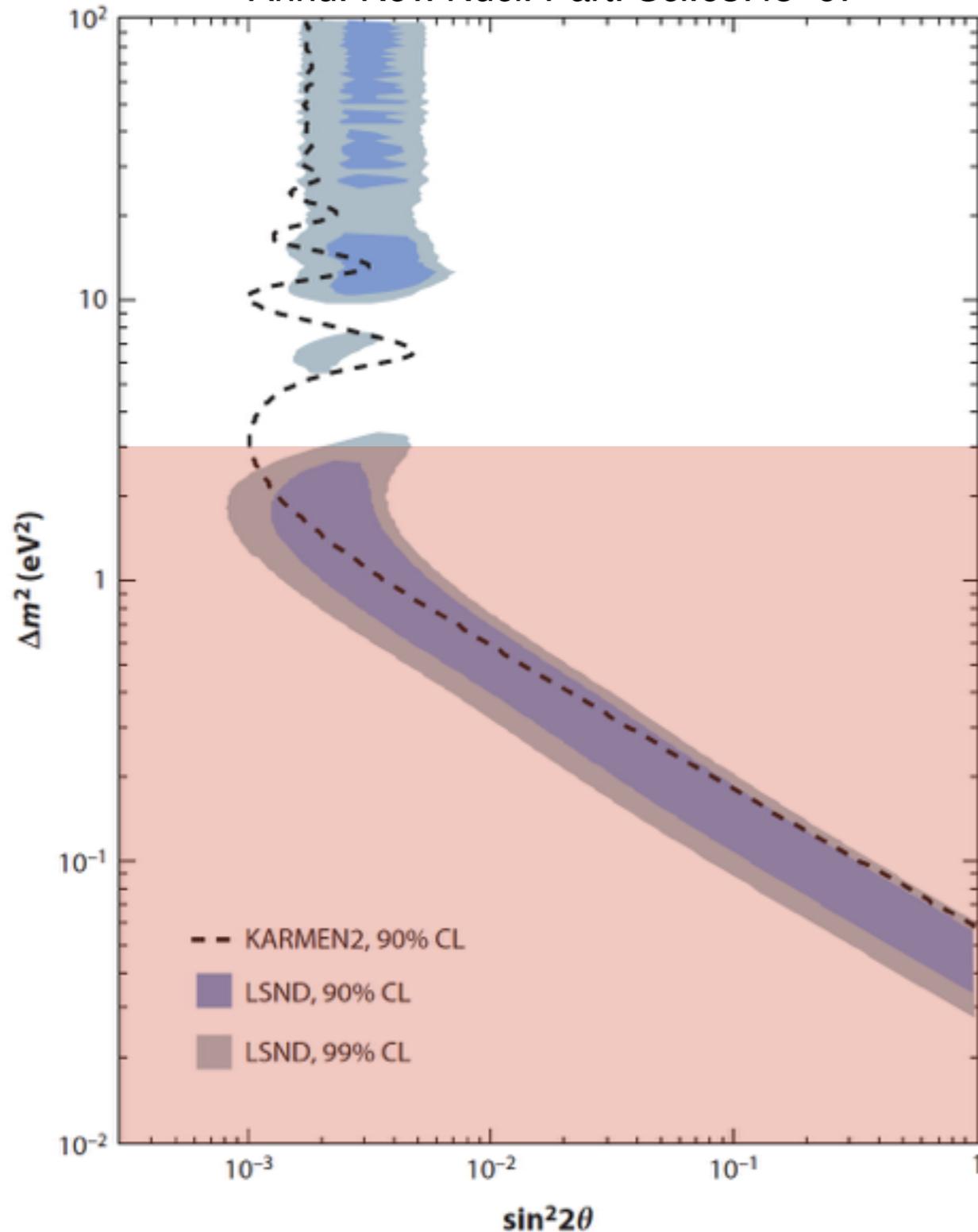
- Bugey-3 experiment was carried out in 1990s to search for neutrino oscillation.
  - No neutrino oscillation was observed.
- Detecting reactor neutrinos use three functional identical detector modules placed at two positions.
  - 15, 40 and 95m
  - Probe different sensitivity region of  $\Delta m^2_{41}$ .

NIM 374 (1996) 164-187



# Why Bugey-3?

Annu. Rev. Nucl. Part. Sci.63:45–67



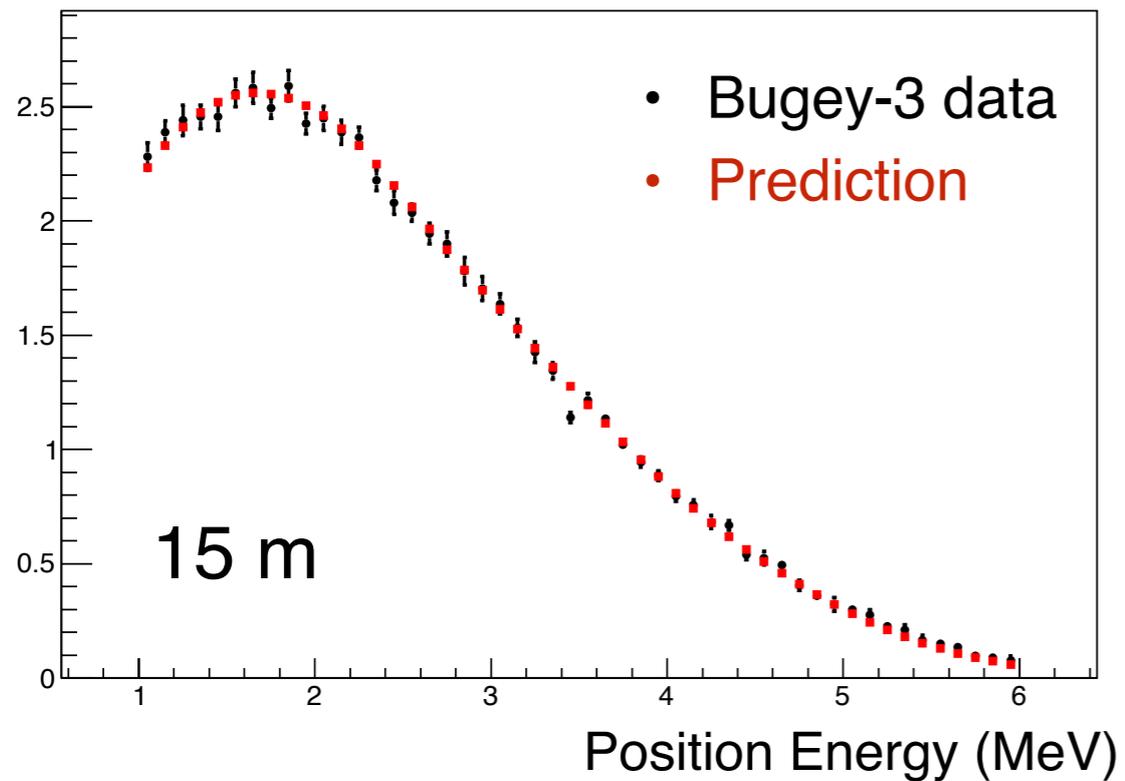
Daya Bay and Bugey-3 combine  
can probe LSND/MiniBooNE  
allowed region for:

$$\Delta m_{41}^2 \lesssim 3 eV^2$$

## Why reproduce Bugey-3 result?

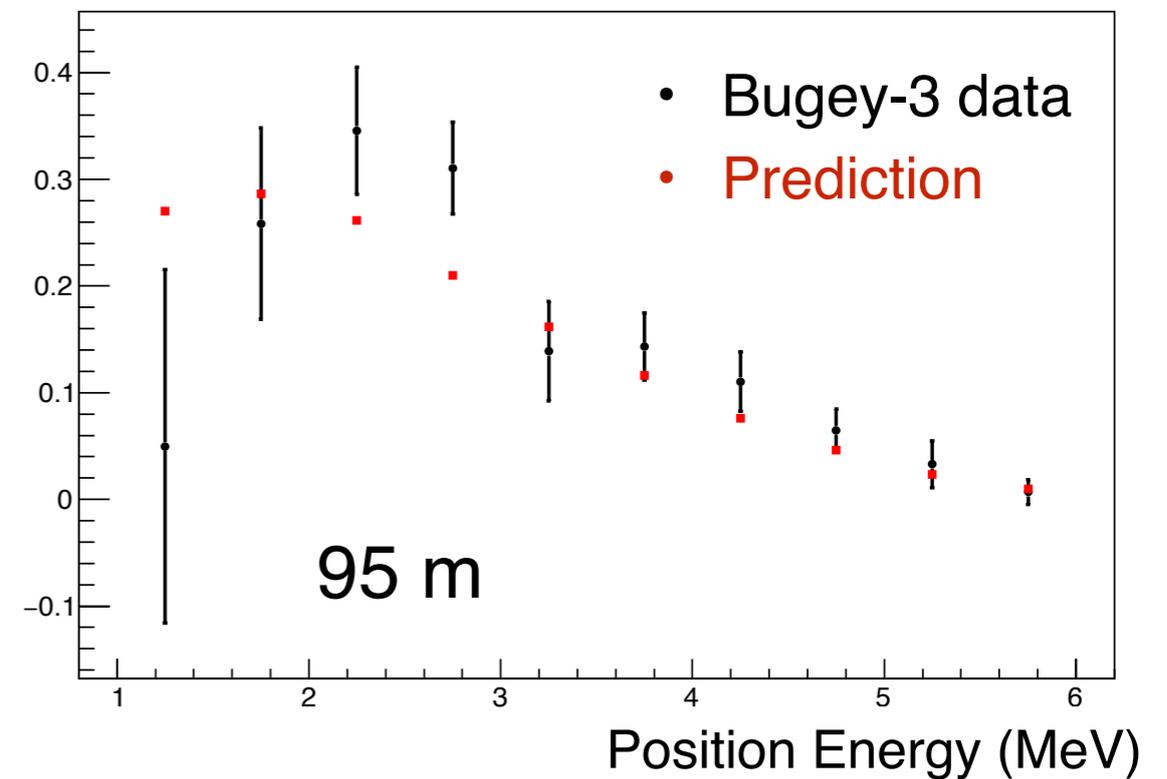
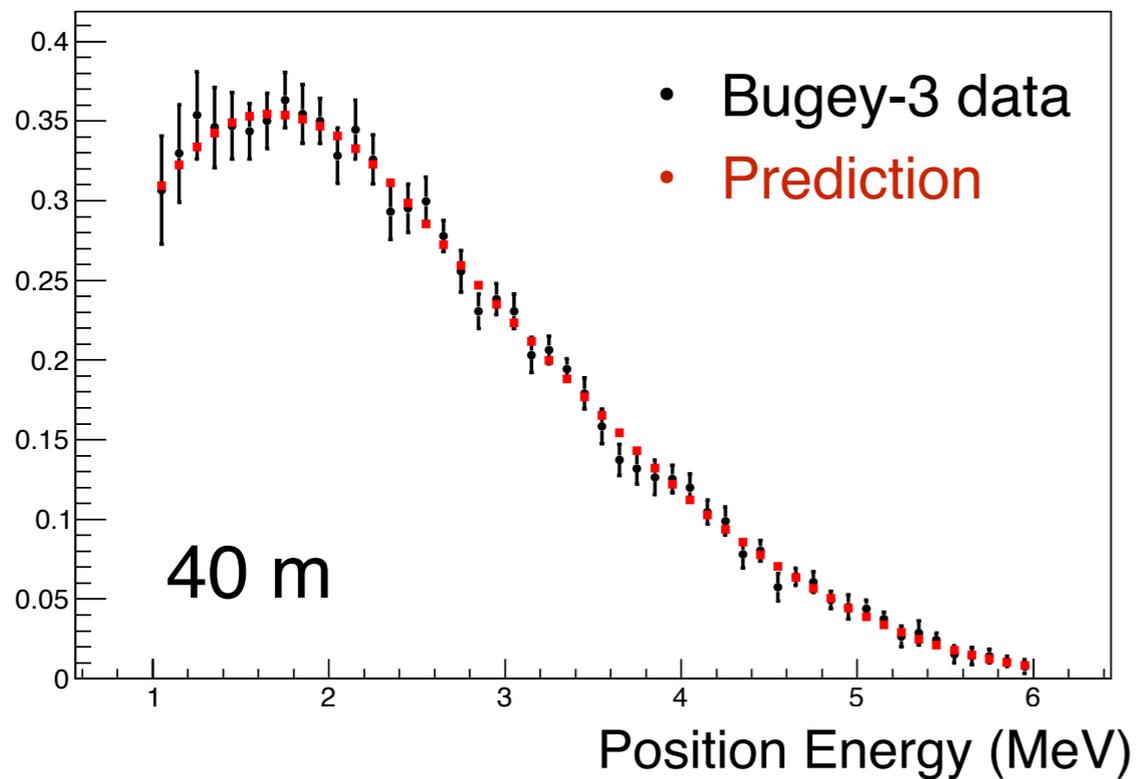
- Combination at fitter level allows us to take into account the correlations from reactors.
- Bugey-3's original fitter is not available anymore.

# Reproduced Bugey-3 Positron Spectrum



Bugey-3's positron spectra at 15, 40 and 95 m baselines are successfully reproduced.

Predicted spectra are normalized to the Bugey-3 measured spectra.



# Bugey-3 data used and chi-2 format

Nucl. Phys. B 434 (1995) 503-532

$$\chi_1^2 = \sum_{i=1}^{25} \frac{\{[(1 - a_0) \cdot (1 + a_2) + a_1 \cdot (E_i - 1.0)] \cdot R_i^{pre} - R_i^{obs}\}^2}{\sigma_i^2}$$

$$\chi_2^2 = \sum_{i=1}^{25} \frac{\{[(1 - a_0) \cdot (1 + a_3) + a_1 \cdot (E_i - 1.0)] \cdot R_i^{pre} - R_i^{obs}\}^2}{\sigma_i^2}$$

$$\chi_3^2 = \sum_{i=1}^{10} \frac{\{[(1 - a_0) \cdot (1 + a_4) + a_1 \cdot (E_i - 1.0)] \cdot R_i^{pre} - R_i^{obs}\}^2}{\sigma_i^2}$$

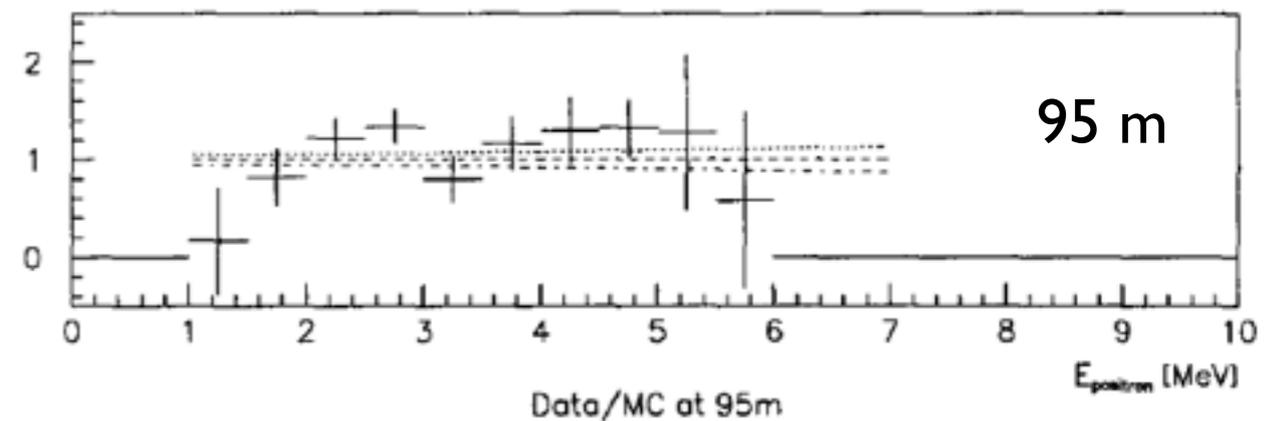
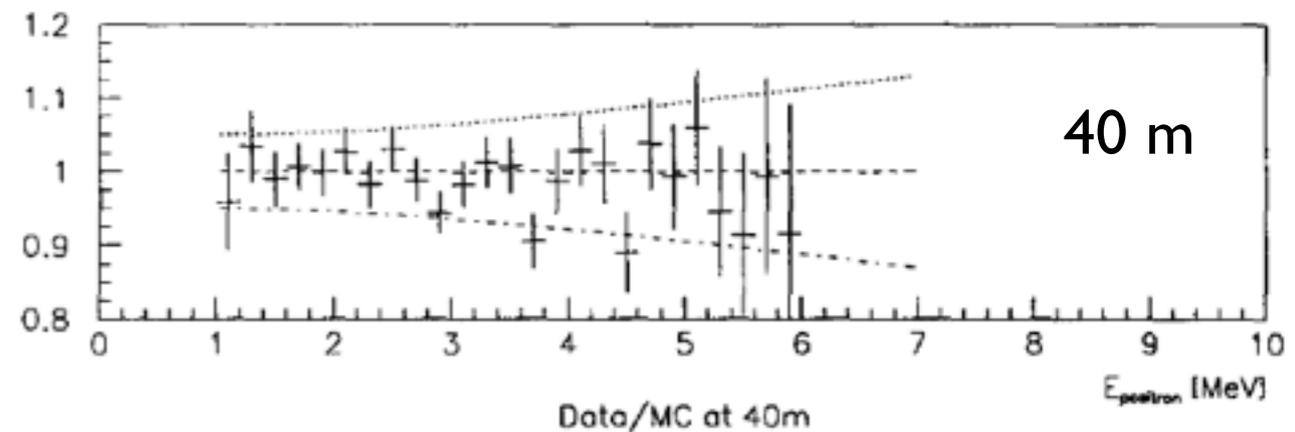
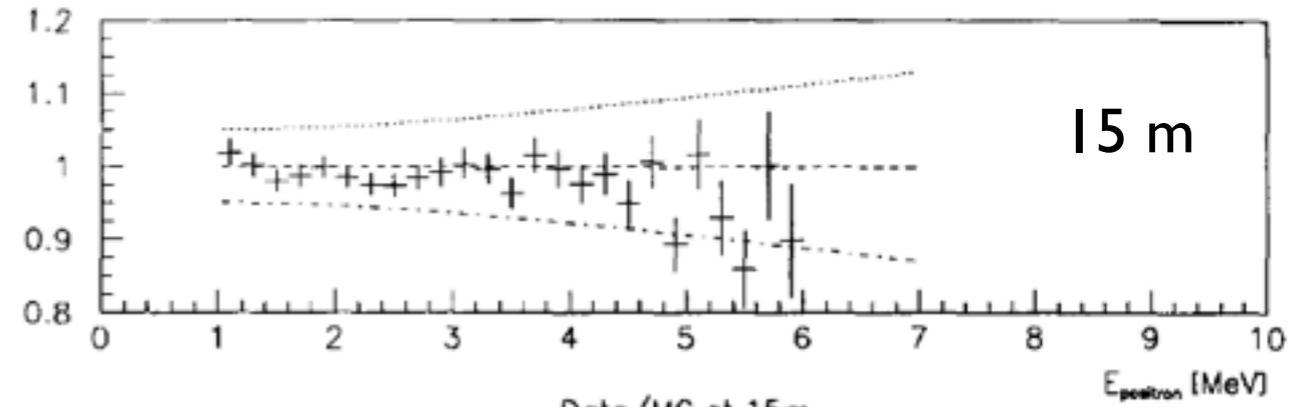
$$\chi_{total}^2 = \chi_1^2 + \chi_2^2 + \chi_3^2 + \sum_{i=0}^4 \frac{a_i^2}{\sigma_{a_i}^2}$$

$$R^{pre} = \frac{N_{pre}^{osc}}{N_{pre}^{no-osc}}$$

$$\sigma_{a_0} = 5\%$$

$$\sigma_{a_1} = 2\%$$

$$\sigma_{a_2} \rightarrow \sigma_{a_4} = 1.4\%$$



ILL+Vogel flux is used here for the reproduction!

# Bugey-3 Contour Reproduction

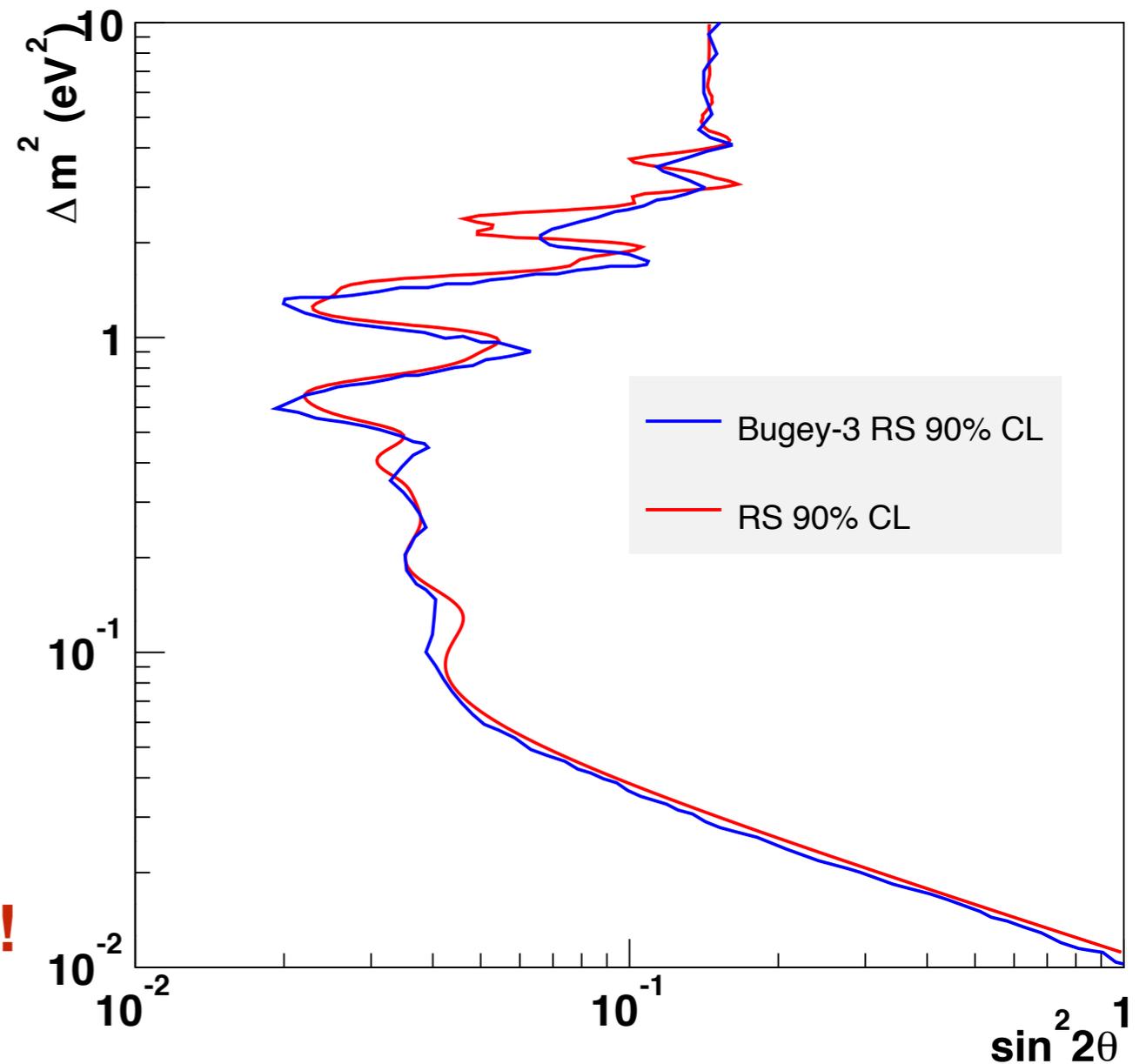
## Raster Scan (RS) method

For a fixed  $\Delta m_{41}^2$ , scan the whole  $\theta_{14}$  space, and find the  $\chi^2$  minimum.

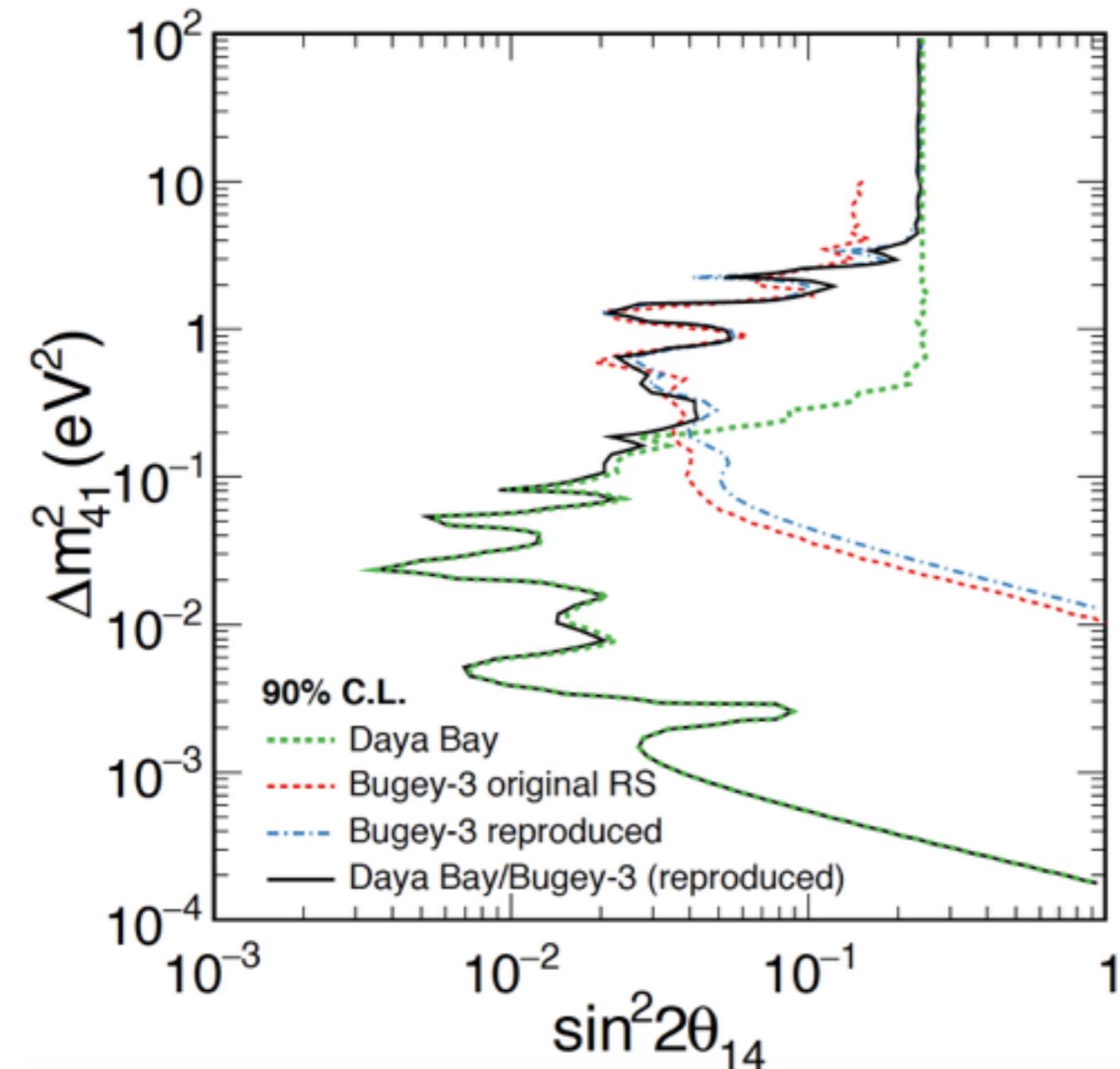
$$\Delta\chi^2 = \chi^2(\theta_{14}, \Delta m_{41}^2) - \chi_{min}^2(\theta_{14}(min), \Delta m_{41}^2)$$

Similar processes like FC afterwards.

**Bugey-3's 90% C.L. exclusion contour is successfully reproduced!**



# Daya Bay and Bugey-3 combined



## Modifications to Bugey-3 results

- Update the reactor flux models

**ILL + Vogel** → **Huber + Mueller**

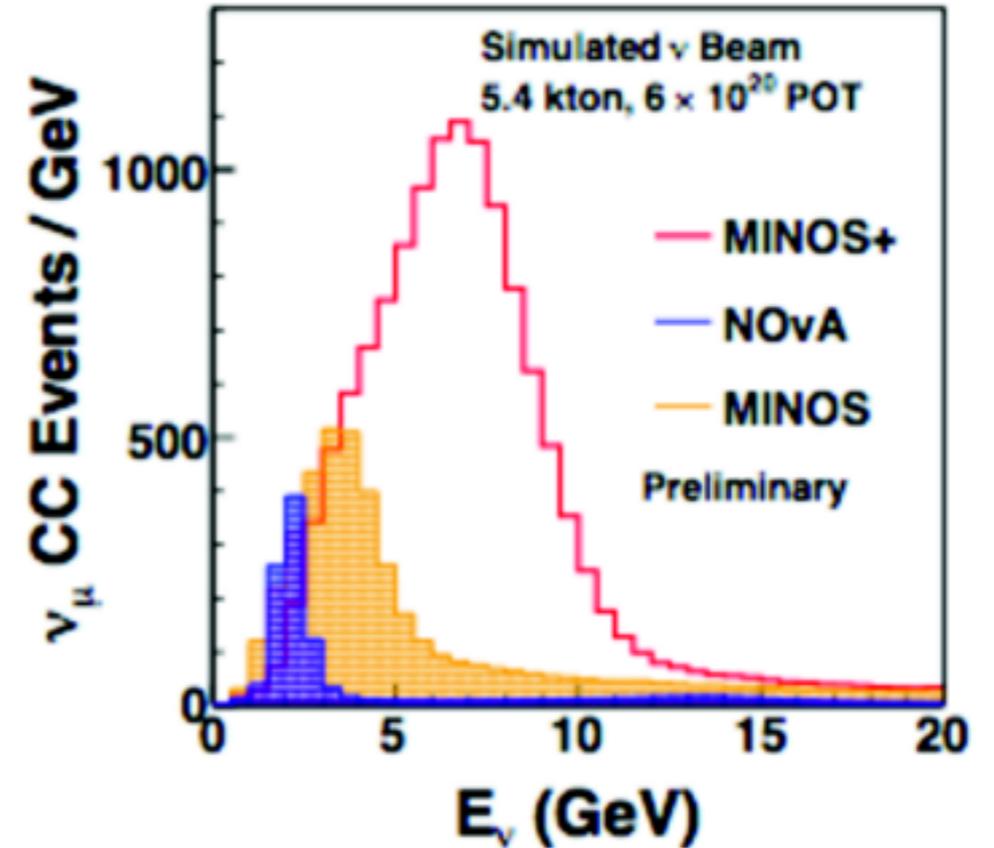
$$R'_{Bugey}{}^{obs} = R_{Bugey}{}^{obs} \cdot \frac{MC(ILL + Vogel)}{MC(Huber + Mueller)}$$

- Update IBD cross sections
  - Cross sections inversely proportional to the neutron lifetime.
  - The measured neutron lifetime changes since Bugey-3 experiment and affect the IBD cross sections.

CLs method is used for the combination.

# MINOS Overview

- $\nu_\mu$  dominant beams generated from 120 GeV protons.
- Two functional identical detectors
- Detect  $\nu_\mu$  in both CC and NC modes



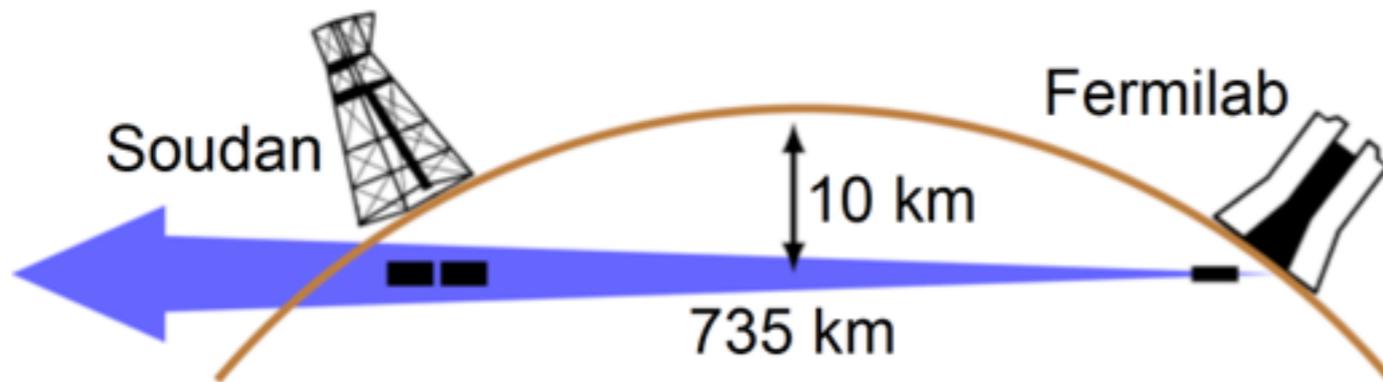
## Far detector:

- 735 km baseline
- 5.4k tons mass



## Near Detector:

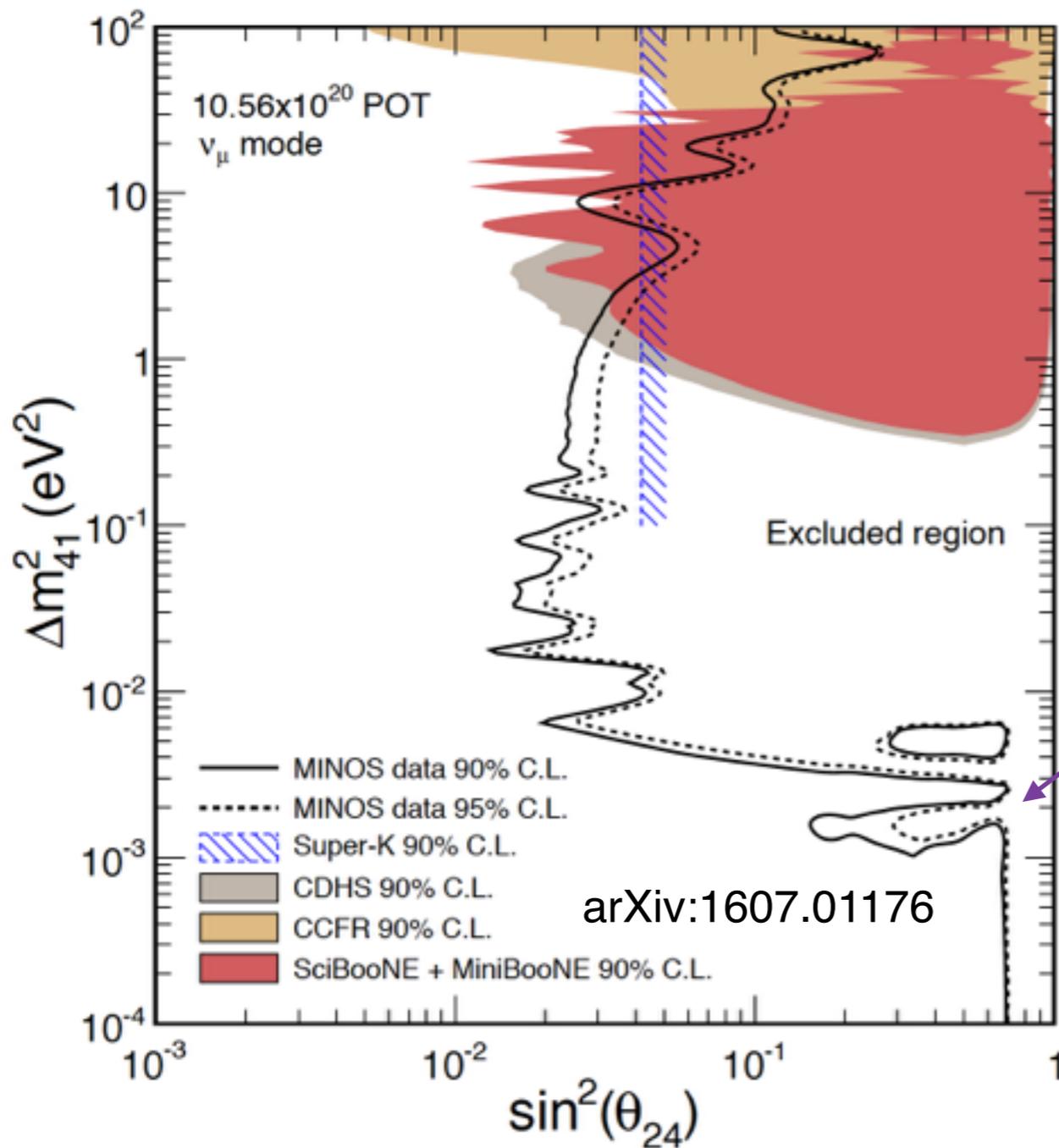
- 1 km baseline
- 1k ton mass



Justin Evans (MINOS), Neutrino 2016

# MINOS Sterile Neutrino Result

$$P_{\nu_\mu \rightarrow \nu_\mu} \approx 1 - \sin^2 2\theta_{23} \cos 2\theta_{24} \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right) - \sin^2 2\theta_{24} \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right)$$



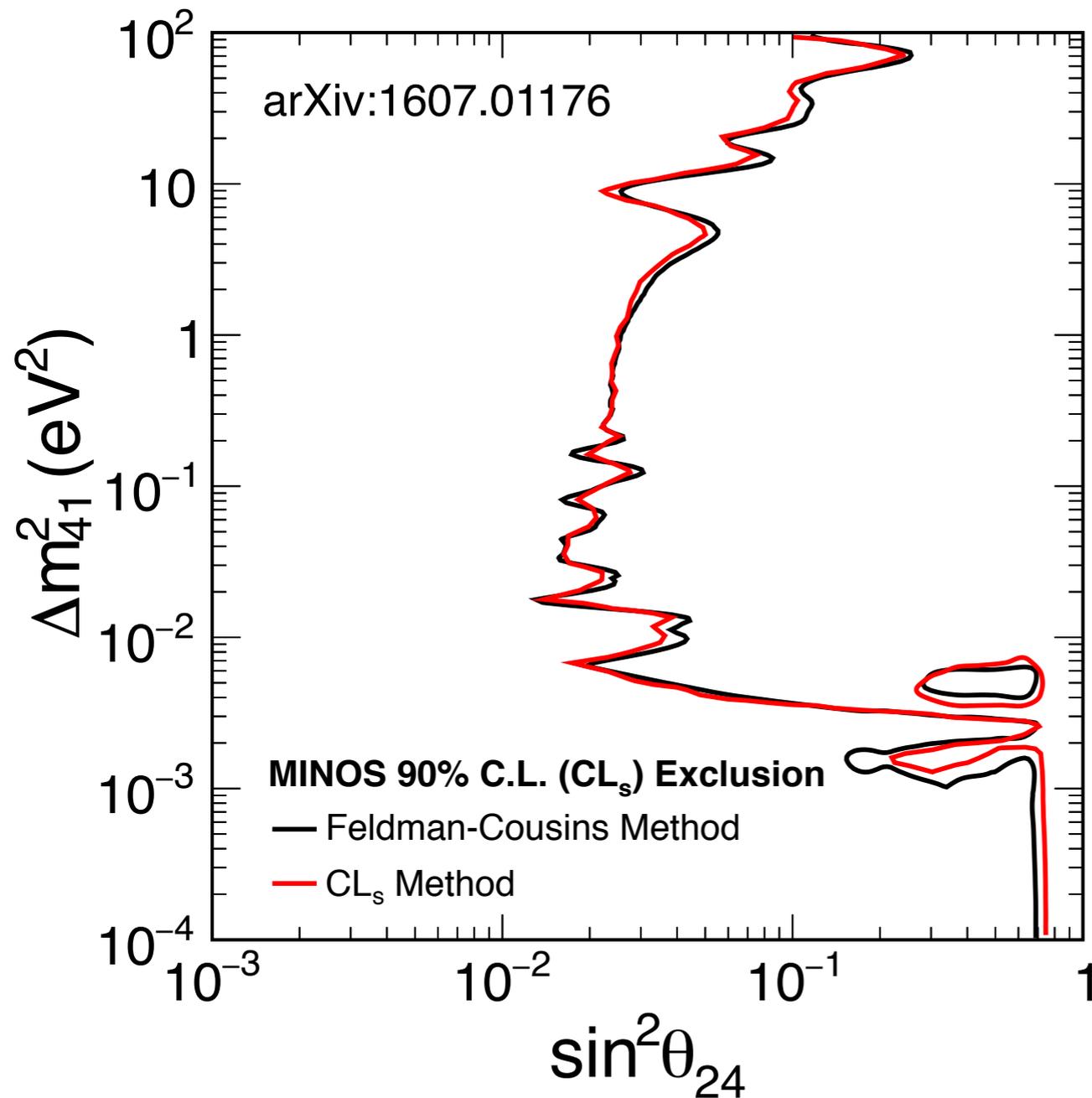
- No sterile neutrino evidence is found for  $\nu_\mu \rightarrow \nu_s$  oscillation.
- Set most stringent limit on  $\theta_{24}$  in  $|\Delta m_{41}^2| \lesssim 1 \text{ eV}^2$

Internal allowed region due to degenerate solutions.

- $\theta_{24}$  take on the role of  $\theta_{23}$ .
- 4v oscillations degenerate with 3v oscillations.

# MINOS CLs exclusion contour

$$P_{\nu_\mu \rightarrow \nu_\mu} \approx 1 - \sin^2 2\theta_{23} \cos 2\theta_{24} \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right) - \sin^2 2\theta_{24} \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right)$$



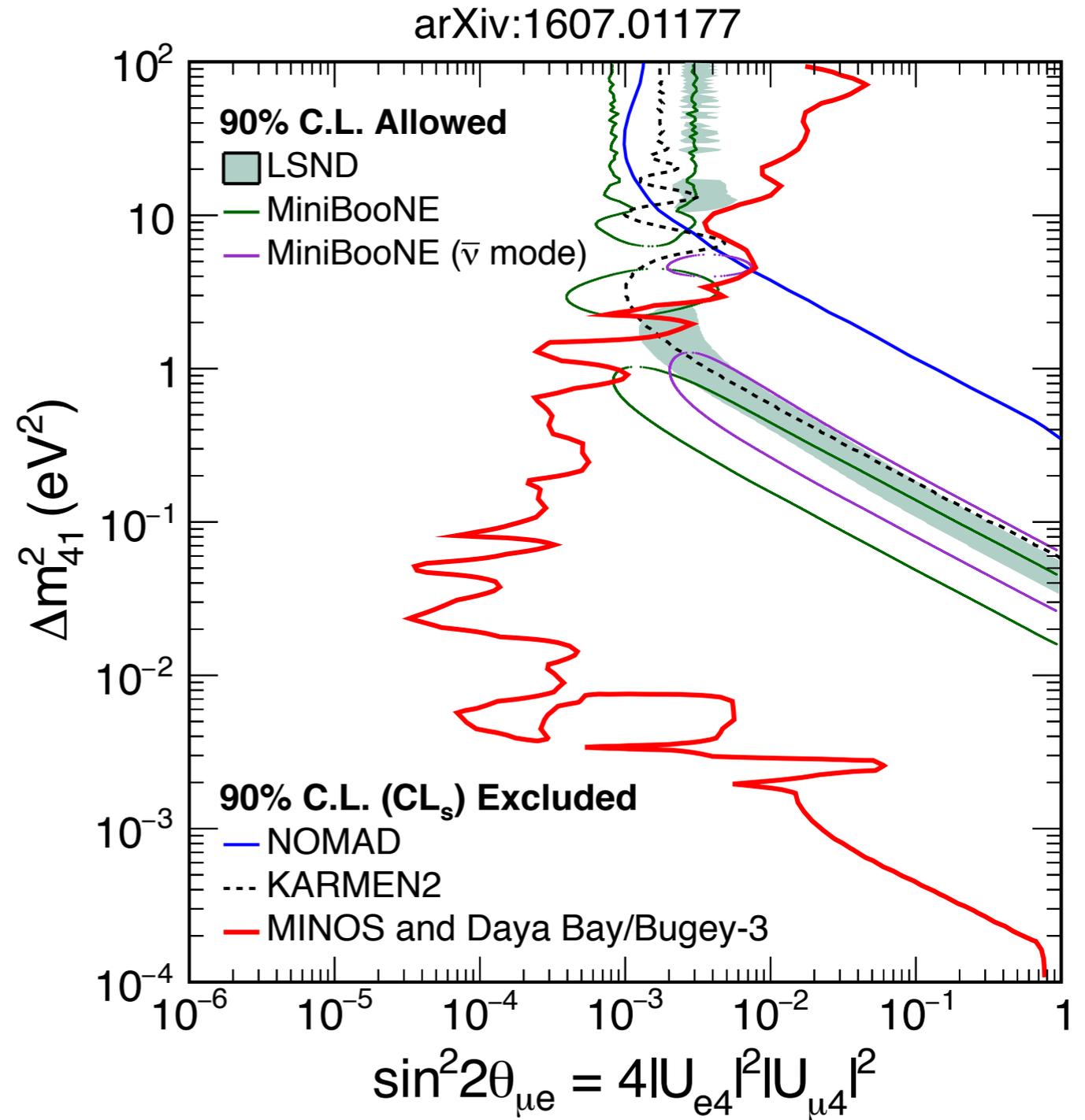
- Standard CLs method is used
  - Gaussian CLs not hold for MINOS
- MC generated for 3v and 4v models.
  - PDG values used for 3v model.
  - $\theta_{23}$ ,  $\theta_{34}$ ,  $\Delta m_{32}^2$  set to the best fit to data at each  $(\theta_{24}, \Delta m_{41}^2)$  point for 4v model

**Contours extracted from FC  
and CLs are consistent!**

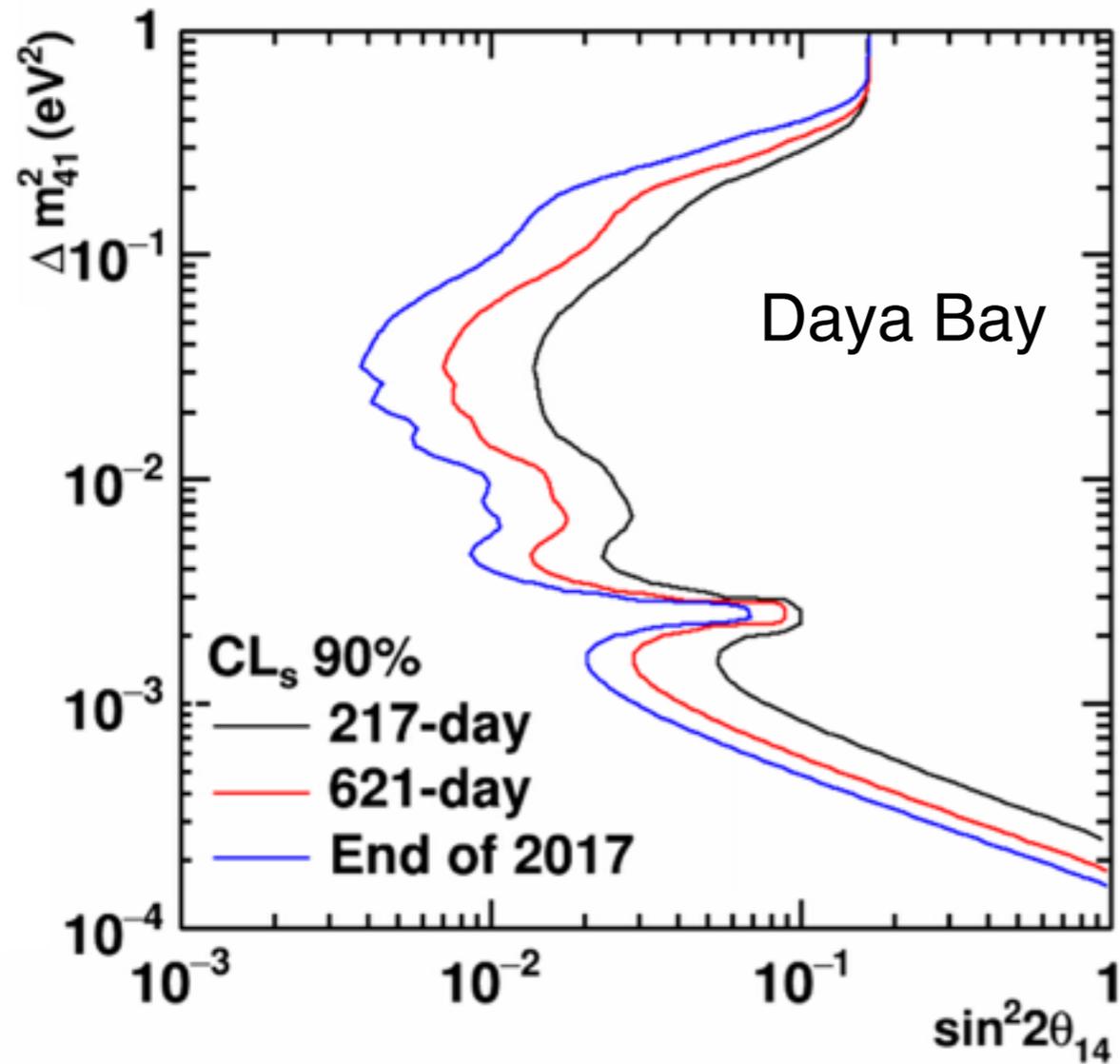
# Combination Result

- Stringent limits set on  $\sin^2 2\theta_{\mu e}$  over 6 orders of  $\Delta m_{41}^2$
- The combined 90% C.L. limits excludes regions allowed by LSND and MiniBooNE appearance measurements for

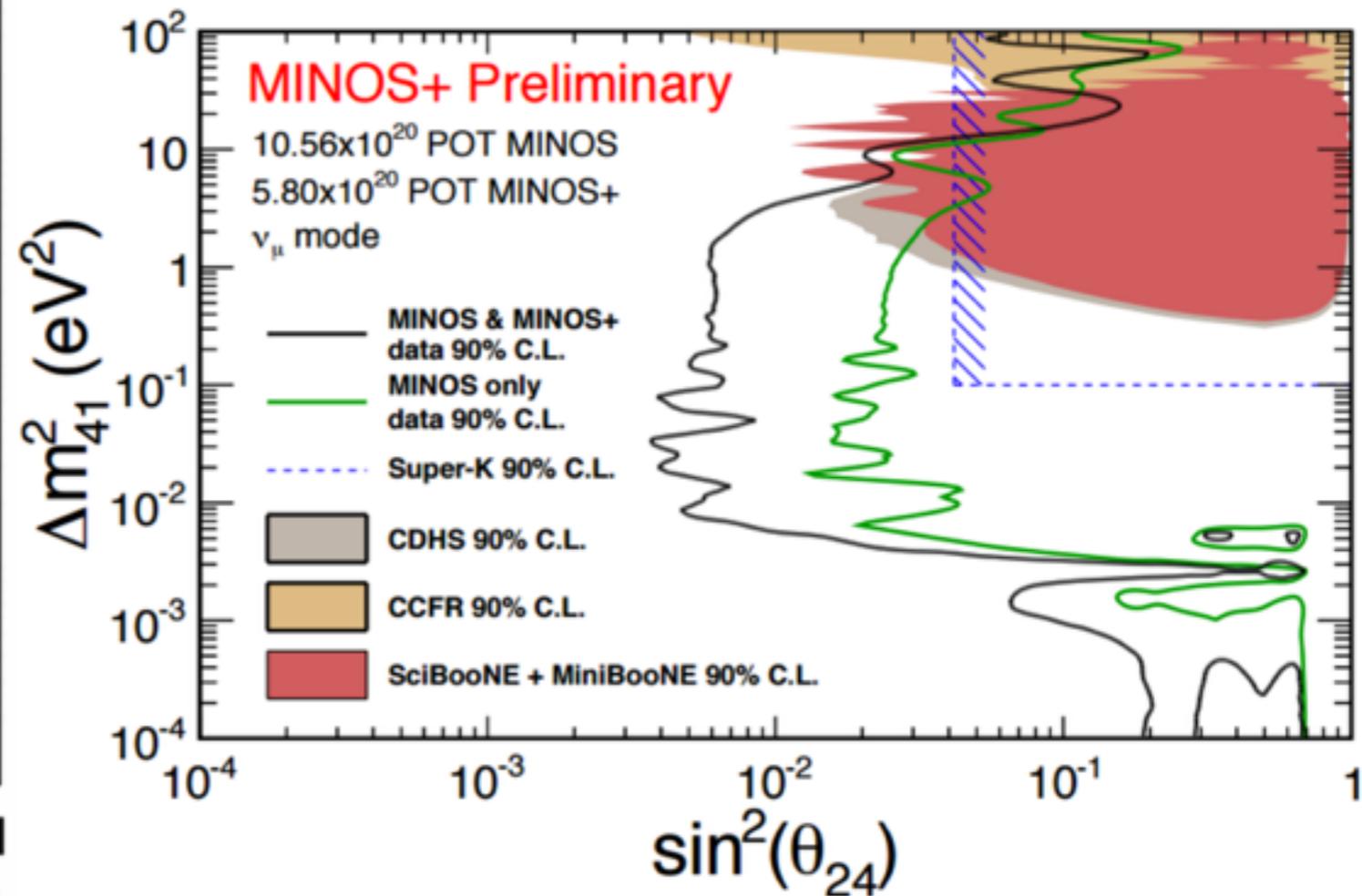
$$\Delta m_{41}^2 < 0.8 \text{ eV}^2$$



# Future Expectation from Daya Bay and MINOS



Expected sensitivity from Daya Bay by the end of 2017.



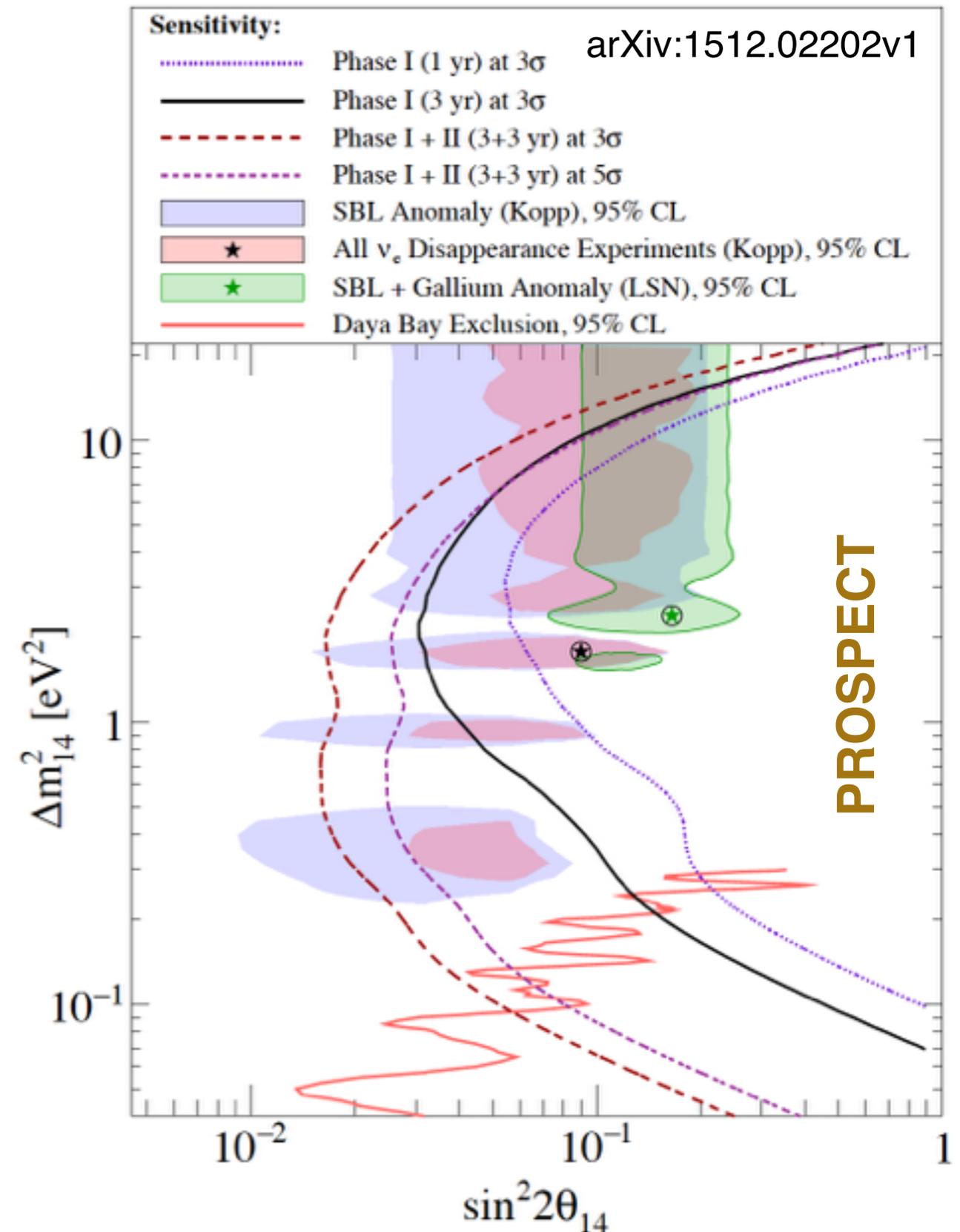
Preliminary results combining with 1/2 MINOS+ data

# Future Experiment to Probe Reactor Anomaly

Main Goals of the PROSPECT reactor experiment:

- Search for  $\Delta m^2 \sim 1 \text{ eV}^2$  sterile neutrinos.
- Precise measurement of  $^{235}\text{U}$  spectrum.

PROSPECT can replace Bugey-3 in the near future for the better exclusion power



# Reactor Flux Measurement at Daya Bay

621 days data

- Daya Bay result:

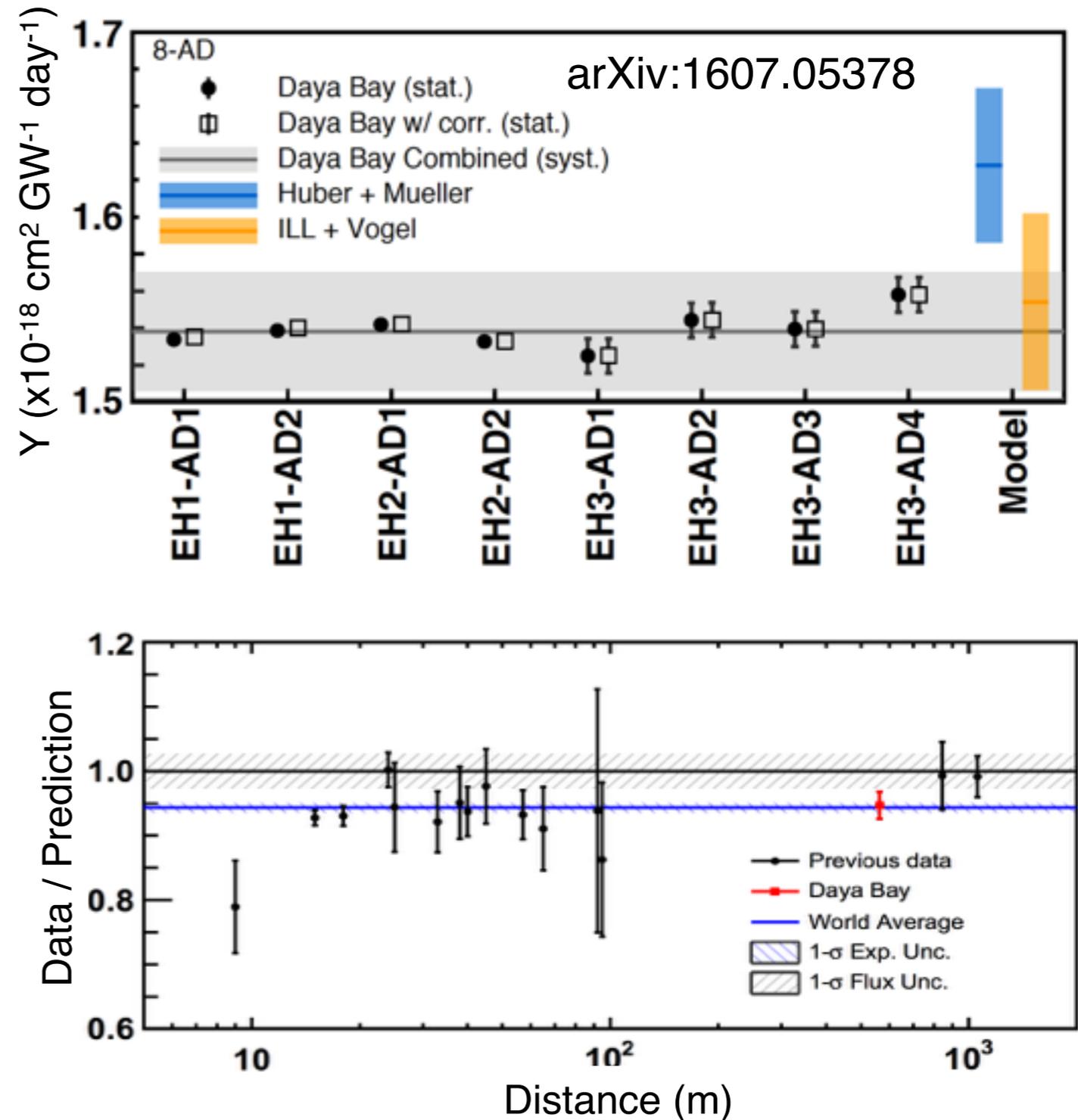
$$R_{\text{dyb}} = 0.946 \pm 0.02 \text{ (exp.)}$$

- The World Average:

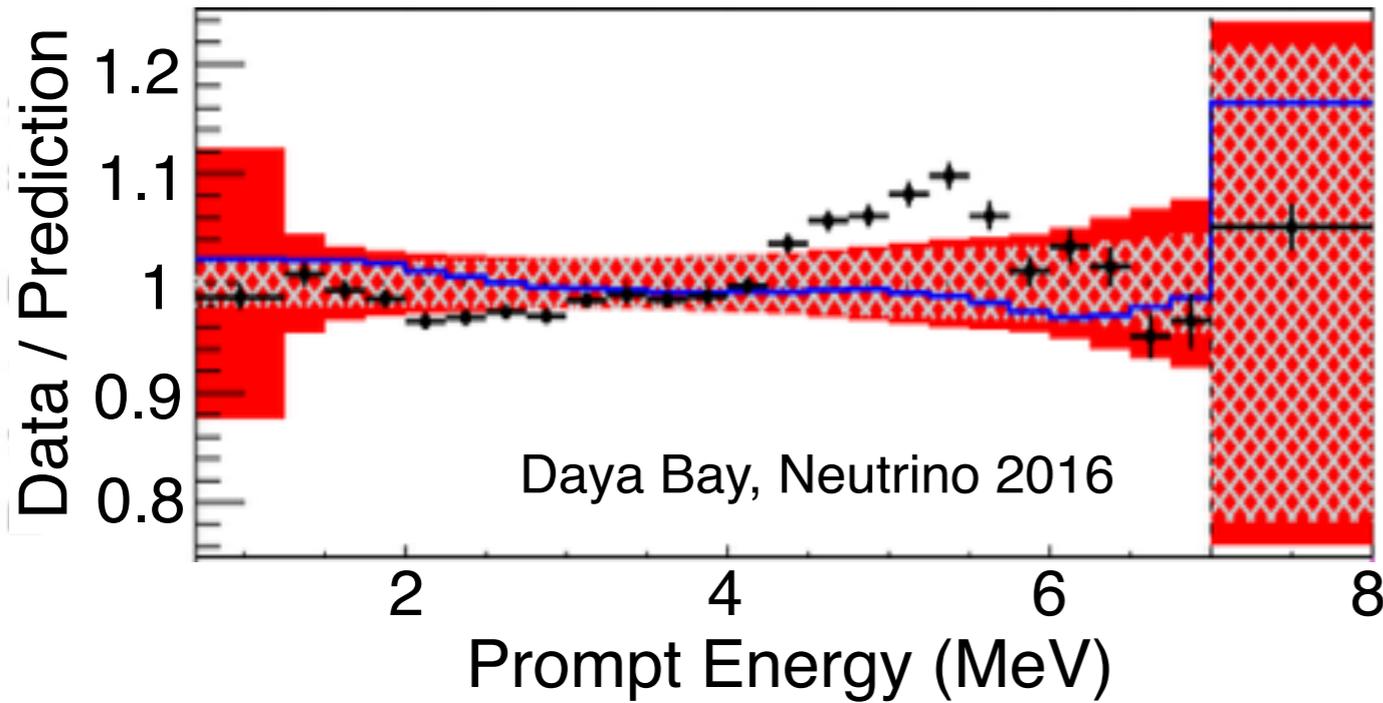
$$R_{\text{globe}} = 0.942 \pm 0.009 \text{ (exp.)}$$

To resolve reactor anomaly, more precise prediction of reactor flux is necessary, since Huber+Mueller model's uncertainties may be as large as 5% according to a recent reevaluation.\*

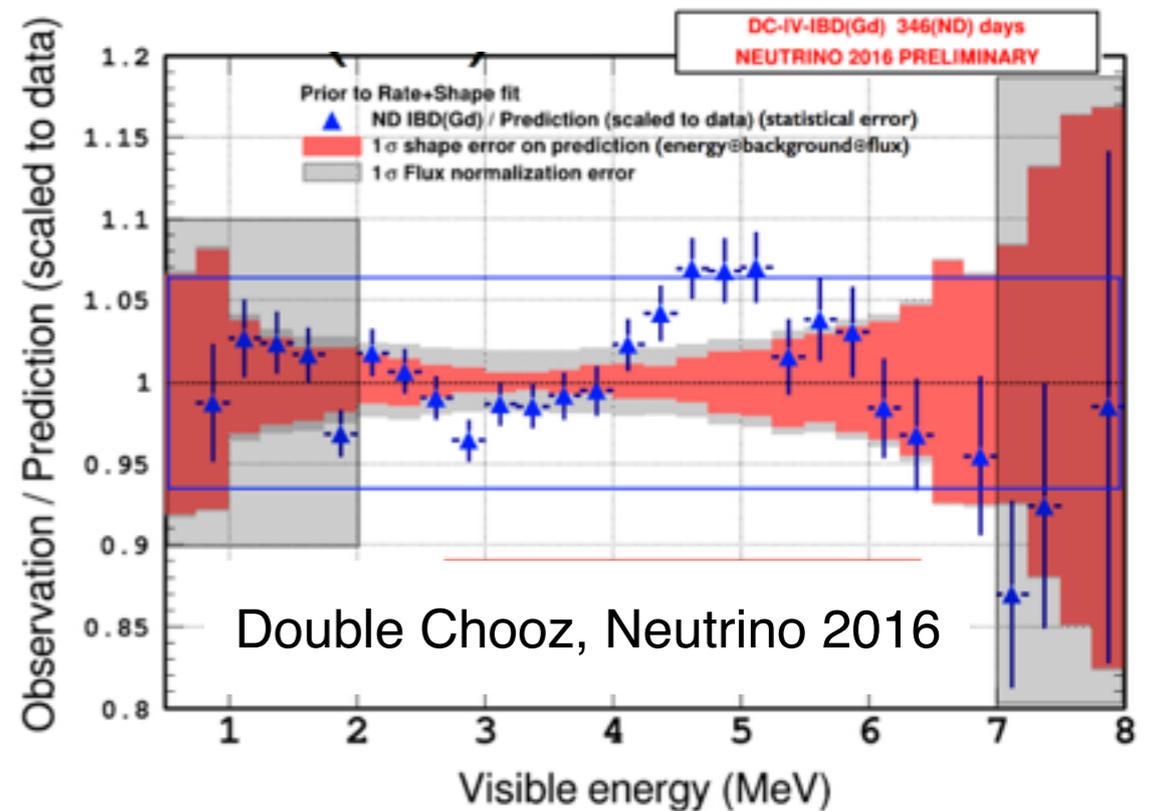
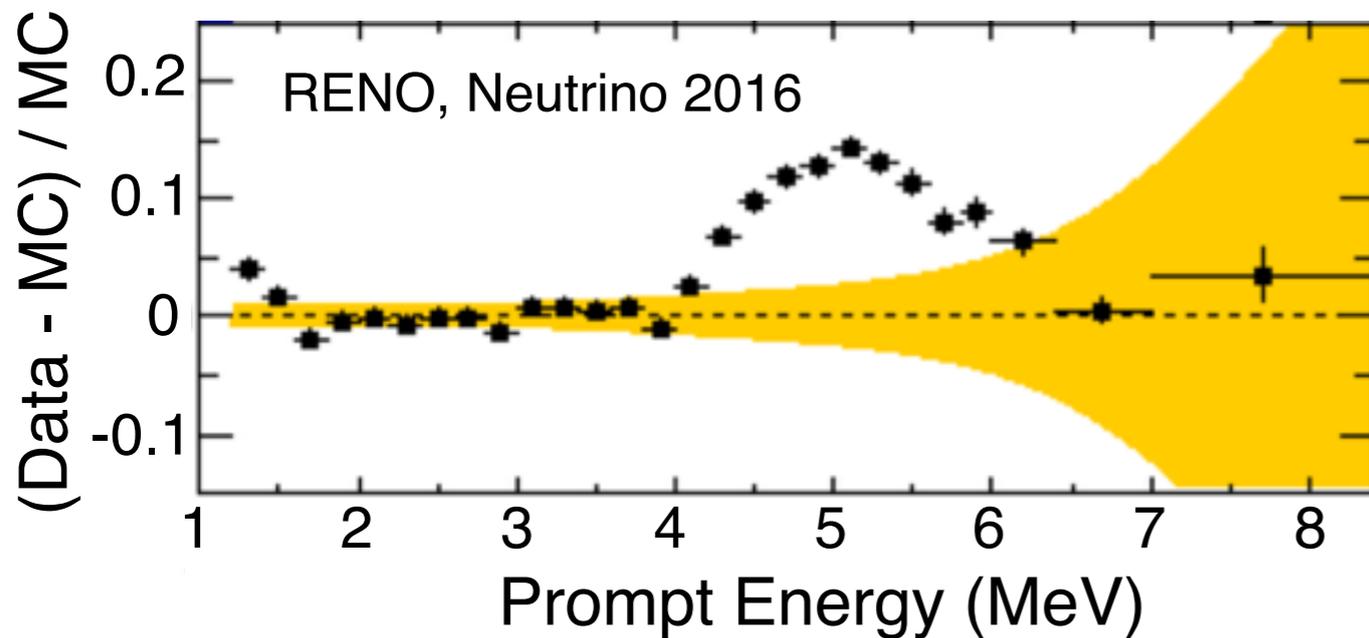
\*A. Hayes and P. Vogel, arXiv:1605.02047



# Reactor Spectrum “Bump” in 4-6 MeV



- Daya Bay, Double Chooz and RENO all see the “bump” around 5 MeV
- The “bump” is not due to the sterile neutrino oscillations
  - Both near and far sites see similar structure.
- Shaking the foundation of reactor anomaly.



# Conclusions

- Daya Bay's is able to search for sterile neutrinos
- Daya Bay's constraints of  $\sin^2 2\theta_{14}$  have improved a factor of  $\sim 2$  over previous results.
  - Most stringent today in  $|\Delta m_{41}^2| \lesssim 0.2 \text{ eV}^2$
- Daya Bay, Bugey-3 and MINOS combined results exclude the sterile neutrino allowed by LSND and MiniBooNE experiments for  $|\Delta m_{41}^2| < 0.8 \text{ eV}^2$  at 90% C.L.

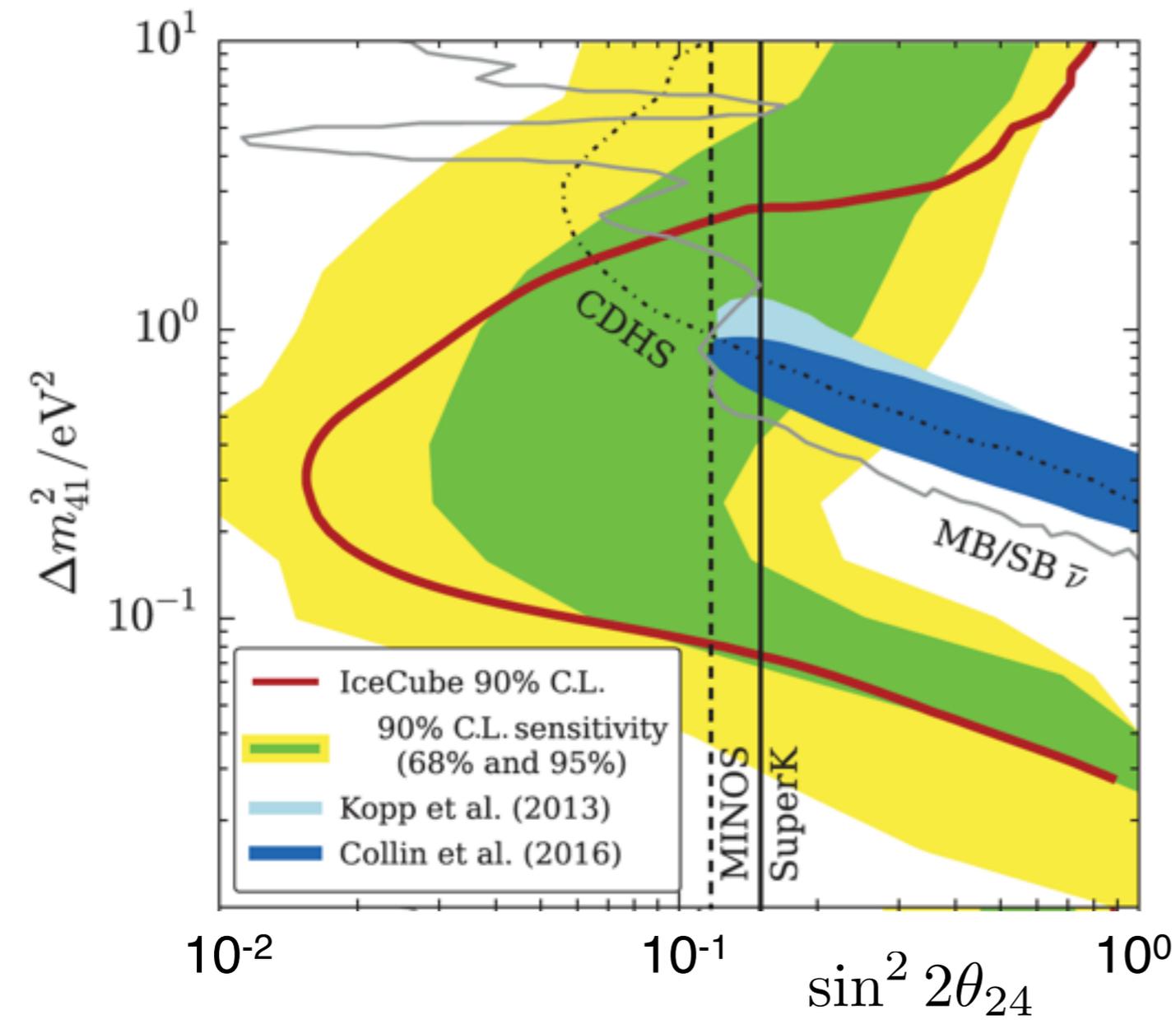


Thank You

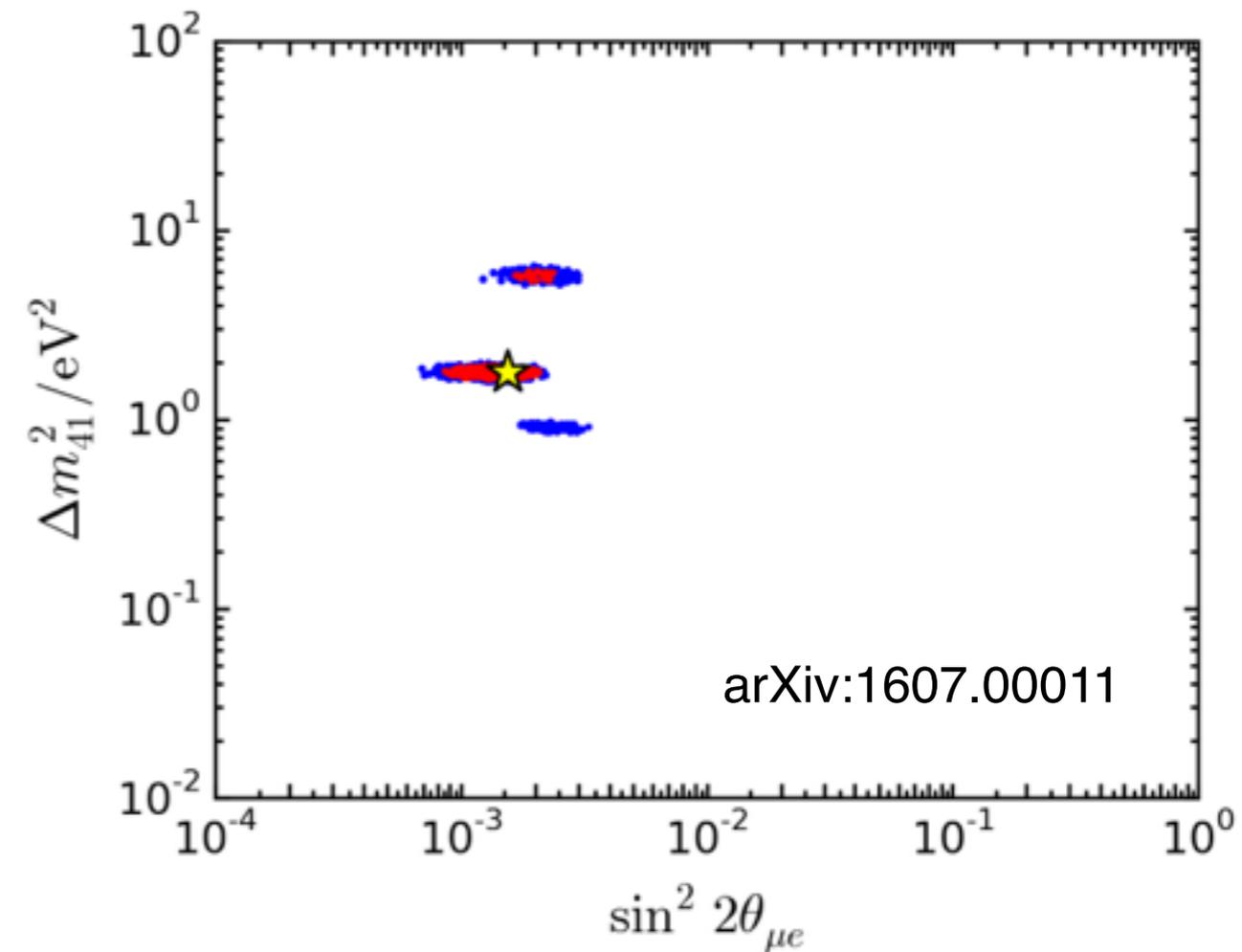
Back Up

# What about other experiments?

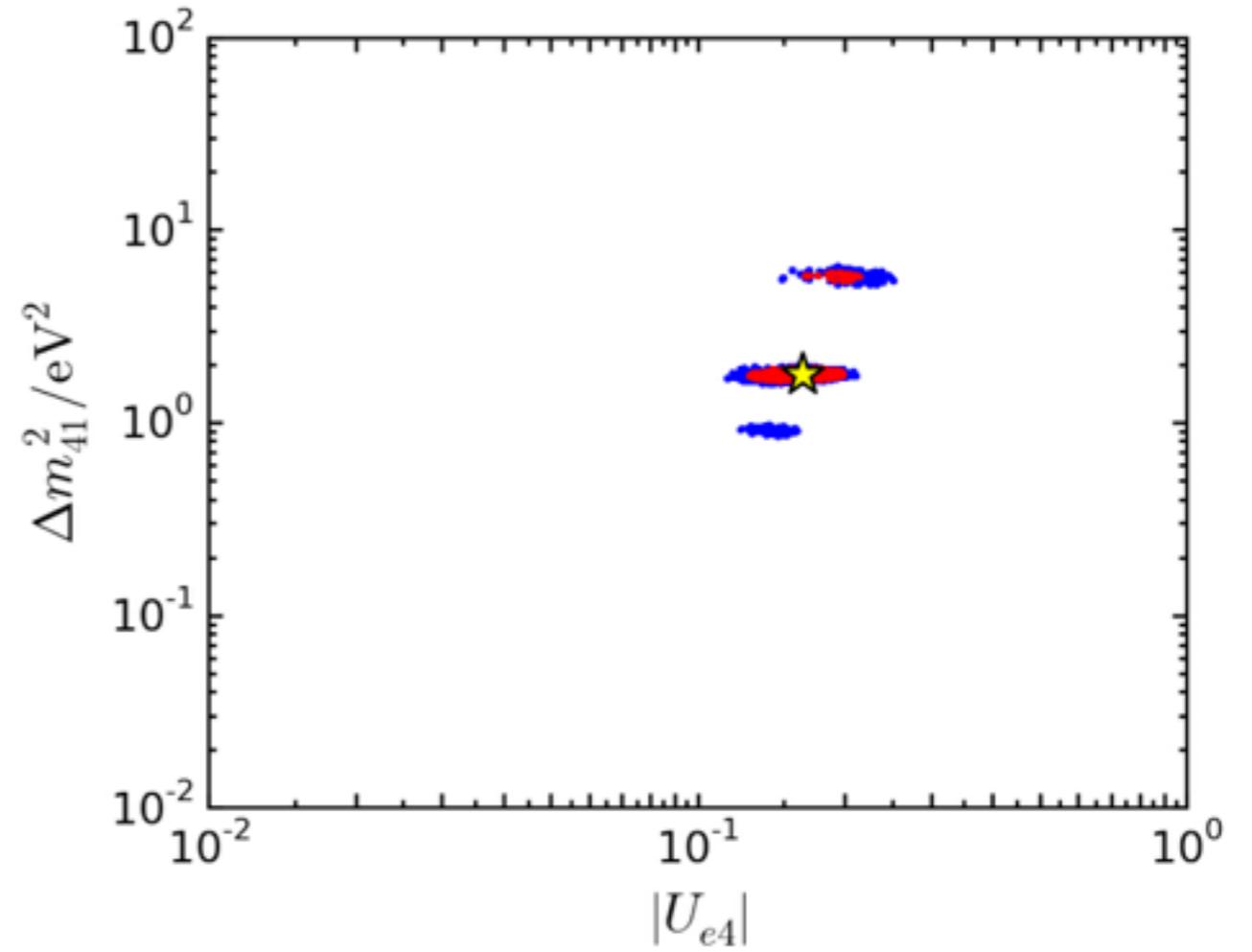
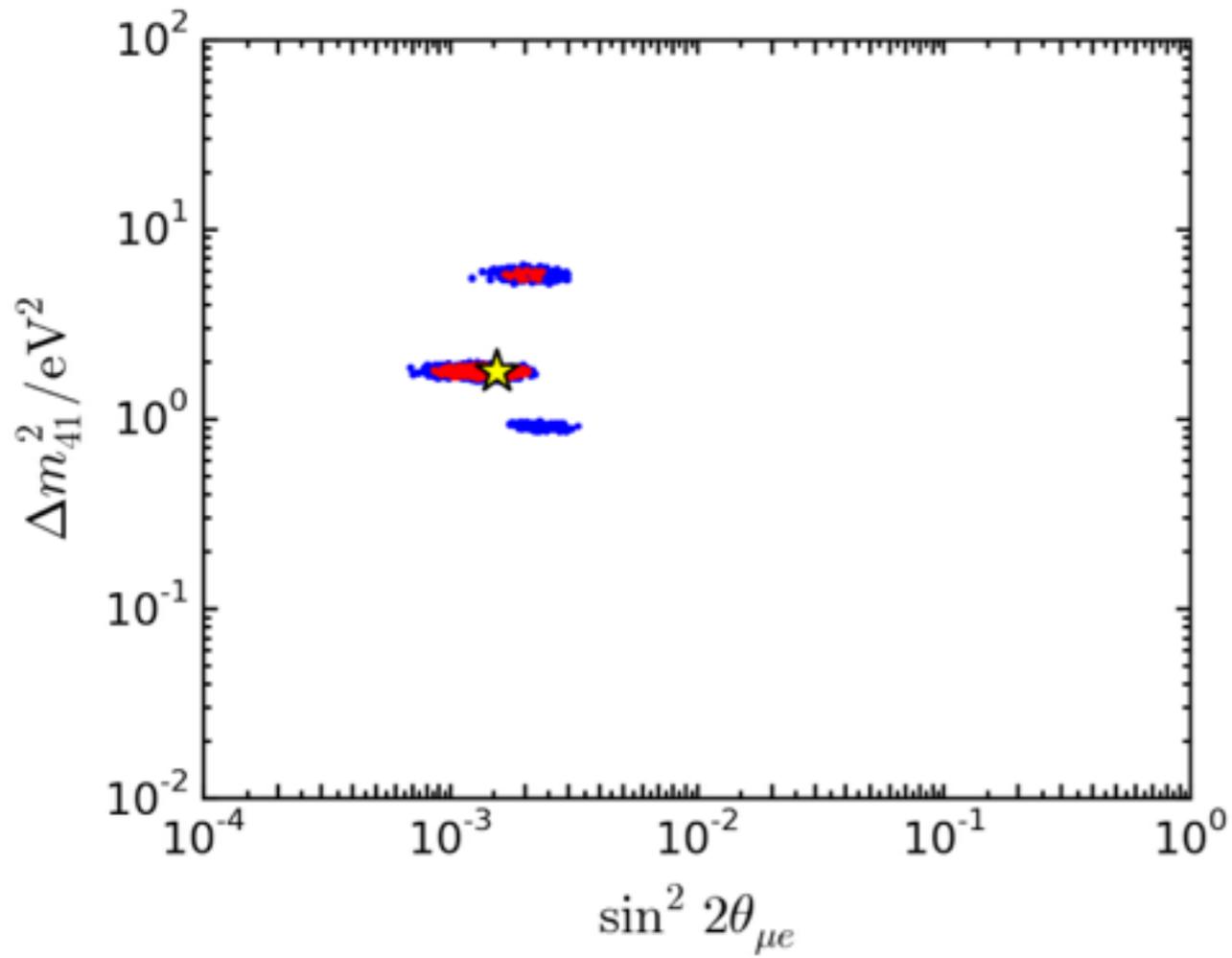
## IceCube



## SBL + IceCube global fit



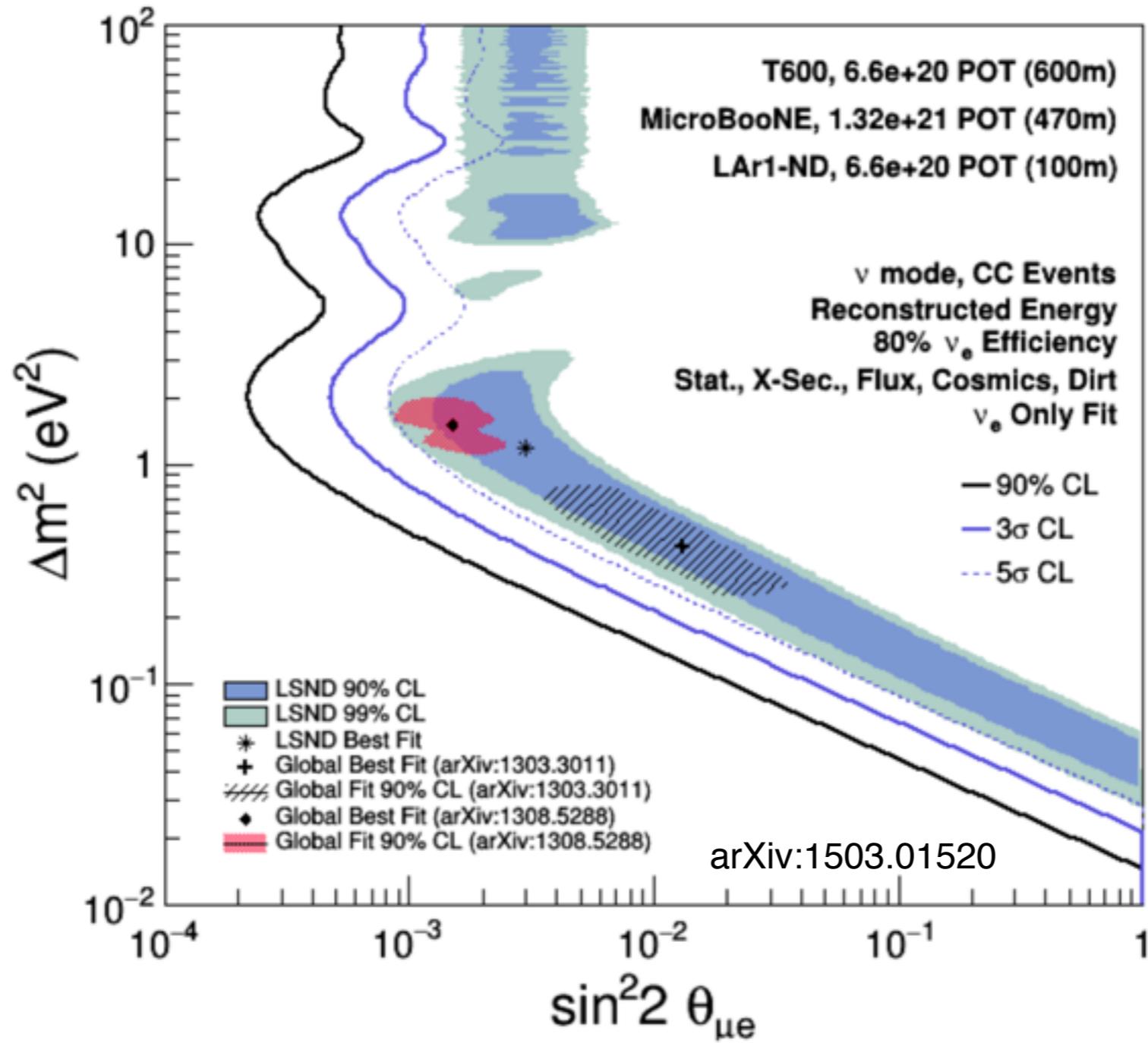
# SBL + IceCube fit



arXiv:1607.00011

# SBN experiment

## SBN sensitivity



# Adjust Ratio

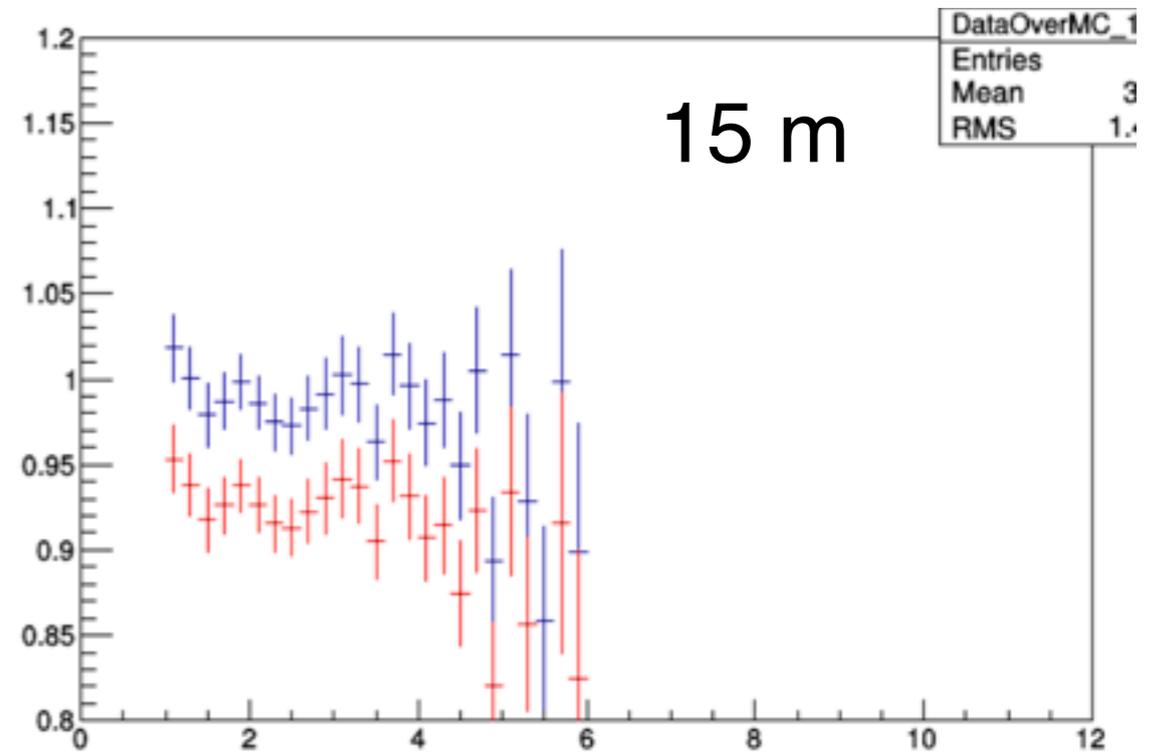
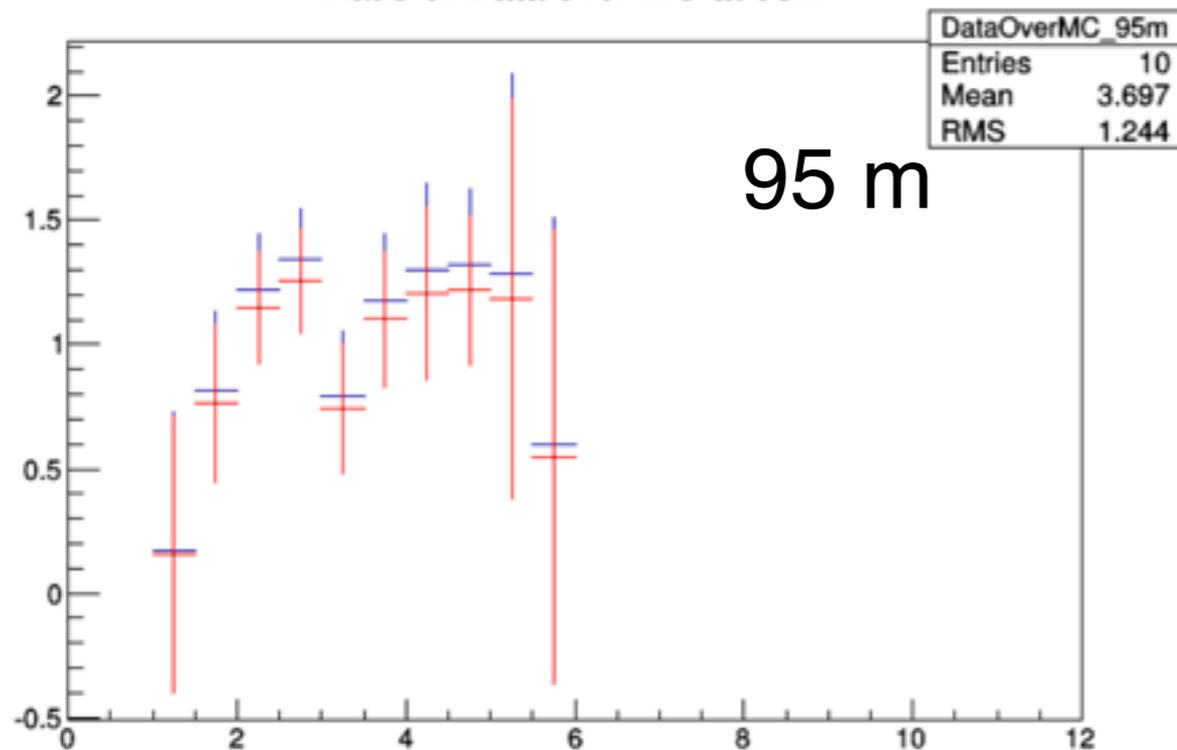
- For each ratio

$$\frac{\text{Observed}}{\text{New Pred}} = \frac{\text{Observed}}{\text{Bugey MC}} \cdot \frac{\text{Old Pred.}}{\text{New Pred.}}$$

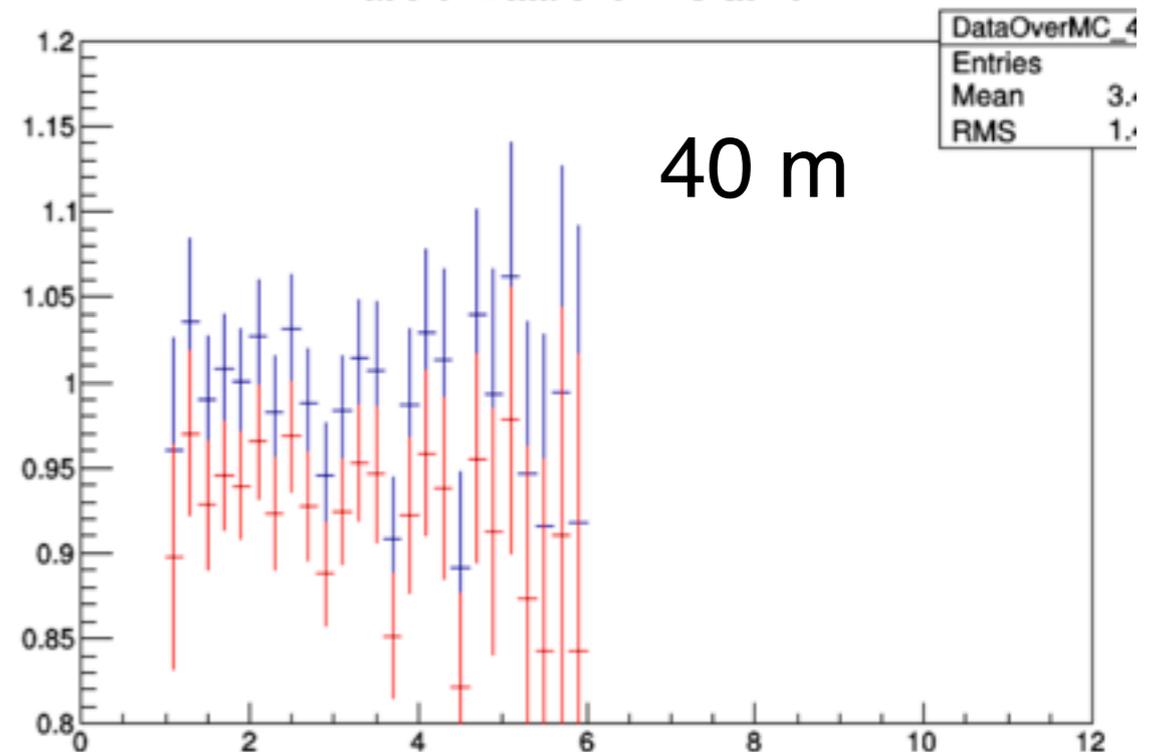
$$= \frac{\text{Observed}}{\text{Bugey MC}} \cdot \frac{\text{Old Spec}}{\text{New Spec}} \cdot \frac{\text{Old CrossSection}}{\text{New CrossSection}}$$

From Bugey  
 (ILL+Vogel)/Huber  
 99%

Ratio of Data over MC at 95m

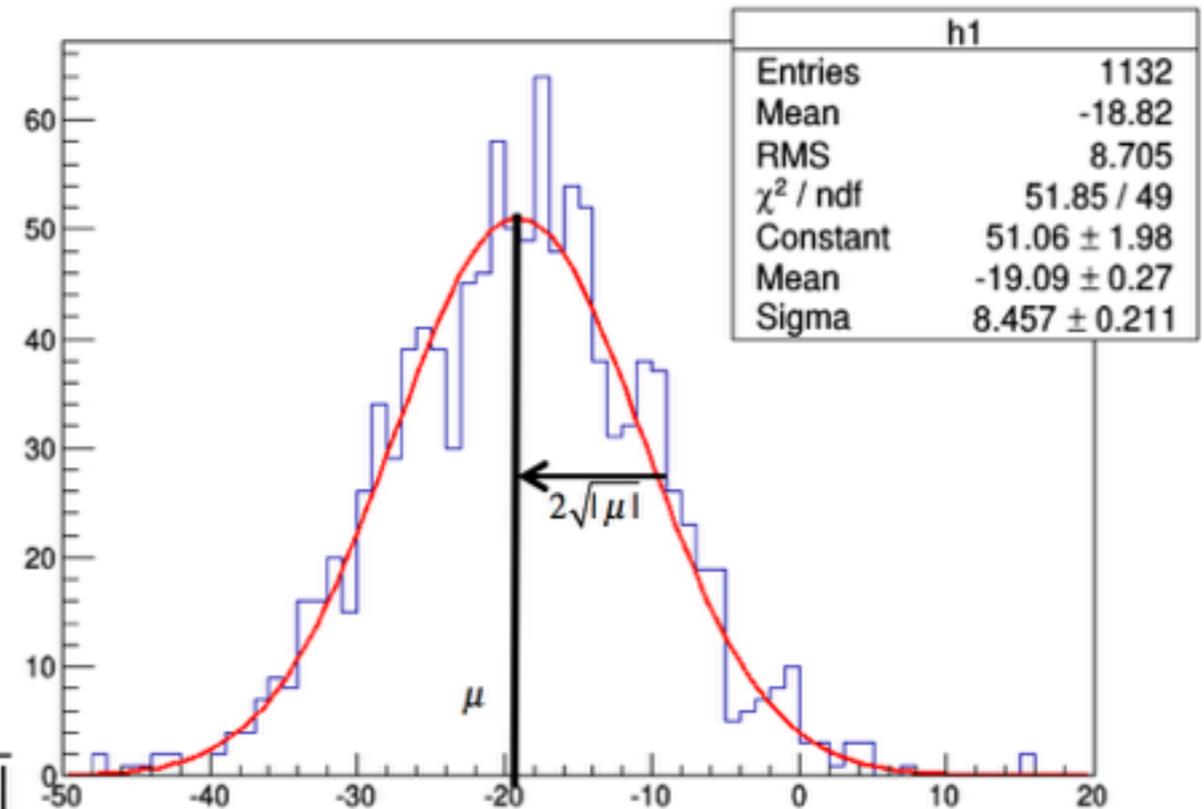


Ratio of Data over MC at 40m



# Gaussian Distribution of $\Delta\chi^2$

- When number of events is big enough
  - The distribution of  $\Delta\chi^2$  is a Gaussian
  - The standard deviation of  $\Delta\chi^2$  is equal to  $2\sqrt{|\Delta\chi^2|}$



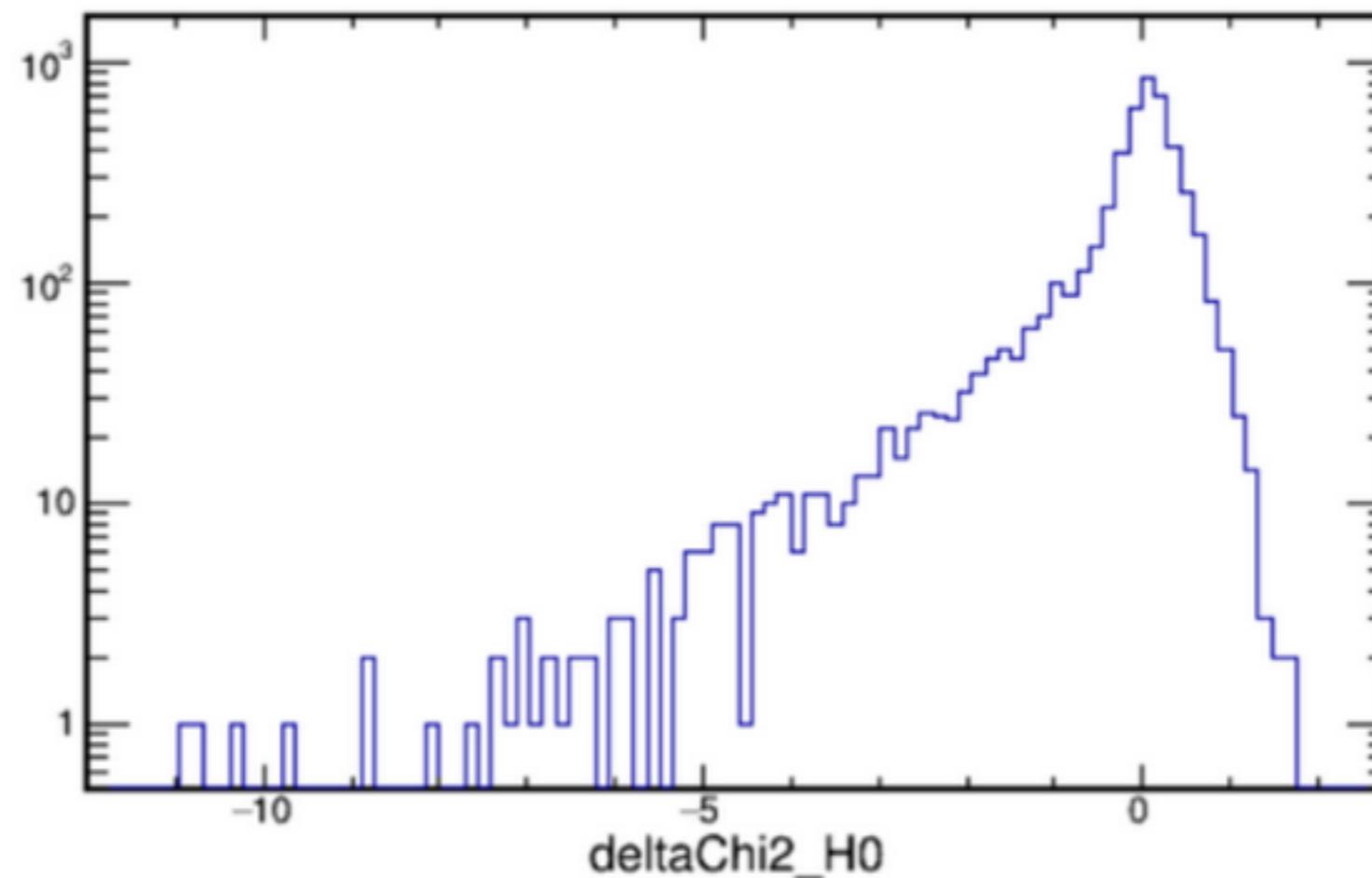
- The distribution can then be obtained by fitting the Asimov (no statistic) data set.

$$- \Delta\chi^2_{Asimov} = \overline{\Delta\chi^2}$$

Daya Bay

# MINOS' Problem

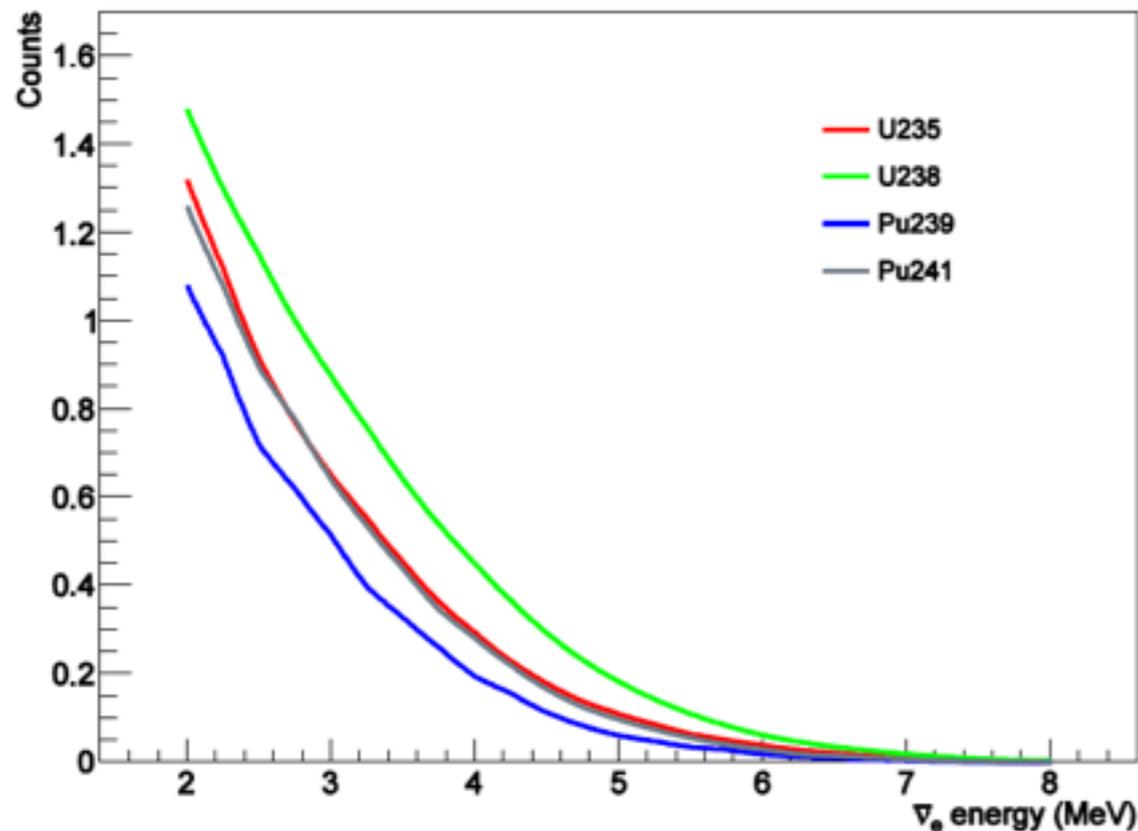
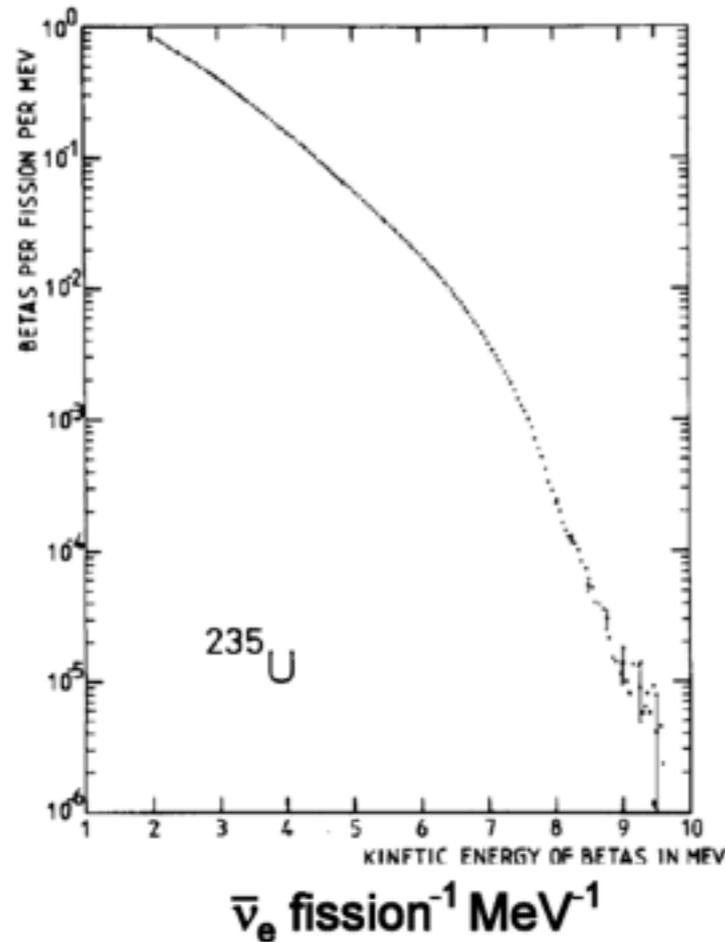
- For MINOS, since they didn't fix  $\theta_{34}$ , they couldn't get the Gaussian distribution. They use MC to determine the  $\Delta\chi^2$  distribution at each point to set the exclusion area.



# Combination Steps

- 1) N numbers of  $\Delta\chi_{3\nu,4\nu}^2|_{DB}$  are randomly generated follow a Gaussian distribution with  $\text{Gaus}(\Delta\chi_{3\nu,4\nu}^2(\text{Asimov}), 2\sqrt{|\Delta\chi_{3\nu,4\nu}^2(\text{Asimov})|})$
- 2) N numbers of  $\Delta\chi_{3\nu,4\nu}^2|_M$  are randomly generated follow the distribution that obtained via MC test.
- 3) Each  $\Delta\chi_{3\nu,4\nu}^2|_{DB}$  is randomly added with one value of  $\Delta\chi_{3\nu,4\nu}^2|_M$  to form a new distribution of  $\Delta\chi_{3\nu,4\nu}^2|_{DBM}$ .
- 4) Then a CLs value can be calculate for a  $(\Delta m^2_{41}, \sin^2 2\theta_{14}, \sin^2 \theta_{24})$ .
- 5) For CLs value for a  $(\Delta m^2_{41}, \sin^2 2\theta_{\mu e} = \sin^2 2\theta_{14} \sin^2 \theta_{24})$ , since a single  $\sin^2 2\theta_{\mu e}$  can corresponds to different  $(\sin^2 2\theta_{14}, \sin^2 \theta_{24})$  combinations, the largest CLs value is picked for the  $\sin^2 2\theta_{\mu e}$  to be conservative.

# Reactor anti- $\bar{\nu}$ spectra



- The cumulated  $\beta$ -spectra of  $^{235}\text{U}$ ,  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$  from thermal neutron induced fission were measured in 1980s with the magnetic beta spectrometer BILL at the High Flux Reactor of the Institut Laue-Langevin (ILL) in Grenoble, France
- Anti- $\bar{\nu}_e$  were converted from the  $\beta$ -spectra for the isotope of  $^{235}\text{U}$ ,  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$ .
  - ILL anti-neutrino spectra
  - Th. Mueller
  - P. Huber
- $^{238}\text{U}$  fission is mainly induced by fast neutron, no experiment have been performed.
  - Vogel
  - Mueller
- W. Mampe et al., Nucl. Inst. Meth., 154 (1978)
- F. von Feilitzsch et al., Phys. Lett. B 118, 162 (1982)
- K. Schreckenbach et al., Phys. Lett. B 160, 325 (1985)
- K. Schreckenbach et al., Phys. Lett. B 218, 365 (1989)
- P. Vogel et al., Phys. Rev. C 19, 2259 (1979)
- P. Vogel et al., Phys. Rev. C 24, 1543 (1981)
- Th. Mueller et al., Phys. Rev. C 83, 054615 (2011)
- P. Huber, Phys. Rev. C 84, 024617 (2011)

# MINOS Sterile Neutrino Analysis

- Compare far/near ratio data to the expectations with oscillations.
  - Near detector is sensitive to large  $\Delta m^2_{41}$  mass (a few  $eV^2$ )
  - Allows to probe larger range of  $\Delta m^2_{41}$  region.
- Fix the insensitive parameters during the fitting.
  - Set  $\delta_{13}$ ,  $\delta_{14}$ ,  $\delta_{24}$  and  $\theta_{14}$  to zero.
- Fit NC and CC spectra simultaneously to determine
  - $\theta_{23}$ ,  $\theta_{24}$ ,  $\theta_{34}$ ,  $\Delta m^2_{32}$  and  $\Delta m^2_{41}$ .

